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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

IR18 US

Total Pages

First Named Inventor or Application Identifier

Kia Silverbrook

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages  ]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets  ]
4. Oath or Declaration [Total Pages  ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ADDRESS TO:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application, Status still proper and desired
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other: .....

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

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or ☒ Correspondence address below

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="font-size: small; margin: 5px 0;">Note: Effective October 1, 1997. Patent fees are subject to annual revision.</p>	<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td>10 July 1998</td> </tr> <tr> <td>First Named Inventor</td> <td>Kia Silverbrook</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>IR18 US</td> </tr> </table>	Application Number		Filing Date	10 July 1998	First Named Inventor	Kia Silverbrook	Group Art Unit		Examiner Name		Attorney Docket Number	IR18 US
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<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 435													

<p><b>METHOD OF PAYMENT (check one)</b></p> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span></p> <p>Deposit Account Name <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17      <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <p style="text-align: center;"><b>FEE CALCULATION</b></p> <p><b>1. 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Typed or Printed Name	Kia Silverbrook			Reg. Number	
Signature				Deposit Account User ID	
	Date	2 July 1998			

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**A GUILLOTINE SYSTEM IN A PRINT ON DEMAND DIGITAL CAMERA SYSTEM**

Field of the Invention

The present relates substantially to the concept of a disposable camera having instant printing capabilities and in particular, discloses A Guillotine Device in a Digital Camera System.

Background of the Invention

Recently, the concept of a "single use" disposable camera has become an increasingly popular consumer item. Disposable camera systems presently on the market normally include an internal film roll and a simplified gearing mechanism for traversing the film roll across an imaging system including a shutter and lensing system. The user, after utilising a single film roll returns the camera system to a film development centre for processing. The film roll is taken out of the camera system and processed and the prints returned to the user. The camera system is then able to be re-manufactured through the insertion of a new film roll into the camera system, the replacement of any worn or wearable parts and the re-packaging of the camera system in accordance with requirements. In this way, the concept of a single use "disposable" camera is provided to the consumer.

Recently, a camera system has been proposed by the present applicant which provides for a handheld camera device having an internal print head, image sensor and processing means such that images sense by the image sensing means, are processed by the processing means and adapted to be instantly printed out by the printing means on demand. The proposed camera system further discloses a system of internal "print rolls" carrying print media such as film on to which images are to be printed in addition to ink to supplying the printing means for the printing process. The print roll is further disclosed to be detachable and replaceable within the camera system.

Unfortunately, such a system is likely to only be constructed at a substantial cost and it would be desirable to provide for a more inexpensive form of instant camera system which maintains a substantial number of the quality aspects of

the aforementioned arrangement.

It would be further advantageous to provide for the effective interconnection of the sub components of a camera system.

#### Summary of the Invention

It is an object of the present invention to provide for the effective incorporation of a guillotine mechanism into a camera system.

In accordance with a first aspect of the present invention, there is provided in a camera system comprising: an image sensor device for sensing an image; a processing means for processing the sensed image; a print media supply means for the supply of print media to a print head; a print head for printing the sensed image on the print media stored internally to the camera system; a portable power supply interconnected to the print head, the sensor and the processing means; and a guillotine mechanism located between the print media supply means and the print head and adapted to cut the print media into sheets of a predetermined size.

Further, preferably, the guillotine mechanism is detachable from the camera system. The guillotine mechanism can be attached to the print media supply means and is detachable from the camera system with the print media supply means. The guillotine mechanism can be mounted on a platten unit below the print head.

#### Brief Description of the Drawings

Notwithstanding any other forms which may fall within the scope of the present invention, preferred forms of the invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Fig. 1 illustrated a side front perspective view of the assembled camera of the preferred embodiment;

Fig. 2 illustrates a back side perspective view, partly exploded, of the preferred embodiment;

Fig. 3 is a side perspective view of the chassis of the preferred embodiment;

Fig. 4 is a side perspective view of the chassis

illustrating the insertion of the electric motors;

Fig. 5 is an exploded perspective of the ink supply mechanism of the preferred embodiment;

Fig. 6 is a side perspective of the assembled form of the ink supply mechanism of the preferred embodiment;

Fig. 7 is a front perspective view of the assembled form of the ink supply mechanism of the preferred embodiment;

Fig. 8 is an exploded perspective of the platten unit of the preferred embodiment;

Fig. 9 is a side perspective view of the assembled form of the platten unit;

Fig. 10 is also a perspective view of the assembled form of the platten unit;

Fig. 11 is an exploded perspective unit of the printhead recapping mechanism of the preferred embodiment;

Fig. 12 is a close up exploded perspective of the recapping mechanism of the preferred embodiment;

Fig. 13 is an exploded perspective of the ink supply cartridge of the preferred embodiment;

Fig. 14 is a close up perspective, partly in section of the internal portions of the ink supply cartridge in an assembled form;

Fig. 15 is a schematic block diagram of one form of chip layer of the image capture and processing chip of the preferred embodiment;

Fig. 16 is an exploded perspective illustrating the assembly process of the preferred embodiment;

Fig. 17 illustrates a front exploded perspective view of the assembly process of the preferred embodiment;

Fig. 18 illustrates a side perspective view of the assembly process of the preferred embodiment;

Fig. 19 illustrates a side perspective view of the assembly process of the preferred embodiment;

Fig. 20 is a perspective view illustrating the insertion of the platten unit in the preferred embodiment;

Fig. 21 illustrates the interconnection of the electrical components of the preferred embodiment;

Fig. 22 illustrates the process of assembling the preferred embodiment; and

Fig. 23 is a perspective view further illustrating the assembly process of the preferred embodiment.

#### Description of Preferred and Other Embodiments

Turning initially simultaneously to Fig. 1, and Fig. 2 there is illustrated perspective views of an assembled camera constructed in accordance with the preferred embodiment with Fig. 1 showing a front side perspective view and Fig. 2 showing a back side perspective view. The camera 1 includes a paper or plastic film jacket 2 which can include simplified instructions 3 for the operation of the camera system 1. The camera system 1 includes a first "take" button 4 which is depressed to capture an image. The captured image is output via output slot 6. A further copy of the image can be obtained through depressing a second "printer copy" button 7 whilst an LED light 5 is illuminated. The camera system also provides the usual view finder 8 in addition to a CCD image capture/lensing system 9.

The camera system 1 provides for a standard number of output prints after which the camera system 1 ceases to function. A prints left indicator slot 10 is provided to indicate the number of remaining prints. A refund scheme at the point of purchase is assumed to be operational for the return of used camera systems for recycling.

Turning now to Fig. 3, the assembly of the camera system is based around an internal chassis 12 which can be a plastic injection molded part. A pair of paper pinch rollers 28, 29 utilized for decurling are snap fitted into corresponding frame holes eg. 26, 27.

As shown in Fig. 4, the chassis 12 includes a series of mutually opposed prongs eg. 13, 14 into which is snapped fitted a series of electric motors 16, 17. The electric motors 16, 17 can be entirely standard with the motor 16 being of a stepper motor type and include a cogged end portion 19, 20 for driving a series of gear wells. A first set of gear wells is provided for controlling a paper cutter mechanism and a second set is

provided for controlling print roll movement.

Turning next to Figs. 5 to 7, there is illustrated an ink supply mechanism 40 utilized in the camera system. Fig. 5 illustrates a back exploded perspective view, Fig. 6 illustrates a back assembled view and Fig. 7 illustrates a front assembled view. The ink supply mechanism 40 is based around an ink supply cartridge 42 which contains printer ink and a print head mechanism for printing out pictures on demand. The ink supply cartridge 42 includes a side aluminium strip 43 which is provided as a shear strip to assist in cutting images from a paper roll.

A dial mechanism 44 is provided for indicating the number of "prints left". The dial mechanism 44 is snap fitted through a corresponding mating portion 46 so as to be freely rotatable.

As shown in Fig. 6, the print head includes a flexible PCB strip 47 which interconnects with the print head and provides for control of the print head. The interconnection between the Flex PCB strip and an image sensor and print head chip can be via Tape Automated Bonding (TAB) Strips 51, 58. A moulded aspherical lens and aperture shim 50 (Fig. 5) is also provided for imaging an image onto the surface of the image sensor chip normally located within cavity 53 and a light box module or hood 52 is provided for snap fitting over the cavity 53 so as to provide for proper light control. A series of decoupling capacitors eg. 34 can also be provided. Further a plug 45 (Fig. 7) is provided for re-plugging ink holes after refilling. A series of guide prongs eg. 55-57 are further provided for guiding the flexible PCB strip 47.

The ink supply mechanism 40 interacts with a platten unit which guides print media under a printhead located in the ink supply mechanism. Fig. 8 shows an exploded view of the platten unit 60, while Figs. 9 and 10 show assembled views of the platten unit. The platten unit 60 includes a first pinch roller 61 which is snap fitted to one side of a platten base 62. Attached to a second side of the platten base 62 is a cutting mechanism 63 which traverses the platten by means of a rod 64 having a screwed thread which is rotated by means of

cogged wheel 65 which is also fitted to the platten 62. The screwed thread engages a block 67 which includes a cutting wheel 68 fastened via a fastener 69. Also mounted to the block 67 is a counter actuator which includes a prong 71. The prong 71 acts to rotate the dial mechanism 44 of Fig. 6 upon the return traversal of the cutting wheel. As shown previously in Fig. 6, the dial mechanism 44 includes a cogged surface which interacts with pawl lever 73, thereby maintaining a count of the number of photographs taken on the surface of dial mechanism 44. The cutting mechanism 63 is inserted into the platten base 62 by means of a snap fit via receptacle eg. 74.

The platten 62 includes an internal recapping mechanism 80 for recapping the print head when not in use. The recapping mechanism 80 includes a sponge portion 81 and is operated via a solenoid coil so as to provide for recapping of the print head. In the preferred embodiment, there is provided an inexpensive form of printhead re-capping mechanism provided for incorporation into a handheld camera system so as to provide for printhead re-capping of an inkjet printhead.

Fig. 11 illustrates an exploded view of the recapping mechanism whilst Fig. 12 illustrates a close up of the end portion thereof. The re-capping mechanism 90 is structured around a solenoid including a 16 turn coil 75 which can comprise insulated wire. The coil 75 is turned around a first stationery solenoid arm 76 which is mounted on a bottom surface of the pattern 62 (Fig. 8) and includes a post portion 77 to magnify effectiveness of operation. The arm 76 can comprise a ferrous material.

A second moveable arm of the solenoid actuator is also provided 78. The arm 78 being moveable and also made of ferrous material. Mounted on the arm is a sponge portion surrounded by an elastomer strip 79. The elastomer strip 79 is of a generally arcuate cross-section and act as a leaf springs against the surface of the printhead ink supply cartridge 42 (Fig. 5) so as to provide for a seal against the surface of the printhead ink supply cartridge 42. In the quiescent position a elastomer spring units 87, 88 act to resiliently deform the



elastomer seal 79 against the surface of the ink supply unit 42.

When it is desired to operate the printhead unit, upon the insertion of paper, the solenoid coil 75 is activated so as to cause the arm 78 to move down to be adjacent to the end plate 76. The arm 78 is held against end plate 76 while the printhead is printing by means of a small "keeper current" in coil 77. Simulation results indicate that the keeper current can be significantly less than the actuation current. Subsequently, after photo printing, the paper is guillotined by the cutting mechanism 63 of Fig. 8 acting against Aluminium Strip 43 of Fig. 5, and rewound so as to clear the area of the re-capping mechanism 88. Subsequently, the current is turned off and springs 87, 88 return the arm 78 so that the elastomer seal is again resting against the printhead ink supply cartridge.

It can be seen that the preferred embodiment provides for a simple and inexpensive means of re-capping a printhead through the utilisation of a solenoid type device having a long rectangular form. Further, the preferred embodiment utilises minimal power in that currents are only required whilst the device is operational and additionally, only a low keeper current is required whilst the printhead is printing.

Turning next to Fig. 13 and 14, Fig. 13 illustrates an exploded perspective of the ink supply cartridge 42 whilst Fig. 14 illustrates a close up sectional view of a bottom of the ink supply cartridge with the printhead unit in place. The ink supply cartridge 42 is based around a pagewidth printhead 102 which comprises a long slither of silicon having a series of holes etched on the back surface for the supply of ink to a front surface of the silicon wafer for subsequent ejection via a micro electro mechanical system. The form of ejection can be many different forms such as those set out in the tables below.

Of course, many other inkjet technologies, as referred to the attached tables below, can also be utilised when constructing a printhead unit 102. The fundamental requirement of the ink supply cartridge 42 being the supply of ink to a

series of colour channels etched through the back surface of the printhead 102. In the description of the preferred embodiment, it is assumed that a three colour printing process is to be utilised so as to provide full colour picture output. Hence, the print supply unit 42 includes three ink supply reservoirs being a cyan reservoir 104, a magenta reservoir 105 and a yellow reservoir 106. Each of these reservoirs is required to store ink and includes a corresponding sponge type material 107 - 109 which assists in stabilising ink within the corresponding ink channel and therefore preventing the ink from sloshing back and forth when the printhead is utilised in a handheld camera system. The reservoirs 104, 105, 106 are formed through the mating of first exterior plastic piece 110 mating with a second base piece) 111.

At a first end of the base piece 11 includes a series of air inlet 113 - 115. The air inlet leads to a corresponding winding channel which is hydrophobically treated so as to act as an ink repellent and therefore repel any ink that may flow along the air inlet channel. The air inlet channel further takes a convoluted path further assisting in resisting any ink flow out of the chambers 104 - 106. An adhesive tape portion 117 is provided for sealing the channels within end portion 118.

At the top end, there is included a series of refill holes for refilling corresponding ink supply chambers 104, 105, 106. A plug 121 is provided for sealing the refill holes.

Turning now to Fig. 14, there is illustrated a close up perspective view, partly in section through the ink supply cartridge 42 of Fig. 13 when formed as a unit. The ink supply cartridge includes the three colour ink reservoirs 104, 105, 106 which supply ink to different portions of the back surface of printhead 102 which includes a series of apertures 128 defined therein for carriage of the ink to the front surface.

The ink supply unit includes two guide walls 124, 125 which separate the various ink chambers and are tapered into an end portion abutting the surface of the printhead 102. The guide walls are further mechanically supported and regular

spaces by a block portions eg. 126 which are placed at regular intervals along the length of the printhead supply unit. The block portions 126 leaving space at portions close to the back of printhead 102 for the flow of ink around the back surface thereof.

The printhead supply unit is preferably formed from a multi-part plastic injection mould and the mould pieces eg. 10, 11 (Fig. 1) snap together around the sponge pieces 107, 109. Subsequently, a syringe type device can be inserted in the ink refill holes and the ink reservoirs filled with ink with the air flowing out of the air outlets 113 - 115. Subsequently, the adhesive tape portion 117 and plug 121 are attached and the printhead tested for operation capabilities. Subsequently, the ink supply cartridge 42 can be readily removed for refilling by means of removing the ink supply cartridge, performing a washing cycle, and then utilising the holes for the insertion of a refill syringe filled with ink for refilling the ink chamber before returning the ink supply cartridge 42 to a camera.

Turning now to Fig. 15, there is shown an example layout of the Image Capture and Processing Chip (ICP) 48. The Image Capture and Processing Chip 48 provides most of the electronic functionality of the camera with the exception of the print head chip. The chip 48 is a highly integrated system. It combines CMOS image sensing, analog to digital conversion, digital image processing, DRAM storage, ROM, and miscellaneous control functions in a single chip.

The chip is estimated to be around 32 mm<sup>2</sup> using a leading edge 0.18 micron CMOS/DRAM/APS process. The chip size and cost can scale somewhat with Moore's law, but is dominated by a CMOS active pixel sensor array 201, so scaling is limited as the sensor pixels approach the diffraction limit.

The ICP 48 includes CMOS logic, a CMOS image sensor, DRAM, and analog circuitry. A very small amount of flash memory or other non-volatile memory is also preferably included for protection against reverse engineering.

Alternatively, the ICP can readily be divided into two

chips: one for the CMOS imaging array, and the other for the remaining circuitry. The cost of this two chip solution should not be significantly different than the single chip ICP, as the extra cost of packaging and bond-pad area is somewhat cancelled by the reduced total wafer area requiring the color filter fabrication steps.

The ICP preferably contains the following functions:

Function
1.5 megapixel image sensor
Analog Signal Processors
Image sensor column decoders
Image sensor row decoders
Analogue to Digital Conversion (ADC)
Column ADC's
Auto exposure
12 Mbits of DRAM
DRAM Address Generator
Color interpolator
Convolver
Color ALU
Halftone matrix ROM
Digital halftoning
Print head interface
8 bit CPU core
Program ROM
Flash memory
Scratchpad SRAM
Parallel interface (8 bit)
Motor drive transistors (5)
Clock PLL
JTAG test interface
Test circuits
Busses
Bond pads

The CPU, DRAM, Image sensor, ROM, Flash memory, Parallel interface, JTAG interface and ADC can be vendor supplied cores. The ICP is intended to run on 1.5V to minimize power consumption and allow convenient operation from two AA type battery cells.

Fig. 15 illustrates a layout of the ICP 48. The ICP 48 is dominated by the imaging array 201, which consumes around 80% of the chip area. The imaging array is a CMOS 4 transistor active pixel design with a resolution of 1,500 x 1,000. The array can be divided into the conventional configuration, with two green pixels, one red pixel, and one blue pixel in each pixel group. There are 750 x 500 pixel groups in the imaging array.

The latest advances in the field of image sensing and CMOS image sensing in particular can be found in the October, 1997 issue of IEEE Transactions on Electron Devices and, in particular, pages 1689 to 1968. Further, a specific implementation similar to that disclosed in the present application is disclosed in Wong et. al, "CMOS Active Pixel Image Sensors Fabricated Using a 1.8V, 0.25  $\mu\text{m}$  CMOS Technology", IEDM 1996, page 915

The imaging array uses a 4 transistor active pixel design of a standard configuration. To minimize chip area and therefore cost, the image sensor pixels should be as small as feasible with the technology available. With a four transistor cell, the typical pixel size scales as 20 times the lithographic feature size. This allows a minimum pixel area of around 3.6  $\mu\text{m}$  x 3.6  $\mu\text{m}$ . However, the photosite must be substantially above the diffraction limit of the lens. It is also advantageous to have a square photosite, to maximize the margin over the diffraction limit in both horizontal and vertical directions. In this case, the photosite can be specified as 2.5  $\mu\text{m}$  x 2.5  $\mu\text{m}$ . The photosite can be a photogate, pinned photodiode, charge modulation device, or other sensor.

The four transistors are packed as an 'L' shape, rather than a rectangular region, to allow both the pixel and the

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photosite to be square. This reduces the transistor packing density slightly, increasing pixel size. However, the advantage in avoiding the diffraction limit is greater than the small decrease in packing density.

The transistors also have a gate length which is longer than the minimum for the process technology. These have been increased from a drawn length of 0.18 micron to a drawn length of 0.36 micron. This is to improve the transistor matching by making the variations in gate length represent a smaller proportion of the total gate length.

The extra gate length, and the 'L' shaped packing, mean that the transistors use more area than the minimum for the technology. Normally, around  $8 \mu\text{m}^2$  would be required for rectangular packing. Preferably,  $9.75 \mu\text{m}^2$  has been allowed for the transistors.

The total area for each pixel is  $16 \mu\text{m}^2$ , resulting from a pixel size of  $4 \mu\text{m} \times 4 \mu\text{m}$ . With a resolution of  $1,500 \times 1,000$ , the area of the imaging array 101 is  $6,000 \mu\text{m} \times 4,000 \mu\text{m}$ , or  $24 \text{ mm}^2$ .

The presence of a color image sensor on the chip affects the process required in two major ways:

-The CMOS fabrication process should be optimized to minimize dark current

Color filters are required. These can be fabricated using dyed photosensitive polyimides, resulting in an added process complexity of three spin coatings, three photolithographic steps, three development steps, and three hardbakes.

There are 15,000 analog signal processors (ASPs) 205, one for each of the columns of the sensor. The ASPs amplify the signal, provide a dark current reference, sample and hold the signal, and suppress the fixed pattern noise (FPN).

There are 375 analog to digital converters 206, one for each four columns of the sensor array. These may be delta-sigma or successive approximation type ADC's. A row of low column ADC's are used to reduce the conversion speed required, and the amount of analog signal degradation incurred before the

signal is converted to digital. This also eliminates the hot spot (affecting local dark current) and the substrate coupled noise that would occur if a single high speed ADC was used. Each ADC also has two four bit DAC's which trim the offset and scale of the ADC to further reduce FPN variations between columns. These DAC's are controlled by data stored in flash memory during chip testing.

The column select logic 204 is a 1:1500 decoder which enables the appropriate digital output of the ADCs onto the output bus. As each ADC is shared by four columns, the least significant two bits of the row select control 4 input analog multiplexors.

A row decoder 207 is a 1:1000 decoder which enables the appropriate row of the active pixel sensor array. This selects which of the 1000 rows of the imaging array is connected to analog signal processors. As the rows are always accessed in sequence, the row select logic can be implemented as a shift register.

An auto exposure system 208 adjusts the reference voltage of the ADC 205 in response to the maximum intensity sensed during the previous frame period. Data from the green pixels is passed through a digital peak detector. The peak value of the image frame period before capture (the reference frame) is provided to a digital to analogue converter(DAC), which generates the global reference voltage for the column ADCs. The peak detector is reset at the beginning of the reference frame. The minimum and maximum values of the three RGB color components are also collected for color correction.

The second largest section of the chip is consumed by a DRAM 210 used to hold the image. To store the 1,500 x 1,000 image from the sensor without compression, 1.5 Mbytes of DRAM 210 are required. This equals 12 Mbits, or slightly less than 5% of a 256 Mbit DRAM. The DRAM technology assumed is of the 256 Mbit generation implemented using 0.18 $\mu$ m CMOS.

Using a standard 8F cell, the area taken by the memory array is 3.11 mm<sup>2</sup>. When row decoders, column sensors, redundancy, and other factors are taken into account, the DRAM

requires around 4 mm<sup>2</sup>.

This DRAM 210 can be mostly eliminated if analog storage of the image signal can be accurately maintained in the CMOS imaging array for the two seconds required to print the photo. However, digital storage of the image is preferable as it is maintained without degradation, is insensitive to noise, and allows copies of the photo to be printed considerably later.

A DRAM address generator 211 provides the write and read addresses to the DRAM 210. Under normal operation, the write address is determined by the order of the data read from the CMOS image sensor 201. This will typically be a simple raster format. However, the data can be read from the sensor 201 in any order, if matching write addresses to the DRAM are generated. The read order from the DRAM 210 will normally simply match the requirements of a color interpolator and the print head. As the cyan, magenta, and yellow rows of the print head are necessarily offset by a few pixels to allow space for nozzle actuators, the colors are not read from the DRAM simultaneously. However, there is plenty of time to read all of the data from the DRAM many times during the printing process. This capability is used to eliminate the need for FIFOs in the print head interface, thereby saving chip area. All three RGB image components can be read from the DRAM each time color data is required. This allows a color space converter to provide a more sophisticated conversion than a simple linear RGB to CMY conversion.

Also, to allow two dimensional filtering of the image data without requiring line buffers, data is re-read from the DRAM array.

The address generator may also implement image effects in certain models of camera. For example, passport photos are generated by a manipulation of the read addresses to the DRAM. Also, image framing effects (where the central image is reduced), image warps, and kaleidoscopic effects can all be generated by manipulating the read addresses of the DRAM.

While the address generator 211 may be implemented with substantial complexity if effects are built into the standard



chip, the chip area required for the address generator is small, as it consists only of address counters and a moderate amount of random logic.

A color interpolator 214 converts the interleaved pattern of red, 2 x green, and blue pixels into RGB pixels. It consists of three 8 bit adders and associated registers. The divisions are by either 2 (for green) or 4 (for red and blue) so they can be implemented as fixed shifts in the output connections of the adders.

A convolver 215 is provided as a sharpening filter which applies a small convolution kernel (5 x 5) to the red, green, and blue planes of the image. The convolution kernel for the green plane is different from that of the red and blue planes, as green has twice as many samples. The sharpening filter has five functions:

- To improve the color interpolation from the linear interpolation provided by the color interpolator, to a close approximation of a sinc interpolation.

- To compensate for the image 'softening' which occurs during digitization.

- To adjust the image sharpness to match average consumer preferences, which are typically for the image to be slightly sharper than reality. As the single use camera is intended as a consumer product, and not a professional photographic products, the processing can match the most popular settings, rather than the most accurate.

- To suppress the sharpening of high frequency (individual pixel) noise. The function is similar to the 'unsharp mask' process.

- To antialias Image Warping.

These functions are all combined into a single convolution matrix. As the pixel rate is low (less than 1 Mpixel per second) the total number of multiplies required for the three color channels is 56 million multiplies per second. This can be provided by a single multiplier. Fifty bytes of coefficient ROM are also required.

A color ALU 113 combines the functions of color

compensation and color space conversion into the one matrix multiplication, which is applied to every pixel of the frame. As with sharpening, the color correction should match the most popular settings, rather than the most accurate.

A color compensation circuit of the color ALU provides compensation for the lighting of the photo. The vast majority of photographs are substantially improved by a simple color compensation, which independently normalizes the contrast and brightness of the three color components.

A color look-up table (CLUT) 212 is provided for each color component. These are three separate 256 x 8 SRAMs, requiring a total of 6,144 bits. The CLUTs are used as part of the color correction process. They are also used for color special effects, such as stochastically selected "wild color" effects.

A color space conversion system of the color ALU converts from the RGB color space of the image sensor to the CMY color space of the printer. The simplest conversion is a 1's complement of the RGB data. However, this simple conversion assumes perfect linearity of both color spaces, and perfect dye spectra for both the color filters of the image sensor, and the ink dyes. At the other extreme is a tri-linear interpolation of a sampled three dimensional arbitrary transform table. This can effectively match any non-linearity or differences in either color space. Such a system is usually necessary to obtain good color space conversion when the print engine is a color electrophotographic

However, since the non-linearity of a halftoned ink jet output is very small, a simpler system can be used. A simple matrix multiply can provide excellent results. This requires nine multiplies and six additions per contone pixel. However, since the contone pixel rate is low (less than 1 Mpixel/sec) these operations can share a single multiplier and adder. The multiplier and adder are used in a color ALU which is shared with the color compensation function.

Digital halftoning can be performed as a dispersed dot ordered dither using a stochastic optimized dither cell. A

halftone matrix ROM 116 is provided for storing dither cell coefficients. A dither cell size of 32 x 32 is adequate to ensure that the cell repeat cycle is not visible. The three colors - cyan, magenta, and yellow - are all dithered using the same cell, to ensure maximum co-positioning of the ink dots. This minimizes 'muddying' of the mid-tones which results from bleed of dyes from one dot to adjacent dots while still wet. The total ROM size required is 1 KByte, as the one ROM is shared by the halftoning units for each of the three colors.

The digital halftoning used is dispersed dot ordered dither with stochastic optimized dither matrix. While dithering does not produce an image quite as 'sharp' as error diffusion, it does produce a more accurate image with fewer artifacts. The image sharpening produced by error diffusion is artificial, and less controllable and accurate than 'unsharp mask' filtering performed in the contone domain. The high print resolution (1,600 dpi x 1,600 dpi) results in excellent quality when using a well formed stochastic dither matrix.

Digital halftoning is performed by a digital halftoning unit 217 using a simple comparison between the contone information from the DRAM 210 and the contents of the dither matrix 216. During the halftone process, the resolution of the image is changed from the 250 dpi of the captured contone image to the 1,600 dpi of the printed image. Each contone pixel is converted to an average of 40.96 halftone dots.

The ICP incorporates an 16 bit microcontroller CPU core 219 to run the miscellaneous camera functions, such as reading the buttons, controlling the motor and solenoids, setting up the hardware, and authenticating the refill station. The processing power required by the CPU is very modest, and a wide variety of processor cores can be used. As the entire CPU program is run from a small ROM 220. Program compatibility between camera versions is not important, as no external programs are run. A 2 Mbit (256 Kbyte) program and data ROM 220 is included on chip. Most of this ROM space is allocated to data for outline graphics and fonts for specialty cameras. The program requirements are minor. The single most complex

task is the encrypted authentication of the refill station. The ROM requires a single transistor per bit.

A Flash memory 221 may be used to store a 128 bit authentication code. This provides higher security than storage of the authentication code in ROM, as reverse engineering can be made essentially impossible. The Flash memory is completely covered by third level metal, making the data impossible to extract using scanning probe microscopes or electron beams. The authentication code is stored in the chip when manufactured. At least two other Flash bits are required for the authentication process: a bit which locks out reprogramming of the authentication code, and a bit which indicates that the camera has been refilled by an authenticated refill station. The flash memory can also be used to store FPN correction data for the imaging array. Additionally, a phase locked loop rescaling parameter is stored is provided for scaling the clocking cycle to an appropriate correct time. The clock frequency does not require crystal accuracy since no date functions are provided. To eliminate the cost of a crystal, an on chip oscillator with a phase locked loop 124 is used. As the frequency of an on-chip oscillator is highly variable from chip to chip, the frequency ratio of the oscillator to the PLL is digitally trimmed during initial testing. The value is stored in Flash memory 121. This allows the clock PLL to control the ink-jet heater pulse width with sufficient accuracy.

A scratchpad SRAM is a small static RAM 222 with a 6T cell. The scratchpad provided temporary memory for the 16 bit CPU. 1024 bytes is adequate.

A print head interface 223 formats the data correctly for the print head. The print head interface also provides all of the timing signals required by the print head. These timing signals may vary depending upon temperature, the number of dots printed simultaneously, the print medium in the print roll, and the dye density of the ink in the print roll.

The following is a table of external connections to the print head interface:

Connection	Function	Pins
DataBits[0-7]	Independent serial data to the eight segments of the print head	8
BitClock	Main data clock for the print head	1
ColorEnable[0-2]	Independent enable signals for the CMY actuators, allowing different pulse times for each color.	3
BankEnable[0-1]	Allows either simultaneous or interleaved actuation of two banks of nozzles. This allows two different print speed/power consumption tradeoffs	2
NozzleSelect[0-4]	Selects one of 32 banks of nozzles for simultaneous actuation	5
ParallelXferClock	Loads the parallel transfer register with the data from the shift registers	1
Total		20

The print head utilized is composed of eight identical segments, each 1.25 cm long. There is no connection between the segments on the print head chip. Any connections required are made in the external TAB bonding film, which is double sided. The division into eight identical segments is to simplify lithography using wafer steppers. The segment width of 1.25 cm fits easily into a stepper field. As the print head chip is long and narrow (10 cm x 0.3 mm), the stepper field contains a single segment of 32 print head chips. The stepper field is therefore 1.25 cm x 1.6 cm. An average of four complete print heads are patterned in each wafer step.

A single BitClock output line connects to all 8 segments on the print head. The 8 DataBits lines lead one to each segment, and are clocked in to the 8 segments on the print head simultaneously (on a BitClock pulse). For example, dot 0 is transferred to segment<sub>0</sub>, dot 750 is transferred to segment<sub>1</sub>, dot

1500 to segment<sub>2</sub> etc simultaneously.

The ParallelXferClock is connected to each of the 8 segments on the print head, so that on a single pulse, all segments transfer their bits at the same time.

The NozzleSelect, BankEnable and ColorEnable lines are connected to each of the 8 segments, allowing the print head interface to independently control the duration of the cyan, magenta, and yellow nozzle energizing pulses. Registers in the Print Head Interface allow the accurate specification of the pulse duration between 0 and 6 ms, with a typical duration of 2 ms to 3 ms.

A parallel interface 125 connects the ICP to individual static electrical signals. The CPU is able to control each of these connections as memory mapped I/O via a low speed bus.

The following is a table of connections to the parallel interface:

Connection	Direction	Pins
Paper transport stepper motor	Output	4
Capping solenoid	Output	1
Copy LED	Output	1
Photo button	Input	1
Copy button	Input	1
Total		8

A serial interface is also included to allow authentication of the refill station. This is included to ensure that the cameras are only refilled with paper and ink at authorized refill stations, thus preventing inferior quality refill industry from occurring. The camera must authenticate the refill station, rather than the other way around. The secure protocol is communicated to the refill station via a serial data connection. Contact can be made to four gold plated spots on the ICP/print head TAB by the refill station as the new ink is injected into the print head.

Seven high current drive transistors eg. 227 are required. Four are for the four phases of the main stepper motor two are for the guillotine motor, and the remaining transistor is to drive the capping solenoid. These transistors are allocated 20,000 square microns (600,000 F) each. As the transistors are driving highly inductive loads, they must either be turned off slowly, or be provided with a high level of back EMF protection. If adequate back EMF protection cannot be provided using the chip process chosen, then external discrete transistors should be used. The transistors are never driven at the same time as the image sensor is used. This is to avoid voltage fluctuations and hot spots affecting the image quality. Further, the transistors are located as far away from the sensor as possible.

A standard JTAG (Joint Test Action Group) interface 228 is included in the ICP for testing purposes and for interrogation by the refill station. Due to the complexity of the chip, a variety of testing techniques are required, including BIST (Built In Self Test) and functional block isolation. An overhead of 10% in chip area is assumed for chip testing circuitry for the random logic portions. The overhead for the large arrays the image sensor and the DRAM) is smaller.

The JTAG interface is also used for authentication of the refill station. This is included to ensure that the cameras are only refilled with quality paper and ink at a properly constructed refill station, thus preventing inferior quality refills from occurring. The camera must authenticate the refill station, rather than vice versa. The secure protocol is communicated to the refill station during the automated test procedure. Contact is made to four gold plated spots on the ICP/print head TAB by the refill station as the new ink is injected into the print head.

Fig. 16 illustrates rear view of the next step in the construction process whilst Fig. 17 illustrates a front camera view.

Turning now to Fig. 16, the assembly of the camera system proceeds via first assembling the ink supply mechanism 40. The

flex PCB is interconnected with batteries only one 84 of which is shown, which are inserted in the middle portion of a print roll 85 which is wrapped around a plastic former 86. An end cap 89 is provided at the other end of the print roll 85 so as to fasten the print roll and batteries firmly to the ink supply mechanism.

The solenoid coil is interconnected (not shown) to interconnects 97, 98 (Fig. 8) which include leaf spring ends for interconnection with electrical contacts on the Flex PCB so as to provide for electrical control of the solenoid.

Turning now to Figs. 17 - 19 the next step in the construction process is the insertion of the relevant gear chains into the side of the camera chassis. Fig. 17 illustrates a front camera view, Fig. 18 illustrates a back side view and Fig. 19 also illustrates a back side view. The first gear chain comprising gear wheels 22, 23 are utilised for driving the guillotine blade with the gear wheel 23 engaging the gear wheel 65 of Fig. 8. The second gear chain comprising gear wheels 24, 25 and 26 engage one end of the print roller 61 of Fig. 8. As best indicated in Fig. 18, the gear wheels mate with corresponding buttons on the surface of the chassis with the gear wheel 26 being snap fitted into corresponding mating hole 27.

Next, as illustrated in Fig. 20, the assembled platten unit is then inserted between the print roll 85 and aluminium cutting blade 43.

Turning now to Fig. 21, by way of illumination, there is illustrated the electrically interactive components of the camera system. As noted previously, the components are based around a Flex PCB board and include a TAB film 58 which interconnects the printhead 102 with the image sensor and processing chip 51. Power is supplied by two AA type batteries 83, 84 and a paper drive stepper motor 16 is provided in addition to a rotary guillotine motor 20.

An optical element 31 is provided for snapping into a top portion of the chassis 12. The optical element 31 includes portions defining an optical view finder 32, 33 which are



slotted into mating portions 35, 36 in view finder channel 37. Also provided in the optical element 31 is a lensing system 38 for magnification of the prints left number in addition to an optical pipe element 39 for piping light from the LED 5 for external display.

Turning next to Fig. 22, the assembled unit 90 is then inserted into a front outer case 91 which includes button 4 for activation of printouts.

Turning now to Fig. 23, next, the unit 92 is provided with a snap-on back cover 93 which includes a slot 6 and copy print button 7. A wrapper label containing instructions and advertising (not shown) is then wrapped around the outer surface of the camera system and pinch clamped to the cover by means of clamp strip 96 which can comprise a flexible plastic or rubber strip.

Subsequently, the preferred embodiment is ready for use as a one time use camera system that provides for instant output images on demand. It will be evident that the preferred embodiment further provides for a refillable camera system. A used camera can be collected and its outer plastic cases removed and recycled. A new paper roll and batteries can be added and the ink cartridge refilled. A series of automatic test routines can then be carried out to ensure that the printer is properly operational. Further, in order to ensure only authorised refills are conducted so as to enhance quality, routines in the on-chip program ROM can be executed such that the camera authenticates the refilling station using a secure protocol. Upon authentication, the camera can reset an internal paper count and an external case can be fitted on the camera system with a new outer label. Subsequent packing and shipping can then take place.

It will be further readily evident to those skilled in the art that the program ROM can be modified so as to allow for a variety of digital processing routines. In addition to the digitally enhanced photographs optimised for mainstream consumer preferences, various other models can readily be provided through mere re-programming of the program ROM. For

example, a sepia classic old fashion style output can be provided through a remapping of the colour mapping function. A further alternative is to provide for black and white outputs again through a suitable colour remapping algorithm. Minimumless colour can also be provided to add a touch of colour to black and white prints to produce the effect that was traditionally used to colourize black and white photos. Further, passport photo output can be provided through suitable address remappings within the address generators. Further, edge filters can be utilised as is known in the field of image processing to produce sketched art styles. Further, classic wedding borders and designs can be placed around an output image in addition to the provision of relevant clip arts. For example, a wedding style camera might be provided. Further, a panoramic mode can be provided so as to output the well known panoramic format of images. Further, a postcard style output can be provided through the printing of postcards including postage on the back of a print roll surface. Further, cliparts can be provided for special events such as Halloween, Christmas etc. Further, kleidoscopic effects can be provided through address remappings and wild colour effects can be provided through remapping of the colour lookup table. Many other forms of special event cameras can be provided for example, cameras dedicated to the Olympics, movie tie-ins, advertising and other special events.

The operational mode of the camera can be programmed so that upon the depressing of the take photo a first image is sampled by the sensor array to determine irrelevant parameters. Next a second image is again captured which is utilised for the output. The captured image is then manipulated in accordance with any special requirements before being initially output on the paper roll. The LED light is then activated for a predetermined time during which the DRAM is refreshed so as to retain the image. If the print copy button is depressed during this predetermined time interval, a further copy of the photo is output. After the predetermined time interval where no use of the camera has occurred, the onboard CPU shuts down all

power to the camera system until such time as the take button is again activated. In this way, substantial power savings can be realized.

[illegible]

### Ink Jet Technologies

The embodiments of the invention use an ink jet printer type device. Of course many different devices could be used. However presently popular ink jet printing technologies are unlikely to be suitable.

The most significant problem with thermal inkjet is power consumption. This is approximately 100 times that required for high speed, and stems from the energy-inefficient means of drop ejection. This involves the rapid boiling of water to produce a vapor bubble which expels the ink. Water has a very high heat capacity, and must be superheated in thermal inkjet applications. This leads to an efficiency of around 0.02%, from electricity input to drop momentum (and increased surface area) out.

The most significant problem with piezoelectric inkjet is size and cost. Piezoelectric crystals have a very small deflection at reasonable drive voltages, and therefore require a large area for each nozzle. Also, each piezoelectric actuator must be connected to its drive circuit on a separate substrate. This is not a significant problem at the current limit of around 300 nozzles per print head, but is a major impediment to the fabrication of pagewidth print heads with 19,200 nozzles.

Ideally, the inkjet technologies used meet the stringent requirements of in-camera digital color printing and other high quality, high speed, low cost printing applications. To meet the requirements of digital photography, new inkjet technologies have been created. The target features include:

- low power (less than 10 Watts)
- high resolution capability (1,600 dpi or more)
- photographic quality output
- low manufacturing cost
- small size (pagewidth times minimum cross section)
- high speed (< 2 seconds per page).

All of these features can be met or exceeded by the inkjet systems described below with differing levels of difficulty. 45 different inkjet technologies have been developed by the

Assignee to give a wide range of choices for high volume manufacture. These technologies form part of separate applications assigned to the present Assignee as set out in the table below.

The inkjet designs shown here are suitable for a wide range of digital printing systems, from battery powered one-time use digital cameras, through to desktop and network printers, and through to commercial printing systems

For ease of manufacture using standard process equipment, the print head is designed to be a monolithic 0.5 micron CMOS chip with MEMS post processing. For color photographic applications, the print head is 100 mm long, with a width which depends upon the inkjet type. The smallest print head designed is IJ38, which is 0.35 mm wide, giving a chip area of 35 square mm. The print heads each contain 19,200 nozzles plus data and control circuitry.

Ink is supplied to the back of the print head by injection molded plastic ink channels. The molding requires 50 micron features, which can be created using a lithographically micromachined insert in a standard injection molding tool. Ink flows through holes etched through the wafer to the nozzle chambers fabricated on the front surface of the wafer. The print head is connected to the camera circuitry by tape automated bonding.

#### Cross-Referenced Applications

The following table is a guide to cross-referenced patent applications filed concurrently herewith and discussed hereinafter with the reference being utilized in subsequent tables when referring to a particular case:

Docket No.	Reference	Title
IJ01US	IJ01	Radiant Plunger Ink Jet Printer
IJ02US	IJ02	Electrostatic Ink Jet Printer
IJ03US	IJ03	Planar Thermoelastic Bend Actuator Ink Jet
IJ04US	IJ04	Stacked Electrostatic Ink Jet Printer
IJ05US	IJ05	Reverse Spring Lever Ink Jet Printer
IJ06US	IJ06	Paddle Type Ink Jet Printer
IJ07US	IJ07	Permanent Magnet Electromagnetic Ink Jet Printer
IJ08US	IJ08	Planar Swing Grill Electromagnetic Ink Jet Printer

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IJ09US	IJ09	Pump Action Refill Ink Jet Printer
IJ10US	IJ10	Pulsed Magnetic Field Ink Jet Printer
IJ11US	IJ11	Two Plate Reverse Firing Electromagnetic Ink Jet Printer
IJ12US	IJ12	Linear Stepper Actuator Ink Jet Printer
IJ13US	IJ13	Gear Driven Shutter Ink Jet Printer
IJ14US	IJ14	Tapered Magnetic Pole Electromagnetic Ink Jet Printer
IJ15US	IJ15	Linear Spring Electromagnetic Grill Ink Jet Printer
IJ16US	IJ16	Lorenz Diaphragm Electromagnetic Ink Jet Printer
IJ17US	IJ17	PTFE Surface Shooting Shuttered Oscillating Pressure Ink Jet Printer
IJ18US	IJ18	Buckle Grip Oscillating Pressure Ink Jet Printer
IJ19US	IJ19	Shutter Based Ink Jet Printer
IJ20US	IJ20	Curling Calyx Thermoelastic Ink Jet Printer
IJ21US	IJ21	Thermal Actuated Ink Jet Printer
IJ22US	IJ22	Iris Motion Ink Jet Printer
IJ23US	IJ23	Direct Firing Thermal Bend Actuator Ink Jet Printer
IJ24US	IJ24	Conductive PTFE Ben Activator Vented Ink Jet Printer
IJ25US	IJ25	Magnetostrictive Ink Jet Printer
IJ26US	IJ26	Shape Memory Alloy Ink Jet Printer
IJ27US	IJ27	Buckle Plate Ink Jet Printer
IJ28US	IJ28	Thermal Elastic Rotary Impeller Ink Jet Printer
IJ29US	IJ29	Thermoelastic Bend Actuator Ink Jet Printer
IJ30US	IJ30	Thermoelastic Bend Actuator Using PTFE and Corrugated Copper Ink Jet Printer
IJ31US	IJ31	Bend Actuator Direct Ink Supply Ink Jet Printer
IJ32US	IJ32	A High Young's Modulus Thermoelastic Ink Jet Printer
IJ33US	IJ33	Thermally actuated slotted chamber wall ink jet printer
IJ34US	IJ34	Ink Jet Printer having a thermal actuator comprising an external coiled spring
IJ35US	IJ35	Trough Container Ink Jet Printer
IJ36US	IJ36	Dual Chamber Single Vertical Actuator Ink Jet
IJ37US	IJ37	Dual Nozzle Single Horizontal Fulcrum Actuator Ink Jet
IJ38US	IJ38	Dual Nozzle Single Horizontal Actuator Ink Jet
IJ39US	IJ39	A single bend actuator cupped paddle ink jet printing device
IJ40US	IJ40	A thermally actuated ink jet printer having a series of thermal actuator units
IJ41US	IJ41	A thermally actuated ink jet printer including a tapered heater element
IJ42US	IJ42	Radial Back-Curling Thermoelastic Ink Jet
IJ43US	IJ43	Inverted Radial Back-Curling Thermoelastic Ink Jet
IJ44US	IJ44	Surface bend actuator vented ink supply ink jet printer
IJ45US	IJ45	Coil Acutuated Magnetic Plate Ink Jet Printer

#### Tables of Drop-on-Demand Inkjets

Eleven important characteristics of the fundamental operation of individual inkjet nozzles have been identified. These characteristics are largely orthogonal, and so can be

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elucidated as an eleven dimensional matrix. Most of the eleven axes of this matrix include entries developed by the present assignee.

The following tables form the axes of an eleven dimensional table of inkjet types.

Actuator mechanism (18 types)  
Basic operation mode (7 types)  
Auxiliary mechanism (8 types)  
Actuator amplification or modification method (17 types)  
Actuator motion (19 types)  
Nozzle refill method (4 types)  
Method of restricting back-flow through inlet (10 types)  
Nozzle clearing method (9 types)  
Nozzle plate construction (9 types)  
Drop ejection direction (5 types)  
Ink type (7 types)

The complete eleven dimensional table represented by these axes contains 36.9 billion possible configurations of inkjet nozzle. While not all of the possible combinations result in a viable inkjet technology, many million configurations are viable. It is clearly impractical to elucidate all of the possible configurations. Instead, certain inkjet types have been investigated in detail. These are designated IJ01 to IJ45 above.

Other inkjet configurations can readily be derived from these 45 examples by substituting alternative configurations along one or more of the 11 axes. Most of the IJ01 to IJ45 examples can be made into inkjet print heads with characteristics superior to any currently available inkjet technology.

Where there are prior art examples known to the inventor, one or more of these examples are listed in the examples column of the tables below. The IJ01 to IJ45 series are also listed in the examples column. In some cases, a printer may be listed more than once in a table, where it shares characteristics with more than one entry.

[illegible][illegible]



# **ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)**

Actuator Mechanism	Description	Advantages	Disadvantages	Examples
<b>Thermal bubble</b>	<p>An electrothermal heater heats the ink to above boiling point, transferring significant heat to the aqueous ink. A bubble nucleates and quickly forms, expelling the ink.</p> <p>The efficiency of the process is low, with typically less than 0.05% of the electrical energy being transformed into kinetic energy of the drop.</p>	<ul style="list-style-type: none"> <li>◆ Large force generated</li> <li>◆ Simple construction</li> <li>◆ No moving parts</li> <li>◆ Fast operation</li> <li>◆ Small chip area required for actuator</li> </ul>	<ul style="list-style-type: none"> <li>◆ High power</li> <li>◆ Ink carrier limited to water</li> <li>◆ Low efficiency</li> <li>◆ High temperatures required</li> <li>◆ High mechanical stress</li> <li>◆ Unusual materials required</li> <li>◆ Large drive transistors</li> <li>◆ Cavitation causes actuator failure</li> <li>◆ Kogation reduces bubble formation</li> <li>◆ Large print heads are difficult to fabricate</li> </ul>	<ul style="list-style-type: none"> <li>◆ Canon Bubblejet</li> <li>◆ 1979 Endo et al GB patent 2,007,162</li> <li>◆ Xerox heater-in-pit</li> <li>◆ 1990 Hawkins et al USP 4,899,181</li> <li>◆ Hewlett-Packard TJJ</li> <li>◆ 1982 Vaught et al USP 4,490,728</li> </ul>
<b>Piezoelectric</b>	<p>A piezoelectric crystal such as lead lanthanum zirconate (PZT) is electrically activated, and either expands, shears, or bends to apply pressure to the ink, ejecting drops.</p>	<ul style="list-style-type: none"> <li>◆ Low power consumption</li> <li>◆ Many ink types can be used</li> <li>◆ Fast operation</li> <li>◆ High efficiency</li> </ul>	<ul style="list-style-type: none"> <li>◆ Very large area required for actuator</li> <li>◆ Difficult to integrate with electronics</li> <li>◆ High voltage drive transistors required</li> <li>◆ Full pagewidth print heads impractical due to actuator size</li> <li>◆ Requires electrical poling in high field strengths during manufacture</li> </ul>	<ul style="list-style-type: none"> <li>◆ Kyser et al USP 3,946,398</li> <li>◆ Zoltan USP 3,683,212</li> <li>◆ 1973 Stemme USP 3,747,120</li> <li>◆ Epson Stylus</li> <li>◆ Tektronix</li> <li>◆ LJ04</li> </ul>

<b>Electro-strictive</b>	An electric field is used to activate electrostriction in relaxor materials such as lead lanthanum zirconate titanate (PLZT) or lead magnesium niobate (PMN).	<ul style="list-style-type: none"> <li>◆ Low power consumption</li> <li>◆ Many ink types can be used</li> <li>◆ Low thermal expansion</li> <li>◆ Electric field strength required (approx. 3.5 V/μm) can be generated without difficulty</li> <li>◆ Does not require electrical poling</li> </ul>	<ul style="list-style-type: none"> <li>◆ Low maximum strain (approx. 0.01%)</li> <li>◆ Large area required for actuator due to low strain</li> <li>◆ Response speed is marginal (~ 10 μs)</li> <li>◆ High voltage drive transistors required</li> <li>◆ Full pagewidth print heads impractical due to actuator size</li> </ul>	<ul style="list-style-type: none"> <li>◆ Seiko Epson, Usui et al JP 253401/96</li> <li>◆ IJ04</li> </ul>
<b>Ferroelectric</b>	An electric field is used to induce a phase transition between the antiferroelectric (AFE) and ferroelectric (FE) phase. Perovskite materials such as tin modified lead lanthanum zirconate titanate (PLZSnT) exhibit large strains of up to 1% associated with the AFE to FE phase transition.	<ul style="list-style-type: none"> <li>◆ Low power consumption</li> <li>◆ Many ink types can be used</li> <li>◆ Fast operation (&lt; 1 μs)</li> <li>◆ Relatively high longitudinal strain</li> <li>◆ High efficiency</li> <li>◆ Electric field strength of around 3 V/μm can be readily provided</li> </ul>	<ul style="list-style-type: none"> <li>◆ Difficult to integrate with electronics</li> <li>◆ Unusual materials such as PLZSnT are required</li> <li>◆ Actuators require a large area</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ04</li> </ul>
<b>Electrostatic plates</b>	Conductive plates are separated by a compressible or fluid dielectric (usually air). Upon application of a voltage, the plates attract each other and displace ink, causing drop ejection. The conductive plates may be in a comb or honeycomb structure, or stacked to increase the surface area and therefore the force.	<ul style="list-style-type: none"> <li>◆ Low power consumption</li> <li>◆ Many ink types can be used</li> <li>◆ Fast operation</li> </ul>	<ul style="list-style-type: none"> <li>◆ Difficult to operate electrostatic devices in an aqueous environment</li> <li>◆ The electrostatic actuator will normally need to be separated from the ink</li> <li>◆ Very large area required to achieve high forces</li> <li>◆ High voltage drive transistors may be required</li> <li>◆ Full pagewidth print heads are not competitive due to actuator size</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ02, IJ04</li> </ul>



<b>Magnetic Lorenz force</b>	<p>The Lorenz force acting on a current carrying wire in a magnetic field is utilized.</p> <p>This allows the magnetic field to be supplied externally to the print head, for example with rare earth permanent magnets.</p> <p>Only the current carrying wire need be fabricated on the print-head, simplifying materials requirements.</p>	<ul style="list-style-type: none"> <li>◆ Low power consumption</li> <li>◆ Many ink types can be used</li> <li>◆ Fast operation</li> <li>◆ High efficiency</li> <li>◆ Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul style="list-style-type: none"> <li>◆ Force acts as a twisting motion</li> <li>◆ Typically, only a quarter of the solenoid length provides force in a useful direction</li> <li>◆ High local currents required</li> <li>◆ Copper metalization should be used for long electromigration lifetime and low resistivity</li> <li>◆ Pigmented inks are usually infeasible</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ06, IJ11, IJ13, IJ16</li> </ul>
<b>Magneto- striction</b>	<p>The actuator uses the giant magnetostrictive effect of materials such as Terfenol-D (an alloy of terbium, dysprosium and iron developed at the Naval Ordnance Laboratory, hence Ter-Fe-NOL). For best efficiency, the actuator should be pre-stressed to approx. 8 MPa.</p>	<ul style="list-style-type: none"> <li>◆ Many ink types can be used</li> <li>◆ Fast operation</li> <li>◆ Easy extension from single nozzles to pagewidth print heads</li> <li>◆ High force is available</li> </ul>	<ul style="list-style-type: none"> <li>◆ Force acts as a twisting motion</li> <li>◆ Unusual materials such as Terfenol-D are required</li> <li>◆ High local currents required</li> <li>◆ Copper metalization should be used for long electromigration lifetime and low resistivity</li> <li>◆ Pre-stressing may be required</li> </ul>	<ul style="list-style-type: none"> <li>◆ Fischenbeck, USP 4,032,929</li> <li>◆ IJ25</li> </ul>
<b>Surface tension reduction</b>	<p>Ink under positive pressure is held in a nozzle by surface tension. The surface tension of the ink is reduced below the bubble threshold, causing the ink to egress from the nozzle.</p>	<ul style="list-style-type: none"> <li>◆ Low power consumption</li> <li>◆ Simple construction</li> <li>◆ No unusual materials required in fabrication</li> <li>◆ High efficiency</li> <li>◆ Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires supplementary force to effect drop separation</li> <li>◆ Requires special ink surfactants</li> <li>◆ Speed may be limited by surfactant properties</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> </ul>

<b>Viscosity reduction</b>	The ink viscosity is locally reduced to select which drops are to be ejected. A viscosity reduction can be achieved electrothermally with most inks, but special inks can be engineered for a 100:1 viscosity reduction.	<ul style="list-style-type: none"> <li>◆ Simple construction</li> <li>◆ No unusual materials required in fabrication</li> <li>◆ Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires supplementary force to effect drop separation</li> <li>◆ Requires special ink viscosity properties</li> <li>◆ High speed is difficult to achieve</li> <li>◆ Requires oscillating ink pressure</li> <li>◆ A high temperature difference (typically 80 degrees) is required</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> </ul>
<b>Acoustic</b>	An acoustic wave is generated and focussed upon the drop ejection region.	<ul style="list-style-type: none"> <li>◆ Can operate without a nozzle plate</li> </ul>	<ul style="list-style-type: none"> <li>◆ Complex drive circuitry</li> <li>◆ Complex fabrication</li> <li>◆ Low efficiency</li> <li>◆ Poor control of drop position</li> <li>◆ Poor control of drop volume</li> </ul>	<ul style="list-style-type: none"> <li>◆ 1993 Hadimioglu et al, EUP 550,192</li> <li>◆ 1993 Elrod et al, EUP 572,220</li> </ul>
<b>Thermoelastic bend actuator</b>	An actuator which relies upon differential thermal expansion upon Joule heating is used.	<ul style="list-style-type: none"> <li>◆ Low power consumption</li> <li>◆ Many ink types can be used</li> <li>◆ Simple planar fabrication</li> <li>◆ Small chip area required for each actuator</li> <li>◆ Fast operation</li> <li>◆ High efficiency</li> <li>◆ CMOS compatible voltages and currents</li> <li>◆ Standard MEMS processes can be used</li> <li>◆ Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul style="list-style-type: none"> <li>◆ Efficient aqueous operation requires a thermal insulator on the hot side</li> <li>◆ Corrosion prevention can be difficult</li> <li>◆ Pigmented inks may be infeasible, as pigment particles may jam the bend actuator</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ03, IJ09, IJ17, IJ18</li> <li>◆ IJ19, IJ20, IJ21, IJ22</li> <li>◆ IJ23, IJ24, IJ27, IJ28</li> <li>◆ IJ29, IJ30, IJ31, IJ32</li> <li>◆ IJ33, IJ34, IJ35, IJ36</li> <li>◆ IJ37, IJ38, IJ39, IJ40</li> <li>◆ IJ41</li> </ul>

<b>High CTE thermoelastic actuator</b>	<p>A material with a very high coefficient of thermal expansion (CTE) such as polytetrafluoroethylene (PTFE) is used. As high CTE materials are usually non-conductive, a heater fabricated from a conductive material is incorporated. A 50 <math>\mu\text{m}</math> long PTFE bend actuator with polysilicon heater and 15 mW power input can provide 180 <math>\mu\text{N}</math> force and 10 <math>\mu\text{m}</math> deflection. Actuator motions include:</p> <ol style="list-style-type: none"> <li>1) Bend</li> <li>2) Push</li> <li>3) Buckle</li> <li>4) Rotate</li> </ol>	<ul style="list-style-type: none"> <li>◆ High force can be generated</li> <li>◆ PTFE is a candidate for low dielectric constant insulation in ULSI</li> <li>◆ Very low power consumption</li> <li>◆ Many ink types can be used</li> <li>◆ Simple planar fabrication</li> <li>◆ Small chip area required for each actuator</li> <li>◆ Fast operation</li> <li>◆ High efficiency</li> <li>◆ CMOS compatible voltages and currents</li> <li>◆ Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires special material (e.g. PTFE)</li> <li>◆ Requires a PTFE deposition process, which is not yet standard in ULSI fabs</li> <li>◆ PTFE deposition cannot be followed with high temperature (above 350 °C) processing</li> <li>◆ Pigmented inks may be infeasible, as pigment particles may jam the bend actuator</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ09, IJ17, IJ18, IJ20</li> <li>◆ IJ21, IJ22, IJ23, IJ24</li> <li>◆ IJ27, IJ28, IJ29, IJ30</li> <li>◆ IJ31, IJ42, IJ43, IJ44</li> </ul>
<b>Conductive polymer thermoelastic actuator</b>	<p>A polymer with a high coefficient of thermal expansion (such as PTFE) is doped with conducting substances to increase its conductivity to about 3 orders of magnitude below that of copper. The conducting polymer expands when resistively heated. Examples of conducting dopants include:</p> <ol style="list-style-type: none"> <li>1) Carbon nanotubes</li> <li>2) Metal fibers</li> <li>3) Conductive polymers such as doped polythiophene</li> <li>4) Carbon granules</li> </ol>	<ul style="list-style-type: none"> <li>◆ High force can be generated</li> <li>◆ Very low power consumption</li> <li>◆ Many ink types can be used</li> <li>◆ Simple planar fabrication</li> <li>◆ Small chip area required for each actuator</li> <li>◆ Fast operation</li> <li>◆ High efficiency</li> <li>◆ CMOS compatible voltages and currents</li> <li>◆ Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires special materials development (High CTE conductive polymer)</li> <li>◆ Requires a PTFE deposition process, which is not yet standard in ULSI fabs</li> <li>◆ PTFE deposition cannot be followed with high temperature (above 350 °C) processing</li> <li>◆ Evaporation and CVD deposition techniques cannot be used</li> <li>◆ Pigmented inks may be infeasible, as pigment particles may jam the bend actuator</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ24</li> </ul>

<b>Shape memory alloy</b>	<p>A shape memory alloy such as TiNi (also known as Nitinol - Nickel Titanium alloy developed at the Naval Ordnance Laboratory) is thermally switched between its weak martensitic state and its high stiffness austenitic state. The shape of the actuator in its martensitic state is deformed relative to the austenitic shape. The shape change causes ejection of a drop.</p>	<ul style="list-style-type: none"> <li>◆ High force is available (stresses of hundreds of MPa)</li> <li>◆ Large strain is available (more than 3%)</li> <li>◆ High corrosion resistance</li> <li>◆ Simple construction</li> <li>◆ Easy extension from single nozzles to pagewidth print heads</li> <li>◆ Low voltage operation</li> </ul>	<ul style="list-style-type: none"> <li>◆ Fatigue limits maximum number of cycles</li> <li>◆ Low strain (1%) is required to extend fatigue resistance</li> <li>◆ Cycle rate limited by heat removal</li> <li>◆ Requires unusual materials (TiNi)</li> <li>◆ The latent heat of transformation must be provided</li> <li>◆ High current operation</li> <li>◆ Requires pre-stressing to distort the martensitic state</li> </ul>	◆ IJ26
<b>Linear Magnetic Actuator</b>	<p>Linear magnetic actuators include the Linear Induction Actuator (LIA), Linear Permanent Magnet Synchronous Actuator (LPMSA), Linear Reluctance Synchronous Actuator (LRSA), Linear Switched Reluctance Actuator (LSRA), and the Linear Stepper Actuator (LSA).</p>	<ul style="list-style-type: none"> <li>◆ Linear Magnetic actuators can be constructed with high thrust, long travel, and high efficiency using planar semiconductor fabrication techniques</li> <li>◆ Long actuator travel is available</li> <li>◆ Medium force is available</li> <li>◆ Low voltage operation</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires unusual semiconductor materials such as soft magnetic alloys (e.g. CoNiFe [1])</li> <li>◆ Some varieties also require permanent magnetic materials such as Neodymium iron boron (NdFeB)</li> <li>◆ Requires complex multi-phase drive circuitry</li> <li>◆ High current operation</li> </ul>	◆ IJ12

## BASIC OPERATION MODE

Operational mode	Description	Advantages	Disadvantages	Examples
<b>Actuator directly pushes ink</b>	This is the simplest mode of operation: the actuator directly supplies sufficient kinetic energy to expel the drop. The drop must have a sufficient velocity to overcome the surface tension.	<ul style="list-style-type: none"> <li>◆ Simple operation</li> <li>◆ No external fields required</li> <li>◆ Satellite drops can be avoided if drop velocity is less than 4 m/s</li> <li>◆ Can be efficient, depending upon the actuator used</li> </ul>	<ul style="list-style-type: none"> <li>◆ Drop repetition rate is usually limited to less than 10 KHz. However, this is not fundamental to the method, but is related to the refill method normally used</li> <li>◆ All of the drop kinetic energy must be provided by the actuator</li> <li>◆ Satellite drops usually form if drop velocity is greater than 4.5 m/s</li> </ul>	<ul style="list-style-type: none"> <li>◆ Thermal inkjet</li> <li>◆ Piezoelectric inkjet</li> <li>◆ IJ01, IJ02, IJ03, IJ04</li> <li>◆ IJ05, IJ06, IJ07, IJ09</li> <li>◆ IJ11, IJ12, IJ14, IJ16</li> <li>◆ IJ20, IJ22, IJ23, IJ24</li> <li>◆ IJ25, IJ26, IJ27, IJ28</li> <li>◆ IJ29, IJ30, IJ31, IJ32</li> <li>◆ IJ33, IJ34, IJ35, IJ36</li> <li>◆ IJ37, IJ38, IJ39, IJ40</li> <li>◆ IJ41, IJ42, IJ43, IJ44</li> </ul>
<b>Proximity</b>	The drops to be printed are selected by some manner (e.g. thermally induced surface tension reduction of pressurized ink). Selected drops are separated from the ink in the nozzle by contact with the print medium or a transfer roller.	<ul style="list-style-type: none"> <li>◆ Very simple print head fabrication can be used</li> <li>◆ The drop selection means does not need to provide the energy required to separate the drop from the nozzle</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires close proximity between the print head and the print media or transfer roller</li> <li>◆ May require two print heads printing alternate rows of the image</li> <li>◆ Monolithic color print heads are difficult</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> </ul>
<b>Electrostatic pull on ink</b>	The drops to be printed are selected by some manner (e.g. thermally induced surface tension reduction of pressurized ink). Selected drops are separated from the ink in the nozzle by a strong electric field.	<ul style="list-style-type: none"> <li>◆ Very simple print head fabrication can be used</li> <li>◆ The drop selection means does not need to provide the energy required to separate the drop from the nozzle</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires very high electrostatic field</li> <li>◆ Electrostatic field for small nozzle sizes is above air breakdown</li> <li>◆ Electrostatic field may attract dust</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> <li>◆ Tone-Jet</li> </ul>





## AUXILIARY MECHANISM (APPLIED TO ALL NOZZLES)

Auxiliary Mechanism	Description	Advantages	Disadvantages	Examples
<b>None</b>	The actuator directly fires the ink drop, and there is no external field or other mechanism required.	<ul style="list-style-type: none"> <li>◆ Simplicity of construction</li> <li>◆ Simplicity of operation</li> <li>◆ Small physical size</li> </ul>	<ul style="list-style-type: none"> <li>◆ Drop ejection energy must be supplied by individual nozzle actuator</li> </ul>	<ul style="list-style-type: none"> <li>◆ Most inkjets, including piezoelectric and thermal bubble.</li> <li>◆ IJ01- IJ07, IJ09, IJ11</li> <li>◆ IJ12, IJ14, IJ20, IJ22</li> <li>◆ IJ23- IJ45</li> </ul>
<b>Oscillating ink pressure (including acoustic stimulation)</b>	The ink pressure oscillates, providing much of the drop ejection energy. The actuator selects which drops are to be fired by selectively blocking or enabling nozzles. The ink pressure oscillation may be achieved by vibrating the print head, or preferably by an actuator in the ink supply.	<ul style="list-style-type: none"> <li>◆ Oscillating ink pressure can provide a refill pulse, allowing higher operating speed</li> <li>◆ The actuators may operate with much lower energy</li> <li>◆ Acoustic lenses can be used to focus the sound on the nozzles</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires external ink pressure oscillator</li> <li>◆ Ink pressure phase and amplitude must be carefully controlled</li> <li>◆ Acoustic reflections in the ink chamber must be designed for</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> <li>◆ IJ08, IJ13, IJ15, IJ17</li> <li>◆ IJ18, IJ19, IJ21</li> </ul>
<b>Media proximity</b>	The print head is placed in close proximity to the print medium. Selected drops protrude from the print head further than unselected drops, and contact the print medium. The drop soaks into the medium fast enough to cause drop separation.	<ul style="list-style-type: none"> <li>◆ Low power</li> <li>◆ High accuracy</li> <li>◆ Simple print head construction</li> </ul>	<ul style="list-style-type: none"> <li>◆ Precision assembly required</li> <li>◆ Paper fibers may cause problems</li> <li>◆ Cannot print on rough substrates</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> </ul>

<b>Transfer roller</b>	Drops are printed to a transfer roller instead of straight to the print medium. A transfer roller can also be used for proximity drop separation.	<ul style="list-style-type: none"> <li>◆ High accuracy</li> <li>◆ Wide range of print substrates can be used</li> <li>◆ Ink can be dried on the transfer roller</li> </ul>	<ul style="list-style-type: none"> <li>◆ Bulky</li> <li>◆ Expensive</li> <li>◆ Complex construction</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> <li>◆ Tektronix hot melt piezoelectric inkjet</li> <li>◆ Any of the IJ series</li> </ul>
<b>Electrostatic</b>	An electric field is used to accelerate selected drops towards the print medium.	<ul style="list-style-type: none"> <li>◆ Low power</li> <li>◆ Simple print head construction</li> </ul>	<ul style="list-style-type: none"> <li>◆ Field strength required for separation of small drops is near or above air breakdown</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> <li>◆ Tone-Jet</li> </ul>
<b>Direct magnetic field</b>	A magnetic field is used to accelerate selected drops of magnetic ink towards the print medium.	<ul style="list-style-type: none"> <li>◆ Low power</li> <li>◆ Simple print head construction</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires magnetic ink</li> <li>◆ Requires strong magnetic field</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> </ul>
<b>Cross magnetic field</b>	The print head is placed in a constant magnetic field. The Lorenz force in a current carrying wire is used to move the actuator.	<ul style="list-style-type: none"> <li>◆ Does not require magnetic materials to be integrated in the print head manufacturing process</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires external magnet</li> <li>◆ Current densities may be high, resulting in electromigration problems</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ06, IJ16</li> </ul>
<b>Pulsed magnetic field</b>	A pulsed magnetic field is used to cyclically attract a paddle, which pushes on the ink. A small actuator moves a catch, which selectively prevents the paddle from moving.	<ul style="list-style-type: none"> <li>◆ Very low power operation is possible</li> <li>◆ Small print head size</li> </ul>	<ul style="list-style-type: none"> <li>◆ Complex print head construction</li> <li>◆ Magnetic materials required in print head</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ10</li> </ul>

## ACTUATOR AMPLIFICATION OR MODIFICATION METHOD

Actuator amplification	Description	Advantages	Disadvantages	Examples
<b>None</b>	No actuator mechanical amplification is used. The actuator directly drives the drop ejection process.	<ul style="list-style-type: none"> <li>◆ Operational simplicity</li> </ul>	<ul style="list-style-type: none"> <li>◆ Many actuator mechanisms have insufficient travel, or insufficient force, to efficiently drive the drop ejection process</li> </ul>	<ul style="list-style-type: none"> <li>◆ Thermal Bubble Inkjet</li> <li>◆ IJ01, IJ02, IJ06, IJ07</li> <li>◆ IJ16, IJ25, IJ26</li> </ul>
<b>Differential expansion bend actuator</b>	An actuator material expands more on one side than on the other. The expansion may be thermal, piezoelectric, magnetostrictive, or other mechanism.	<ul style="list-style-type: none"> <li>◆ Provides greater travel in a reduced print head area</li> <li>◆ The bend actuator converts a high force low travel actuator mechanism to high travel, lower force mechanism.</li> </ul>	<ul style="list-style-type: none"> <li>◆ High stresses are involved</li> <li>◆ Care must be taken that the materials do not delaminate</li> <li>◆ Residual bend resulting from high temperature or high stress during formation</li> </ul>	<ul style="list-style-type: none"> <li>◆ Piezoelectric</li> <li>◆ IJ03, IJ09, IJ17-IJ24</li> <li>◆ IJ27, IJ29-IJ39, IJ42, IJ43, IJ44</li> </ul>
<b>Transient bend actuator</b>	A trilayer bend actuator where the two outside layers are identical. This cancels bend due to ambient temperature and residual stress. The actuator only responds to transient heating of one side or the other.	<ul style="list-style-type: none"> <li>◆ Very good temperature stability</li> <li>◆ High speed, as a new drop can be fired before heat dissipates</li> <li>◆ Cancels residual stress of formation</li> </ul>	<ul style="list-style-type: none"> <li>◆ High stresses are involved</li> <li>◆ Care must be taken that the materials do not delaminate</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ40, IJ41</li> </ul>
<b>Actuator stack</b>	A series of thin actuators are stacked. This can be appropriate where actuators require high electric field strength, such as electrostatic and piezoelectric actuators.	<ul style="list-style-type: none"> <li>◆ Increased travel</li> <li>◆ Reduced drive voltage</li> </ul>	<ul style="list-style-type: none"> <li>◆ Increased fabrication complexity</li> <li>◆ Increased possibility of short circuits due to pinholes</li> </ul>	<ul style="list-style-type: none"> <li>◆ Some piezoelectric ink jets</li> <li>◆ IJ04</li> </ul>
<b>Multiple actuators</b>	Multiple smaller actuators are used simultaneously to move the ink. Each actuator need provide only a portion of the force required.	<ul style="list-style-type: none"> <li>◆ Increases the force available from an actuator</li> <li>◆ Multiple actuators can be positioned to control ink flow accurately</li> </ul>	<ul style="list-style-type: none"> <li>◆ Actuator forces may not add linearly, reducing efficiency</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ12, IJ13, IJ18, IJ20</li> <li>◆ IJ22, IJ28, IJ42, IJ43</li> </ul>

<b>Linear Spring</b>	A linear spring is used to transform a motion with small travel and high force into a longer travel, lower force motion.	<ul style="list-style-type: none"> <li>◆ Matches low travel actuator with higher travel requirements</li> <li>◆ Non-contact method of motion transformation</li> </ul>	◆ Requires print head area for the spring	◆ IJ15
<b>Reverse spring</b>	The actuator loads a spring. When the actuator is turned off, the spring releases. This can reverse the force/distance curve of the actuator to make it compatible with the force/time requirements of the drop ejection.	<ul style="list-style-type: none"> <li>◆ Better coupling to the ink</li> </ul>	<ul style="list-style-type: none"> <li>◆ Fabrication complexity</li> <li>◆ High stress in the spring</li> </ul>	◆ IJ05, IJ11
<b>Coiled actuator</b>	A bend actuator is coiled to provide greater travel in a reduced chip area.	<ul style="list-style-type: none"> <li>◆ Increases travel</li> <li>◆ Reduces chip area</li> <li>◆ Planar implementations are relatively easy to fabricate.</li> </ul>	◆ Generally restricted to planar implementations due to extreme fabrication difficulty in other orientations.	◆ IJ17, IJ21, IJ34, IJ35
<b>Flexure bend actuator</b>	A bend actuator has a small region near the fixture point, which flexes much more readily than the remainder of the actuator. The actuator flexing is effectively converted from an even coiling to an angular bend, resulting in greater travel of the actuator tip.	<ul style="list-style-type: none"> <li>◆ Simple means of increasing travel of a bend actuator</li> </ul>	<ul style="list-style-type: none"> <li>◆ Care must be taken not to exceed the elastic limit in the flexure area</li> <li>◆ Stress distribution is very uneven</li> <li>◆ Difficult to accurately model with finite element analysis</li> </ul>	◆ IJ10, IJ19, IJ33
<b>Gears</b>	Gears can be used to increase travel at the expense of duration. Circular gears, rack and pinion, ratchets, and other gearing methods can be used.	<ul style="list-style-type: none"> <li>◆ Low force, low travel actuators can be used</li> <li>◆ Can be fabricated using standard surface MEMS processes</li> </ul>	<ul style="list-style-type: none"> <li>◆ Moving parts are required</li> <li>◆ Several actuator cycles are required</li> <li>◆ More complex drive electronics</li> <li>◆ Complex construction</li> <li>◆ Friction, friction, and wear are possible</li> </ul>	◆ IJ13

<b>Catch</b>	The actuator controls a small catch. The catch either enables or disables movement of an ink pusher that is controlled in a bulk manner.	<ul style="list-style-type: none"> <li>♦ Very low actuator energy</li> <li>♦ Very small actuator size</li> </ul>	<ul style="list-style-type: none"> <li>♦ Complex construction</li> <li>♦ Requires external force</li> <li>♦ Unsuitable for pigmented inks</li> </ul>	♦ IJ10
<b>Buckle plate</b>	A buckle plate can be used to change a slow actuator into a fast motion. It can also convert a high force, low travel actuator into a high travel, medium force motion.	<ul style="list-style-type: none"> <li>♦ Very fast movement achievable</li> </ul>	<ul style="list-style-type: none"> <li>♦ Must stay within elastic limits of the materials for long device life</li> <li>♦ High stresses involved</li> <li>♦ Generally high power requirement</li> </ul>	<ul style="list-style-type: none"> <li>♦ S. Hirata et al, "An Ink-jet Head ...", Proc. IEEE MEMS, Feb. 1996, pp 418-423.</li> <li>♦ IJ18, IJ27</li> </ul>
<b>Tapered magnetic pole</b>	A tapered magnetic pole can increase travel at the expense of force.	<ul style="list-style-type: none"> <li>♦ Linearizes the magnetic force/distance curve</li> </ul>	<ul style="list-style-type: none"> <li>♦ Complex construction</li> </ul>	♦ IJ14
<b>Lever</b>	A lever and fulcrum is used to transform a motion with small travel and high force into a motion with longer travel and lower force. The lever can also reverse the direction of travel.	<ul style="list-style-type: none"> <li>♦ Matches low travel actuator with higher travel requirements</li> <li>♦ Fulcrum area has no linear movement, and can be used for a fluid seal</li> </ul>	<ul style="list-style-type: none"> <li>♦ High stress around the fulcrum</li> </ul>	♦ IJ32, IJ36, IJ37
<b>Rotary impeller</b>	The actuator is connected to a rotary impeller. A small angular deflection of the actuator results in a rotation of the impeller vanes, which push the ink against stationary vanes and out of the nozzle.	<ul style="list-style-type: none"> <li>♦ High mechanical advantage</li> <li>♦ The ratio of force to travel of the actuator can be matched to the nozzle requirements by varying the number of impeller vanes</li> </ul>	<ul style="list-style-type: none"> <li>♦ Complex construction</li> <li>♦ Unsuitable for pigmented inks</li> </ul>	♦ IJ28
<b>Acoustic lens</b>	A refractive or diffractive (e.g. zone plate) acoustic lens is used to concentrate sound waves.	<ul style="list-style-type: none"> <li>♦ No moving parts</li> </ul>	<ul style="list-style-type: none"> <li>♦ Large area required</li> <li>♦ Only relevant for acoustic ink jets</li> </ul>	<ul style="list-style-type: none"> <li>♦ 1993 Hadimioglu et al, EUP 550,192</li> <li>♦ 1993 Elrod et al, EUP 572,220</li> </ul>
<b>Sharp conductive point</b>	A sharp point is used to concentrate an electrostatic field.	<ul style="list-style-type: none"> <li>♦ Simple construction</li> </ul>	<ul style="list-style-type: none"> <li>♦ Difficult to fabricate using standard VLSI processes for a surface ejecting ink-jet</li> <li>♦ Only relevant for electrostatic ink jets</li> </ul>	<ul style="list-style-type: none"> <li>♦ Tone-jet</li> </ul>

## ACTUATOR MOTION

Actuator motion	Description	Advantages	Disadvantages	Examples
<b>Volume expansion</b>	The volume of the actuator changes, pushing the ink in all directions.	<ul style="list-style-type: none"> <li>Simple construction in the case of thermal ink jet</li> </ul>	<ul style="list-style-type: none"> <li>High energy is typically required to achieve volume expansion. This leads to thermal stress, cavitation, and kogation in thermal ink jet implementations</li> </ul>	<ul style="list-style-type: none"> <li>Hewlett-Packard Thermal Inkjet</li> <li>Canon Bubblejet</li> </ul>
<b>Linear, normal to chip surface</b>	The actuator moves in a direction normal to the print head surface. The nozzle is typically in the line of movement.	<ul style="list-style-type: none"> <li>Efficient coupling to ink drops ejected normal to the surface</li> </ul>	<ul style="list-style-type: none"> <li>High fabrication complexity may be required to achieve perpendicular motion</li> </ul>	<ul style="list-style-type: none"> <li>IJ01, IJ02, IJ04, IJ07</li> <li>IJ11, IJ14</li> </ul>
<b>Linear, parallel to chip surface</b>	The actuator moves parallel to the print head surface. Drop ejection may still be normal to the surface.	<ul style="list-style-type: none"> <li>Suitable for planar fabrication</li> </ul>	<ul style="list-style-type: none"> <li>Fabrication complexity</li> <li>Friction</li> <li>Stiction</li> </ul>	<ul style="list-style-type: none"> <li>IJ12, IJ13, IJ15, IJ33, IJ34, IJ35, IJ36</li> </ul>
<b>Membrane push</b>	An actuator with a high force but small area is used to push a stiff membrane that is in contact with the ink.	<ul style="list-style-type: none"> <li>The effective area of the actuator becomes the membrane area</li> </ul>	<ul style="list-style-type: none"> <li>Fabrication complexity</li> <li>Actuator size</li> <li>Difficulty of integration in a VLSI process</li> </ul>	<ul style="list-style-type: none"> <li>1982 Howkins USP 4,459,601</li> </ul>
<b>Rotary</b>	The actuator causes the rotation of some element, such a grill or impeller	<ul style="list-style-type: none"> <li>Rotary levers may be used to increase travel</li> <li>Small chip area requirements</li> </ul>	<ul style="list-style-type: none"> <li>Device complexity</li> <li>May have friction at a pivot point</li> </ul>	<ul style="list-style-type: none"> <li>IJ05, IJ08, IJ13, IJ28</li> </ul>
<b>Bend</b>	The actuator bends when energized. This may be due to differential thermal expansion, piezoelectric expansion, magnetostriction, or other form of relative dimensional change.	<ul style="list-style-type: none"> <li>A very small change in dimensions can be converted to a large motion.</li> </ul>	<ul style="list-style-type: none"> <li>Requires the actuator to be made from at least two distinct layers, or to have a thermal difference across the actuator</li> </ul>	<ul style="list-style-type: none"> <li>1970 Kyser et al USP 3,946,398</li> <li>1973 Stemme USP 3,747,120</li> <li>IJ03, IJ09, IJ10, IJ19</li> <li>IJ23, IJ24, IJ25, IJ29</li> <li>IJ30, IJ31, IJ33, IJ34</li> <li>IJ35</li> </ul>

<b>Swivel</b>	The actuator swivels around a central pivot. This motion is suitable where there are opposite forces applied to opposite sides of the paddle, e.g. Lorenz force.	<ul style="list-style-type: none"> <li>◆ Allows operation where the net linear force on the paddle is zero</li> <li>◆ Small chip area requirements</li> </ul>	◆ Inefficient coupling to the ink motion	◆ IJ06
<b>Straighten</b>	The actuator is normally bent, and straightens when energized.	<ul style="list-style-type: none"> <li>◆ Can be used with shape memory alloys where the austenitic phase is planar</li> </ul>	◆ Requires careful balance of stresses to ensure that the quiescent bend is accurate	◆ IJ26, IJ32
<b>Double bend</b>	The actuator bends in one direction when one element is energized, and bends the other way when another element is energized.	<ul style="list-style-type: none"> <li>◆ One actuator can be used to power two nozzles.</li> <li>◆ Reduced chip size.</li> <li>◆ Not sensitive to ambient temperature</li> </ul>	<ul style="list-style-type: none"> <li>◆ Difficult to make the drops ejected by both bend directions identical.</li> <li>◆ A small efficiency loss compared to equivalent single bend actuators.</li> </ul>	◆ IJ36, IJ37, IJ38
<b>Shear</b>	Energizing the actuator causes a shear motion in the actuator material.	<ul style="list-style-type: none"> <li>◆ Can increase the effective travel of piezoelectric actuators</li> </ul>	◆ Not readily applicable to other actuator mechanisms	◆ 1985 Fishbeck USP 4,584,590
<b>Radial constriction</b>	The actuator squeezes an ink reservoir, forcing ink from a constricted nozzle.	<ul style="list-style-type: none"> <li>◆ Relatively easy to fabricate single nozzles from glass tubing as macroscopic structures</li> </ul>	<ul style="list-style-type: none"> <li>◆ High force required</li> <li>◆ Inefficient</li> <li>◆ Difficult to integrate with VLSI processes</li> </ul>	◆ 1970 Zoltan USP 3,683,212
<b>Coil / uncoil</b>	A coiled actuator uncoils or coils more tightly. The motion of the free end of the actuator ejects the ink.	<ul style="list-style-type: none"> <li>◆ Easy to fabricate as a planar VLSI process</li> <li>◆ Small area required, therefore low cost</li> </ul>	<ul style="list-style-type: none"> <li>◆ Difficult to fabricate for non-planar devices</li> <li>◆ Poor out-of-plane stiffness</li> </ul>	◆ IJ17, IJ21, IJ34, IJ35
<b>Bow</b>	The actuator bows (or buckles) in the middle when energized.	<ul style="list-style-type: none"> <li>◆ Can increase the speed of travel</li> <li>◆ Mechanically rigid</li> </ul>	<ul style="list-style-type: none"> <li>◆ Maximum travel is constrained</li> <li>◆ High force required</li> </ul>	◆ IJ16, IJ18, IJ27
<b>Push-Pull</b>	Two actuators control a shutter. One actuator pulls the shutter, and the other pushes it.	<ul style="list-style-type: none"> <li>◆ The structure is pinned at both ends, so has a high out-of-plane rigidity</li> </ul>	◆ Not readily suitable for inkjets which directly push the ink	◆ IJ18



<b>Curl inwards</b>	A set of actuators curl inwards to reduce the volume of ink that they enclose.	♦ Good fluid flow to the region behind the actuator increases efficiency	♦ Design complexity	♦ IJ20, IJ42
<b>Curl outwards</b>	A set of actuators curl outwards, pressurizing ink in a chamber surrounding the actuators, and expelling ink from a nozzle in the chamber.	♦ Relatively simple construction	♦ Relatively large chip area	♦ IJ43
<b>Iris</b>	Multiple vanes enclose a volume of ink. These simultaneously rotate, reducing the volume between the vanes.	♦ High efficiency ♦ Small chip area	♦ High fabrication complexity ♦ Not suitable for pigmented inks	♦ IJ22
<b>Acoustic vibration</b>	The actuator vibrates at a high frequency.	♦ The actuator can be physically distant from the ink	♦ Large area required for efficient operation at useful frequencies ♦ Acoustic coupling and crosstalk ♦ Complex drive circuitry ♦ Poor control of drop volume and position	♦ 1993 Hadimioglu et al, EUP 550,192 ♦ 1993 Elrod et al, EUP 572,220
<b>None</b>	In various ink jet designs the actuator does not move.	♦ No moving parts	♦ Various other tradeoffs are required to eliminate moving parts	♦ Silverbrook, EP 0771 658 A2 and related patent applications ♦ Tone-jet

## NOZZLE REFILL METHOD

Nozzle refill method	Description	Advantages	Disadvantages	Examples
<b>Surface tension</b>	After the actuator is energized, it typically returns rapidly to its normal position. This rapid return sucks in air through the nozzle opening. The ink surface tension at the nozzle then exerts a small force restoring the meniscus to a minimum area.	<ul style="list-style-type: none"> <li>◆ Fabrication simplicity</li> <li>◆ Operational simplicity</li> </ul>	<ul style="list-style-type: none"> <li>◆ Low speed</li> <li>◆ Surface tension force relatively small compared to actuator force</li> <li>◆ Long refill time usually dominates the total repetition rate</li> </ul>	<ul style="list-style-type: none"> <li>◆ Thermal inkjet</li> <li>◆ Piezoelectric inkjet</li> <li>◆ IJ01-IJ07, IJ10-IJ14</li> <li>◆ IJ16, IJ20, IJ22-IJ45</li> </ul>
<b>Shuttered oscillating ink pressure</b>	Ink to the nozzle chamber is provided at a pressure that oscillates at twice the drop ejection frequency. When a drop is to be ejected, the shutter is opened for 3 half cycles: drop ejection, actuator return, and refill.	<ul style="list-style-type: none"> <li>◆ High speed</li> <li>◆ Low actuator energy, as the actuator need only open or close the shutter, instead of ejecting the ink drop</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires common ink pressure oscillator</li> <li>◆ May not be suitable for pigmented inks</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ08, IJ13, IJ15, IJ17</li> <li>◆ IJ18, IJ19, IJ21</li> </ul>
<b>Refill actuator</b>	After the main actuator has ejected a drop a second (refill) actuator is energized. The refill actuator pushes ink into the nozzle chamber. The refill actuator returns slowly, to prevent its return from emptying the chamber again.	<ul style="list-style-type: none"> <li>◆ High speed, as the nozzle is actively refilled</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires two independent actuators per nozzle</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ09</li> </ul>
<b>Positive ink pressure</b>	The ink is held a slight positive pressure. After the ink drop is ejected, the nozzle chamber fills quickly as surface tension and ink pressure both operate to refill the nozzle.	<ul style="list-style-type: none"> <li>◆ High refill rate, therefore a high drop repetition rate is possible</li> </ul>	<ul style="list-style-type: none"> <li>◆ Surface spill must be prevented</li> <li>◆ Highly hydrophobic print head surfaces are required</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771</li> <li>◆ 658 A2 and related patent applications</li> <li>◆ Alternative for:</li> <li>◆ IJ01-IJ07, IJ10-IJ14</li> <li>◆ IJ16, IJ20, IJ22-IJ45</li> </ul>

# METHOD OF RESTRICTING BACK-FLOW THROUGH INLET

Inlet back-flow restriction method	Description	Advantages	Disadvantages	Examples
<b>Long inlet channel</b>	The ink inlet channel to the nozzle chamber is made long and relatively narrow, relying on viscous drag to reduce inlet back-flow.	<ul style="list-style-type: none"> <li>Design simplicity</li> <li>Operational simplicity</li> <li>Reduces crosstalk</li> </ul>	<ul style="list-style-type: none"> <li>Restricts refill rate</li> <li>May result in a relatively large chip area</li> <li>Only partially effective</li> </ul>	<ul style="list-style-type: none"> <li>Thermal inkjet</li> <li>Piezoelectric inkjet</li> <li>IJ42, IJ43</li> </ul>
<b>Positive ink pressure</b>	<p>The ink is under a positive pressure, so that in the quiescent state some of the ink drop already protrudes from the nozzle.</p> <p>This reduces the pressure in the nozzle chamber which is required to eject a certain volume of ink. The reduction in chamber pressure results in a reduction in ink pushed out through the inlet.</p>	<ul style="list-style-type: none"> <li>Drop selection and separation forces can be reduced</li> <li>Fast refill time</li> </ul>	<ul style="list-style-type: none"> <li>Requires a method (such as a nozzle rim or effective hydrophobizing, or both) to prevent flooding of the ejection surface of the print head.</li> </ul>	<ul style="list-style-type: none"> <li>Silverbrook, EP 0771 658 A2 and related patent applications</li> <li>Possible operation of the following: <ul style="list-style-type: none"> <li>IJ01-IJ07, IJ09- IJ12</li> <li>IJ14, IJ16, IJ20, IJ22,</li> <li>IJ23-IJ34, IJ36- IJ41</li> <li>IJ44</li> </ul> </li> </ul>
<b>Baffle</b>	One or more baffles are placed in the inlet ink flow. When the actuator is energized, the rapid ink movement creates eddies which restrict the flow through the inlet. The slower refill process is unrestricted, and does not result in eddies.	<ul style="list-style-type: none"> <li>The refill rate is not as restricted as the long inlet method.</li> <li>Reduces crosstalk</li> </ul>	<ul style="list-style-type: none"> <li>Design complexity</li> <li>May increase fabrication complexity (e.g. Tektronix hot melt Piezoelectric print heads).</li> </ul>	<ul style="list-style-type: none"> <li>HP Thermal Ink Jet</li> <li>Tektronix piezoelectric ink jet</li> </ul>
<b>Flexible flap restricts inlet</b>	In this method recently disclosed by Canon, the expanding actuator (bubble) pushes on a flexible flap that restricts the inlet.	<ul style="list-style-type: none"> <li>Significantly reduces back-flow for edge-shooter thermal ink jet devices</li> </ul>	<ul style="list-style-type: none"> <li>Not applicable to most inkjet configurations</li> <li>Increased fabrication complexity</li> <li>Inelastic deformation of polymer flap results in creep over extended use</li> </ul>	<ul style="list-style-type: none"> <li>Canon</li> </ul>

<b>Inlet filter</b>	A filter is located between the ink inlet and the nozzle chamber. The filter has a multitude of small holes or slots, restricting ink flow. The filter also removes particles which may block the nozzle.	<ul style="list-style-type: none"> <li>◆ Additional advantage of ink filtration</li> <li>◆ Ink filter may be fabricated with no additional process steps</li> </ul>	<ul style="list-style-type: none"> <li>◆ Restricts refill rate</li> <li>◆ May result in complex construction</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ04, IJ12, IJ24, IJ27</li> <li>◆ IJ29, IJ30</li> </ul>
<b>Small inlet compared to nozzle</b>	The ink inlet channel to the nozzle chamber has a substantially smaller cross section than that of the nozzle, resulting in easier ink egress out of the nozzle than out of the inlet.	<ul style="list-style-type: none"> <li>◆ Design simplicity</li> </ul>	<ul style="list-style-type: none"> <li>◆ Restricts refill rate</li> <li>◆ May result in a relatively large chip area</li> <li>◆ Only partially effective</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ02, IJ37, IJ44</li> </ul>
<b>Inlet shutter</b>	A secondary actuator controls the position of a shutter, closing off the ink inlet when the main actuator is energized.	<ul style="list-style-type: none"> <li>◆ Increases speed of the ink-jet print head operation</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires separate refill actuator and drive circuit</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ09</li> </ul>
<b>The inlet is located behind the ink-pushing surface</b>	The method avoids the problem of inlet back-flow by arranging the ink-pushing surface of the actuator between the inlet and the nozzle.	<ul style="list-style-type: none"> <li>◆ Back-flow problem is eliminated</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires careful design to minimize the negative pressure behind the paddle</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ01, IJ03, IJ05, IJ06</li> <li>◆ IJ07, IJ10, IJ11, IJ14</li> <li>◆ IJ16, IJ22, IJ23, IJ25</li> <li>◆ IJ28, IJ31, IJ32, IJ33</li> <li>◆ IJ34, IJ35, IJ36, IJ39</li> <li>◆ IJ40, IJ41</li> </ul>
<b>Part of the actuator moves to shut off the inlet</b>	The actuator and a wall of the ink chamber are arranged so that the motion of the actuator closes off the inlet.	<ul style="list-style-type: none"> <li>◆ Significant reductions in back-flow can be achieved</li> <li>◆ Compact designs possible</li> </ul>	<ul style="list-style-type: none"> <li>◆ Small increase in fabrication complexity</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ07, IJ20, IJ26, IJ38</li> </ul>
<b>Nozzle actuator does not result in ink back-flow</b>	In some configurations of ink jet, there is no expansion or movement of an actuator which may cause ink back-flow through the inlet.	<ul style="list-style-type: none"> <li>◆ Ink back-flow problem is eliminated</li> </ul>	<ul style="list-style-type: none"> <li>◆ None related to ink back-flow on actuation</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> <li>◆ Valve-jet</li> <li>◆ Tone-jet</li> <li>◆ IJ08, IJ13, IJ15, IJ17</li> <li>◆ IJ18, IJ19, IJ21</li> </ul>

## NOZZLE CLEARING METHOD

Nozzle Clearing method	Description	Advantages	Disadvantages	Examples
<b>Normal nozzle firing</b>	<p>All of the nozzles are fired periodically, before the ink has a chance to dry. When not in use the nozzles are sealed (capped) against air.</p> <p>The nozzle firing is usually performed during a special clearing cycle, after first moving the print head to a cleaning station.</p>	<ul style="list-style-type: none"> <li>◆ No added complexity on the print head</li> </ul>	<ul style="list-style-type: none"> <li>◆ May not be sufficient to displace dried ink</li> </ul>	<ul style="list-style-type: none"> <li>◆ Most ink jet systems</li> <li>◆ IJ01- IJ07, IJ09- IJ12</li> <li>◆ IJ14, IJ16, IJ20, IJ22</li> <li>◆ IJ23- IJ34, IJ36- IJ45</li> </ul>
<b>Extra power to ink heater</b>	<p>In systems which heat the ink, but do not boil it under normal situations, nozzle clearing can be achieved by over-powering the heater and boiling ink at the nozzle.</p>	<ul style="list-style-type: none"> <li>◆ Can be highly effective if the heater is adjacent to the nozzle</li> </ul>	<ul style="list-style-type: none"> <li>◆ Requires higher drive voltage for clearing</li> <li>◆ May require larger drive transistors</li> </ul>	<ul style="list-style-type: none"> <li>◆ Silverbrook, EP 0771 658 A2 and related patent applications</li> </ul>
<b>Rapid succession of actuator pulses</b>	<p>The actuator is fired in rapid succession. In some configurations, this may cause heat build-up at the nozzle which boils the ink, clearing the nozzle. In other situations, it may cause sufficient vibrations to dislodge clogged nozzles.</p>	<ul style="list-style-type: none"> <li>◆ Does not require extra drive circuits on the print head</li> <li>◆ Can be readily controlled and initiated by digital logic</li> </ul>	<ul style="list-style-type: none"> <li>◆ Effectiveness depends substantially upon the configuration of the inkjet nozzle</li> </ul>	<ul style="list-style-type: none"> <li>◆ May be used with:</li> <li>◆ IJ01- IJ07, IJ09- IJ11</li> <li>◆ IJ14, IJ16, IJ20, IJ22</li> <li>◆ IJ23- IJ25, IJ27- IJ34</li> <li>◆ IJ36- IJ45</li> </ul>
<b>Extra power to ink pushing actuator</b>	<p>Where an actuator is not normally driven to the limit of its motion, nozzle clearing may be assisted by providing an enhanced drive signal to the actuator.</p>	<ul style="list-style-type: none"> <li>◆ A simple solution where applicable</li> </ul>	<ul style="list-style-type: none"> <li>◆ Not suitable where there is a hard limit to actuator movement</li> </ul>	<ul style="list-style-type: none"> <li>◆ May be used with:</li> <li>◆ IJ03, IJ09, IJ16, IJ20</li> <li>◆ IJ23, IJ24, IJ25, IJ27</li> <li>◆ IJ29, IJ30, IJ31, IJ32</li> <li>◆ IJ39, IJ40, IJ41, IJ42</li> <li>◆ IJ43, IJ44, IJ45</li> </ul>

<b>Acoustic resonance</b>	An ultrasonic wave is applied to the ink chamber. This wave is of an appropriate amplitude and frequency to cause sufficient force at the nozzle to clear blockages. This is easiest to achieve if the ultrasonic wave is at a resonant frequency of the ink cavity.	<ul style="list-style-type: none"> <li>♦ A high nozzle clearing capability can be achieved</li> <li>♦ May be implemented at very low cost in systems which already include acoustic actuators</li> </ul>	<ul style="list-style-type: none"> <li>♦ High implementation cost if system does not already include an acoustic actuator</li> </ul>	<ul style="list-style-type: none"> <li>♦ IJ08, IJ13, IJ15, IJ17</li> <li>♦ IJ18, IJ19, IJ21</li> </ul>
<b>Nozzle clearing plate</b>	A microfabricated plate is pushed against the nozzles. The plate has a post for every nozzle. The array of posts	<ul style="list-style-type: none"> <li>♦ Can clear severely clogged nozzles</li> </ul>	<ul style="list-style-type: none"> <li>♦ Accurate mechanical alignment is required</li> <li>♦ Moving parts are required</li> <li>♦ There is risk of damage to the nozzles</li> <li>♦ Accurate fabrication is required</li> </ul>	<ul style="list-style-type: none"> <li>♦ Silverbrook, EP 0771 658 A2 and related patent applications</li> </ul>
<b>Ink pressure pulse</b>	The pressure of the ink is temporarily increased so that ink streams from all of the nozzles. This may be used in conjunction with actuator energizing.	<ul style="list-style-type: none"> <li>♦ May be effective where other methods cannot be used</li> </ul>	<ul style="list-style-type: none"> <li>♦ Requires pressure pump or other pressure actuator</li> <li>♦ Expensive</li> <li>♦ Wasteful of ink</li> </ul>	<ul style="list-style-type: none"> <li>♦ May be used with all IJ series ink jets</li> </ul>
<b>Print head wiper</b>	A flexible 'blade' is wiped across the print head surface. The blade is usually fabricated from a flexible polymer, e.g. rubber or synthetic elastomer.	<ul style="list-style-type: none"> <li>♦ Effective for planar print head surfaces</li> <li>♦ Low cost</li> </ul>	<ul style="list-style-type: none"> <li>♦ Difficult to use if print head surface is non-planar or very fragile</li> <li>♦ Requires mechanical parts</li> <li>♦ Blade can wear out in high volume print systems</li> </ul>	<ul style="list-style-type: none"> <li>♦ Many ink jet systems</li> </ul>
<b>Separate ink boiling heater</b>	A separate heater is provided at the nozzle although the normal drop ejection mechanism does not require it. The heaters do not require individual drive circuits, as many nozzles can be cleared simultaneously, and no imaging is required.	<ul style="list-style-type: none"> <li>♦ Can be effective where other nozzle clearing methods cannot be used</li> <li>♦ Can be implemented at no additional cost in some inkjet configurations</li> </ul>	<ul style="list-style-type: none"> <li>♦ Fabrication complexity</li> </ul>	<ul style="list-style-type: none"> <li>♦ Can be used with many IJ series ink jets</li> </ul>

## NOZZLE PLATE CONSTRUCTION

Nozzle plate construction	Description	Advantages	Disadvantages	Examples
<b>Electroformed nickel</b>	A nozzle plate is separately fabricated from electroformed nickel, and bonded to the print head chip.	<ul style="list-style-type: none"> <li>◆ Fabrication simplicity</li> </ul>	<ul style="list-style-type: none"> <li>◆ High temperatures and pressures are required to bond nozzle plate</li> <li>◆ Minimum thickness constraints</li> <li>◆ Differential thermal expansion</li> </ul>	<ul style="list-style-type: none"> <li>◆ Hewlett Packard Thermal Inkjet</li> </ul>
<b>Laser ablated or drilled polymer</b>	Individual nozzle holes are ablated by an intense UV laser in a nozzle plate, which is typically a polymer such as polyimide or polysulphone	<ul style="list-style-type: none"> <li>◆ No masks required</li> <li>◆ Can be quite fast</li> <li>◆ Some control over nozzle profile is possible</li> <li>◆ Equipment required is relatively low cost</li> </ul>	<ul style="list-style-type: none"> <li>◆ Each hole must be individually formed</li> <li>◆ Special equipment required</li> <li>◆ Slow where there are many thousands of nozzles per print head</li> <li>◆ May produce thin burrs at exit holes</li> </ul>	<ul style="list-style-type: none"> <li>◆ Canon Bubblejet</li> <li>◆ 1988 Sercel et al., SPIE, Vol. 998 Excimer Beam Applications, pp. 76-83</li> <li>◆ 1993 Watanabe et al., USP 5,208,604</li> </ul>
<b>Silicon micro-machined</b>	A separate nozzle plate is micromachined from single crystal silicon, and bonded to the print head wafer.	<ul style="list-style-type: none"> <li>◆ High accuracy is attainable</li> </ul>	<ul style="list-style-type: none"> <li>◆ Two part construction</li> <li>◆ High cost</li> <li>◆ Requires precision alignment</li> <li>◆ Nozzles may be clogged by adhesive</li> </ul>	<ul style="list-style-type: none"> <li>◆ K. Bean, IEEE Transactions on Electron Devices, Vol. ED-25, No. 10, 1978, pp 1185-1195</li> <li>◆ Xerox 1990 Hawkins et al., USP 4,899,181</li> </ul>
<b>Glass capillaries</b>	Fine glass capillaries are drawn from glass tubing. This method has been used for making individual nozzles, but is difficult to use for bulk manufacturing of print heads with thousands of nozzles.	<ul style="list-style-type: none"> <li>◆ No expensive equipment required</li> <li>◆ Simple to make single nozzles</li> </ul>	<ul style="list-style-type: none"> <li>◆ Very small nozzle sizes are difficult to form</li> <li>◆ Not suited for mass production</li> </ul>	<ul style="list-style-type: none"> <li>◆ 1970 Zoltan USP 3,683,212</li> </ul>

<b>Monolithic, surface micro-machined using VLSI lithographic processes</b>	The nozzle plate is deposited as a layer using standard VLSI deposition techniques. Nozzles are etched in the nozzle plate using VLSI lithography and etching.	<ul style="list-style-type: none"> <li>High accuracy (<math>&lt;1 \mu\text{m}</math>)</li> <li>Monolithic</li> <li>Low cost</li> <li>Existing processes can be used</li> </ul>	<ul style="list-style-type: none"> <li>Requires sacrificial layer under the nozzle plate to form the nozzle chamber</li> <li>Surface may be fragile to the touch</li> </ul>	<ul style="list-style-type: none"> <li>Silverbrook, EP 0771 658 A2 and related patent applications</li> <li>IJ01, IJ02, IJ04, IJ11</li> <li>IJ12, IJ17, IJ18, IJ20</li> <li>IJ22, IJ24, IJ27, IJ28</li> <li>IJ29, IJ30, IJ31, IJ32</li> <li>IJ33, IJ34, IJ36, IJ37</li> <li>IJ38, IJ39, IJ40, IJ41</li> <li>IJ42, IJ43, IJ44</li> </ul>
<b>Monolithic, etched through substrate</b>	The nozzle plate is a buried etch stop in the wafer. Nozzle chambers are etched in the front of the wafer, and the wafer is thinned from the back side. Nozzles are then etched in the etch stop layer.	<ul style="list-style-type: none"> <li>High accuracy (<math>&lt;1 \mu\text{m}</math>)</li> <li>Monolithic</li> <li>Low cost</li> <li>No differential expansion</li> </ul>	<ul style="list-style-type: none"> <li>Requires long etch times</li> <li>Requires a support wafer</li> </ul>	<ul style="list-style-type: none"> <li>IJ03, IJ05, IJ06, IJ07</li> <li>IJ08, IJ09, IJ10, IJ13</li> <li>IJ14, IJ15, IJ16, IJ19</li> <li>IJ21, IJ23, IJ25, IJ26</li> </ul>
<b>No nozzle plate</b>	Various methods have been tried to eliminate the nozzles entirely, to prevent nozzle clogging. These include thermal bubble mechanisms and acoustic lens mechanisms	<ul style="list-style-type: none"> <li>No nozzles to become clogged</li> </ul>	<ul style="list-style-type: none"> <li>Difficult to control drop position accurately</li> <li>Crosstalk problems</li> </ul>	<ul style="list-style-type: none"> <li>Ricoh 1995 Sekiya et al USP 5,412,413</li> <li>1993 Hadimioglu et al EUP 550,192</li> <li>1993 Elrod et al EUP 572,220</li> </ul>
<b>Trough</b>	Each drop ejector has a trough through which a paddle moves. There is no nozzle plate.	<ul style="list-style-type: none"> <li>Reduced manufacturing complexity</li> <li>Monolithic</li> </ul>	<ul style="list-style-type: none"> <li>Drop firing direction is sensitive to wicking.</li> </ul>	<ul style="list-style-type: none"> <li>IJ35</li> </ul>
<b>Nozzle slit instead of individual nozzles</b>	The elimination of nozzle holes and replacement by a slit encompassing many actuator positions reduces nozzle clogging, but increases crosstalk due to ink surface waves	<ul style="list-style-type: none"> <li>No nozzles to become clogged</li> </ul>	<ul style="list-style-type: none"> <li>Difficult to control drop position accurately</li> <li>Crosstalk problems</li> </ul>	<ul style="list-style-type: none"> <li>1989 Saito et al USP 4,799,068</li> </ul>



## DROP EJECTION DIRECTION

Ejection direction	Description	Advantages	Disadvantages	Examples
<b>Edge</b> (‘edge shooter’)	Ink flow is along the surface of the chip, and ink drops are ejected from the chip edge.	<ul style="list-style-type: none"> <li>Simple construction</li> <li>No silicon etching required</li> <li>Good heat sinking via substrate</li> <li>Mechanically strong</li> <li>Ease of chip handling</li> </ul>	<ul style="list-style-type: none"> <li>Nozzles limited to edge</li> <li>High resolution is difficult</li> <li>Fast color printing requires one print head per color</li> </ul>	<ul style="list-style-type: none"> <li>Canon Bubblejet</li> <li>1979 Endo et al GB patent 2,007,162</li> <li>Xerox heater-in-pit</li> <li>1990 Hawkins et al USP 4,899,181</li> <li>Tone-jet</li> </ul>
<b>Surface</b> (‘roof shooter’)	Ink flow is along the surface of the chip, and ink drops are ejected from the chip surface, normal to the plane of the chip.	<ul style="list-style-type: none"> <li>No bulk silicon etching required</li> <li>Silicon can make an effective heat sink</li> <li>Mechanical strength</li> </ul>	<ul style="list-style-type: none"> <li>Maximum ink flow is severely restricted</li> </ul>	<ul style="list-style-type: none"> <li>Hewlett-Packard TIJ</li> <li>1982 Vaught et al USP 4,490,728</li> <li>IJ02, IJ11, IJ12, IJ20</li> <li>IJ22</li> </ul>
<b>Through chip, forward</b> (‘up shooter’)	Ink flow is through the chip, and ink drops are ejected from the front surface of the chip.	<ul style="list-style-type: none"> <li>High ink flow</li> <li>Suitable for pagewidth print</li> <li>High nozzle packing density therefore low manufacturing cost</li> </ul>	<ul style="list-style-type: none"> <li>Requires bulk silicon etching</li> </ul>	<ul style="list-style-type: none"> <li>Silverbrook, EP 0771 658 A2 and related patent applications</li> <li>IJ04, IJ17, IJ18, IJ24</li> <li>IJ27-IJ45</li> </ul>
<b>Through chip, reverse</b> (‘down shooter’)	Ink flow is through the chip, and ink drops are ejected from the rear surface of the chip.	<ul style="list-style-type: none"> <li>High ink flow</li> <li>Suitable for pagewidth print</li> <li>High nozzle packing density therefore low manufacturing cost</li> </ul>	<ul style="list-style-type: none"> <li>Requires wafer thinning</li> <li>Requires special handling during manufacture</li> </ul>	<ul style="list-style-type: none"> <li>IJ01, IJ03, IJ05, IJ06</li> <li>IJ07, IJ08, IJ09, IJ10</li> <li>IJ13, IJ14, IJ15, IJ16</li> <li>IJ19, IJ21, IJ23, IJ25</li> <li>IJ26</li> </ul>
<b>Through actuator</b>	Ink flow is through the actuator, which is not fabricated as part of the same substrate as the drive transistors.	<ul style="list-style-type: none"> <li>Suitable for piezoelectric print heads</li> </ul>	<ul style="list-style-type: none"> <li>Pagewidth print heads require several thousand connections to drive circuits</li> <li>Cannot be manufactured in standard CMOS fabs</li> <li>Complex assembly required</li> </ul>	<ul style="list-style-type: none"> <li>Epson Stylus</li> <li>Tektronix hot melt piezoelectric ink jets</li> </ul>

## INK TYPE

Ink type	Description	Advantages	Disadvantages	Examples
<b>Aqueous, dye</b>	Water based ink which typically contains: water, dye, surfactant, humectant, and biocide. Modern ink dyes have high water-fastness, light fastness	<ul style="list-style-type: none"> <li>◆ Environmentally friendly</li> <li>◆ No odor</li> </ul>	<ul style="list-style-type: none"> <li>◆ Slow drying</li> <li>◆ Corrosive</li> <li>◆ Bleeds on paper</li> <li>◆ May strikethrough</li> <li>◆ Cockles paper</li> </ul>	<ul style="list-style-type: none"> <li>◆ Most existing inkjets</li> <li>◆ All IJ series ink jets</li> <li>◆ Silverbrook, EP 0771</li> <li>◆ 658 A2 and related patent applications</li> </ul>
<b>Aqueous, pigment</b>	Water based ink which typically contains: water, pigment, surfactant, humectant, and biocide. Pigments have an advantage in reduced bleed, wicking and strikethrough.	<ul style="list-style-type: none"> <li>◆ Environmentally friendly</li> <li>◆ No odor</li> <li>◆ Reduced bleed</li> <li>◆ Reduced wicking</li> <li>◆ Reduced strikethrough</li> </ul>	<ul style="list-style-type: none"> <li>◆ Slow drying</li> <li>◆ Corrosive</li> <li>◆ Pigment may clog nozzles</li> <li>◆ Pigment may clog actuator mechanisms</li> <li>◆ Cockles paper</li> </ul>	<ul style="list-style-type: none"> <li>◆ IJ02, IJ04, IJ21, IJ26</li> <li>◆ IJ27, IJ30</li> <li>◆ Silverbrook, EP 0771</li> <li>◆ 658 A2 and related patent applications</li> <li>◆ Piezoelectric ink-jets</li> <li>◆ Thermal ink jets (with significant restrictions)</li> </ul>
<b>Methyl Ethyl Ketone (MEK)</b>	MEK is a highly volatile solvent used for industrial printing on difficult surfaces such as aluminum cans.	<ul style="list-style-type: none"> <li>◆ Very fast drying</li> <li>◆ Prints on various substrates such as metals and plastics</li> </ul>	<ul style="list-style-type: none"> <li>◆ Odorous</li> <li>◆ Flammable</li> </ul>	<ul style="list-style-type: none"> <li>◆ All IJ series ink jets</li> </ul>
<b>Alcohol (ethanol, 2-butanol, and others)</b>	Alcohol based inks can be used where the printer must operate at temperatures below the freezing point of water. An example of this is in-camera consumer photographic printing.	<ul style="list-style-type: none"> <li>◆ Fast drying</li> <li>◆ Operates at sub-freezing temperatures</li> <li>◆ Reduced paper cockle</li> <li>◆ Low cost</li> </ul>	<ul style="list-style-type: none"> <li>◆ Slight odor</li> <li>◆ Flammable</li> </ul>	<ul style="list-style-type: none"> <li>◆ All IJ series ink jets</li> </ul>

<b>Phase change (hot melt)</b>	The ink is solid at room temperature, and is melted in the print head before jetting. Hot melt inks are usually wax based, with a melting point around 80 °C. After jetting the ink freezes almost instantly upon contacting the print medium or a transfer roller.	<ul style="list-style-type: none"> <li>◆ No drying time- ink instantly freezes on the print medium</li> <li>◆ Almost any print medium can be used</li> <li>◆ No paper cockle occurs</li> <li>◆ No wicking occurs</li> <li>◆ No bleed occurs</li> <li>◆ No strikethrough occurs</li> </ul>	<ul style="list-style-type: none"> <li>◆ High viscosity</li> <li>◆ Printed ink typically has a 'waxy' feel</li> <li>◆ Printed pages may 'block'</li> <li>◆ Ink temperature may be above the curie point of permanent magnets</li> <li>◆ Ink heaters consume power</li> <li>◆ Long warm-up time</li> </ul>	<ul style="list-style-type: none"> <li>◆ Tektronix hot melt piezoelectric ink jets</li> <li>◆ 1989 Nowak USP 4,820,346</li> <li>◆ All IJ series ink jets</li> </ul>
<b>Oil</b>	Oil based inks are extensively used in offset printing. They have advantages in improved characteristics on paper (especially no wicking or cockle). Oil soluble dyes and pigments are required.	<ul style="list-style-type: none"> <li>◆ High solubility medium for some dyes</li> <li>◆ Does not cockle paper</li> <li>◆ Does not wick through paper</li> </ul>	<ul style="list-style-type: none"> <li>◆ High viscosity: this is a significant limitation for use in inkjets, which usually require a low viscosity. Some short chain and multi-branched oils have a sufficiently low viscosity.</li> <li>◆ Slow drying</li> </ul>	<ul style="list-style-type: none"> <li>◆ All IJ series ink jets</li> </ul>
<b>Microemulsion</b>	A microemulsion is a stable, self forming emulsion of oil, water, and surfactant. The characteristic drop size is less than 100 nm, and is determined by the preferred curvature of the surfactant.	<ul style="list-style-type: none"> <li>◆ Stops ink bleed</li> <li>◆ High dye solubility</li> <li>◆ Water, oil, and amphiphilic soluble dyes can be used</li> <li>◆ Can stabilize pigment suspensions</li> </ul>	<ul style="list-style-type: none"> <li>◆ Viscosity higher than water</li> <li>◆ Cost is slightly higher than water based ink</li> <li>◆ High surfactant concentration required (around 5%)</li> </ul>	<ul style="list-style-type: none"> <li>◆ All IJ series ink jets</li> </ul>

### Ink Jet Printing

5 A large number of new forms of ink jet printers have been developed to facilitate alternative ink jet technologies for the image processing and data distribution system. Various combinations of ink jet devices can be included in printer devices incorporated as part of the present invention. Australian Provisional Patent Applications relating to these ink jets which are specifically incorporated by cross reference include:

Australian Provisional Number	Filing Date	Title
PO8066	15-Jul-97	Image Creation Method and Apparatus (IJ01)
PO8072	15-Jul-97	Image Creation Method and Apparatus (IJ02)
PO8040	15-Jul-97	Image Creation Method and Apparatus (IJ03)
PO8071	15-Jul-97	Image Creation Method and Apparatus (IJ04)
PO8047	15-Jul-97	Image Creation Method and Apparatus (IJ05)
PO8035	15-Jul-97	Image Creation Method and Apparatus (IJ06)
PO8044	15-Jul-97	Image Creation Method and Apparatus (IJ07)
PO8063	15-Jul-97	Image Creation Method and Apparatus (IJ08)
PO8057	15-Jul-97	Image Creation Method and Apparatus (IJ09)
PO8056	15-Jul-97	Image Creation Method and Apparatus (IJ10)
PO8069	15-Jul-97	Image Creation Method and Apparatus (IJ11)
PO8049	15-Jul-97	Image Creation Method and Apparatus (IJ12)
PO8036	15-Jul-97	Image Creation Method and Apparatus (IJ13)
PO8048	15-Jul-97	Image Creation Method and Apparatus (IJ14)
PO8070	15-Jul-97	Image Creation Method and Apparatus (IJ15)
PO8067	15-Jul-97	Image Creation Method and Apparatus (IJ16)
PO8001	15-Jul-97	Image Creation Method and Apparatus (IJ17)
PO8038	15-Jul-97	Image Creation Method and Apparatus (IJ18)
PO8033	15-Jul-97	Image Creation Method and Apparatus (IJ19)
PO8002	15-Jul-97	Image Creation Method and Apparatus (IJ20)
PO8068	15-Jul-97	Image Creation Method and Apparatus (IJ21)
PO8062	15-Jul-97	Image Creation Method and Apparatus (IJ22)
PO8034	15-Jul-97	Image Creation Method and Apparatus (IJ23)
PO8039	15-Jul-97	Image Creation Method and Apparatus (IJ24)
PO8041	15-Jul-97	Image Creation Method and Apparatus (IJ25)
PO8004	15-Jul-97	Image Creation Method and Apparatus (IJ26)

PO8037	15-Jul-97	Image Creation Method and Apparatus (IJ27)
PO8043	15-Jul-97	Image Creation Method and Apparatus (IJ28)
PO8042	15-Jul-97	Image Creation Method and Apparatus (IJ29)
PO8064	15-Jul-97	Image Creation Method and Apparatus (IJ30)
PO9389	23-Sep-97	Image Creation Method and Apparatus (IJ31)
PO9391	23-Sep-97	Image Creation Method and Apparatus (IJ32)
PP0888	12-Dec-97	Image Creation Method and Apparatus (IJ33)
PP0891	12-Dec-97	Image Creation Method and Apparatus (IJ34)
PP0890	12-Dec-97	Image Creation Method and Apparatus (IJ35)
PP0873	12-Dec-97	Image Creation Method and Apparatus (IJ36)
PP0993	12-Dec-97	Image Creation Method and Apparatus (IJ37)
PP0890	12-Dec-97	Image Creation Method and Apparatus (IJ38)
PP1398	19-Jan-98	An Image Creation Method and Apparatus (IJ39)
PP2592	25-Mar-98	An Image Creation Method and Apparatus (IJ40)
PP2593	25-Mar-98	Image Creation Method and Apparatus (IJ41)
PP3991	9-Jun-98	Image Creation Method and Apparatus (IJ42)
PP3987	9-Jun-98	Image Creation Method and Apparatus (IJ43)
PP3985	9-Jun-98	Image Creation Method and Apparatus (IJ44)
PP3983	9-Jun-98	Image Creation Method and Apparatus (IJ45)

### Ink Jet Manufacturing

Further, the present application may utilize advanced semiconductor fabrication techniques in the construction of large arrays of ink jet printers. Suitable manufacturing techniques are described in the following Australian provisional patent specifications incorporated here by cross-reference:

Australian Provisional Number	Filing Date	Title
PO7935	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM01)
PO7936	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM02)
PO7937	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM03)
PO8061	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM04)
PO8054	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM05)
PO8065	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM06)
PO8055	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM07)
PO8053	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM08)
PO8078	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM09)

PO7933	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM10)
PO7950	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM11)
PO7949	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM12)
PO8060	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM13)
PO8059	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM14)
PO8073	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM15)
PO8076	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM16)
PO8075	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM17)
PO8079	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM18)
PO8050	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM19)
PO8052	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM20)
PO7948	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM21)
PO7951	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM22)
PO8074	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM23)
PO7941	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM24)
PO8077	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM25)
PO8058	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM26)
PO8051	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM27)
PO8045	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM28)
PO7952	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM29)
PO8046	15-Jul-97	A Method of Manufacture of an Image Creation Apparatus (IJM30)
PO8503	11-Aug-97	A Method of Manufacture of an Image Creation Apparatus (IJM30a)
PO9390	23-Sep-97	A Method of Manufacture of an Image Creation Apparatus (IJM31)
PO9392	23-Sep-97	A Method of Manufacture of an Image Creation Apparatus (IJM32)
PP0889	12-Dec-97	A Method of Manufacture of an Image Creation Apparatus (IJM35)
PP0887	12-Dec-97	A Method of Manufacture of an Image Creation Apparatus (IJM36)
PP0882	12-Dec-97	A Method of Manufacture of an Image Creation Apparatus (IJM37)
PP0874	12-Dec-97	A Method of Manufacture of an Image Creation Apparatus (IJM38)
PP1396	19-Jan-98	A Method of Manufacture of an Image Creation Apparatus (IJM39)
PP2591	25-Mar-98	A Method of Manufacture of an Image Creation Apparatus (IJM41)
PP3989	9-Jun-98	A Method of Manufacture of an Image Creation Apparatus (IJM40)
PP3990	9-Jun-98	A Method of Manufacture of an Image Creation Apparatus (IJM42)
PP3986	9-Jun-98	A Method of Manufacture of an Image Creation Apparatus (IJM43)
PP3984	9-Jun-98	A Method of Manufacture of an Image Creation Apparatus (IJM44)
PP3982	9-Jun-98	A Method of Manufacture of an Image Creation Apparatus (IJM45)

### **Fluid Supply**

Further, the present application may utilize an ink delivery system to the ink jet head. Delivery systems relating to the supply of ink to a series of ink jet nozzles are described in the following Australian provisional patent specifications, the disclosure of which are hereby incorporated by cross-reference:

<b>Australian Provisional Number</b>	<b>Filing Date</b>	<b>Title</b>
PO8003	15-Jul-97	Supply Method and Apparatus (F1)
PO8005	15-Jul-97	Supply Method and Apparatus (F2)
PO9404	23-Sep-97	A Device and Method (F3)

### **MEMS Technology**

Further, the present application may utilize advanced semiconductor microelectromechanical techniques in the construction of large arrays of ink jet printers. Suitable microelectromechanical techniques are described in the following Australian provisional patent specifications incorporated here by cross-reference:

<b>Australian Provisional Number</b>	<b>Filing Date</b>	<b>Title</b>
PO7943	15-Jul-97	A device (MEMS01)
PO8006	15-Jul-97	A device (MEMS02)
PO8007	15-Jul-97	A device (MEMS03)
PO8008	15-Jul-97	A device (MEMS04)
PO8010	15-Jul-97	A device (MEMS05)
PO8011	15-Jul-97	A device (MEMS06)
PO7947	15-Jul-97	A device (MEMS07)
PO7945	15-Jul-97	A device (MEMS08)
PO7944	15-Jul-97	A device (MEMS09)
PO7946	15-Jul-97	A device (MEMS10)
PO9393	23-Sep-97	A Device and Method (MEMS11)
PP0875	12-Dec-97	A Device (MEMS12)
PP0894	12-Dec-97	A Device and Method (MEMS13)

### IR Technologies

Further, the present application may include the utilization of a disposable camera system such as those described in the following Australian provisional patent specifications incorporated here by cross-reference:

Australian Provisional Number	Filing Date	Title
PP0895	12-Dec-97	An Image Creation Method and Apparatus (IR01)
PP0870	12-Dec-97	A Device and Method (IR02)
PP0869	12-Dec-97	A Device and Method (IR04)
PP0887	12-Dec-97	Image Creation Method and Apparatus (IR05)
PP0885	12-Dec-97	An Image Production System (IR06)
PP0884	12-Dec-97	Image Creation Method and Apparatus (IR10)
PP0886	12-Dec-97	Image Creation Method and Apparatus (IR12)
PP0871	12-Dec-97	A Device and Method (IR13)
PP0876	12-Dec-97	An Image Processing Method and Apparatus (IR14)
PP0877	12-Dec-97	A Device and Method (IR16)
PP0878	12-Dec-97	A Device and Method (IR17)
PP0879	12-Dec-97	A Device and Method (IR18)
PP0883	12-Dec-97	A Device and Method (IR19)
PP0880	12-Dec-97	A Device and Method (IR20)
PP0881	12-Dec-97	A Device and Method (IR21)

### DotCard Technologies

Further, the present application may include the utilization of a data distribution system such as that described in the following Australian provisional patent specifications incorporated here by cross-reference:

Australian Provisional Number	Filing Date	Title
PP2370	16-Mar-98	Data Processing Method and Apparatus (Dot01)
PP2371	16-Mar-98	Data Processing Method and Apparatus (Dot02)



# Artcam Technologies

Further, the present application may include the utilization of camera and data processing techniques such as an Artcam type device as described in the following Australian provisional patent specifications incorporated here by cross-reference:

Australian Provisional Number	Filing Date	Title
PO7991	15-Jul-97	Image Processing Method and Apparatus (ART01)
PO8505	11-Aug-97	Image Processing Method and Apparatus (ART01a)
PO7988	15-Jul-97	Image Processing Method and Apparatus (ART02)
PO7993	15-Jul-97	Image Processing Method and Apparatus (ART03)
PO8012	15-Jul-97	Image Processing Method and Apparatus (ART05)
PO8017	15-Jul-97	Image Processing Method and Apparatus (ART06)
PO8014	15-Jul-97	Media Device (ART07)
PO8025	15-Jul-97	Image Processing Method and Apparatus (ART08)
PO8032	15-Jul-97	Image Processing Method and Apparatus (ART09)
PO7999	15-Jul-97	Image Processing Method and Apparatus (ART10)
PO7998	15-Jul-97	Image Processing Method and Apparatus (ART11)
PO8031	15-Jul-97	Image Processing Method and Apparatus (ART12)
PO8030	15-Jul-97	Media Device (ART13)
PO8498	11-Aug-97	Image Processing Method and Apparatus (ART14)
PO7997	15-Jul-97	Media Device (ART15)
PO7979	15-Jul-97	Media Device (ART16)
PO8015	15-Jul-97	Media Device (ART17)
PO7978	15-Jul-97	Media Device (ART18)
PO7982	15-Jul-97	Data Processing Method and Apparatus (ART19)
PO7989	15-Jul-97	Data Processing Method and Apparatus (ART20)
PO8019	15-Jul-97	Media Processing Method and Apparatus (ART21)
PO7980	15-Jul-97	Image Processing Method and Apparatus (ART22)
PO7942	15-Jul-97	Image Processing Method and Apparatus (ART23)
PO8018	15-Jul-97	Image Processing Method and Apparatus (ART24)
PO7938	15-Jul-97	Image Processing Method and Apparatus (ART25)
PO8016	15-Jul-97	Image Processing Method and Apparatus (ART26)
PO8024	15-Jul-97	Image Processing Method and Apparatus (ART27)
PO7940	15-Jul-97	Data Processing Method and Apparatus (ART28)
PO7939	15-Jul-97	Data Processing Method and Apparatus (ART29)
PO8501	11-Aug-97	Image Processing Method and Apparatus (ART30)

PO8500	11-Aug-97	Image Processing Method and Apparatus (ART31)
PO7987	15-Jul-97	Data Processing Method and Apparatus (ART32)
PO8022	15-Jul-97	Image Processing Method and Apparatus (ART33)
PO8497	11-Aug-97	Image Processing Method and Apparatus (ART30)
PO8029	15-Jul-97	Sensor Creation Method and Apparatus (ART36)
PO7985	15-Jul-97	Data Processing Method and Apparatus (ART37)
PO8020	15-Jul-97	Data Processing Method and Apparatus (ART38)
PO8023	15-Jul-97	Data Processing Method and Apparatus (ART39)
PO9395	23-Sep-97	Data Processing Method and Apparatus (ART4)
PO8021	15-Jul-97	Data Processing Method and Apparatus (ART40)
PO8504	11-Aug-97	Image Processing Method and Apparatus (ART42)
PO8000	15-Jul-97	Data Processing Method and Apparatus (ART43)
PO7977	15-Jul-97	Data Processing Method and Apparatus (ART44)
PO7934	15-Jul-97	Data Processing Method and Apparatus (ART45)
PO7990	15-Jul-97	Data Processing Method and Apparatus (ART46)
PO8499	11-Aug-97	Image Processing Method and Apparatus (ART47)
PO8502	11-Aug-97	Image Processing Method and Apparatus (ART48)
PO7981	15-Jul-97	Data Processing Method and Apparatus (ART50)
PO7986	15-Jul-97	Data Processing Method and Apparatus (ART51)
PO7983	15-Jul-97	Data Processing Method and Apparatus (ART52)
PO8026	15-Jul-97	Image Processing Method and Apparatus (ART53)
PO8027	15-Jul-97	Image Processing Method and Apparatus (ART54)
PO8028	15-Jul-97	Image Processing Method and Apparatus (ART56)
PO9394	23-Sep-97	Image Processing Method and Apparatus (ART57)
PO9396	23-Sep-97	Data Processing Method and Apparatus (ART58)
PO9397	23-Sep-97	Data Processing Method and Apparatus (ART59)
PO9398	23-Sep-97	Data Processing Method and Apparatus (ART60)
PO9399	23-Sep-97	Data Processing Method and Apparatus (ART61)
PO9400	23-Sep-97	Data Processing Method and Apparatus (ART62)
PO9401	23-Sep-97	Data Processing Method and Apparatus (ART63)
PO9402	23-Sep-97	Data Processing Method and Apparatus (ART64)
PO9403	23-Sep-97	Data Processing Method and Apparatus (ART65)
PO9405	23-Sep-97	Data Processing Method and Apparatus (ART66)
PP0959	16-Dec-97	A Data Processing Method and Apparatus (ART68)
PP1397	19-Jan-98	A Media Device (ART69)

We Claim:

1. In a camera system comprising:

an image sensor device for sensing an image;

a processing means for processing said sensed image;

5 a print media supply means for the supply of print media to a print head;

a print head for printing said sensed image on said print media stored internally to said camera system;

10 a portable power supply interconnected to said print head, said sensor and said processing means; and

a guillotine mechanism located between said print media supply means and said print head and adapted to cut said print media into sheets of a predetermined size.

15 2. A method as claimed in claim 3 wherein said guillotine mechanism is detachable from said camera system.

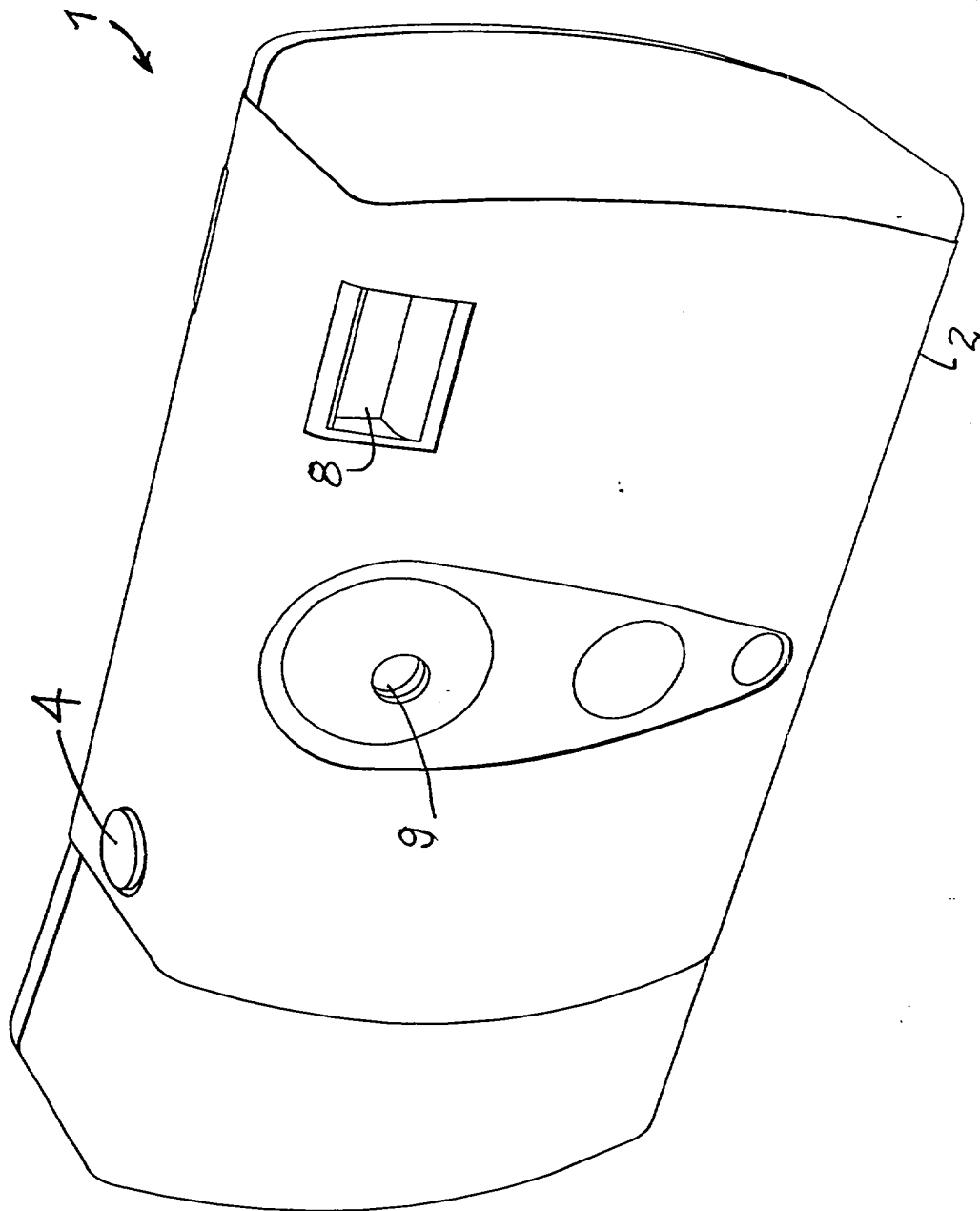
3. A method as claimed in claim 4 wherein said guillotine mechanism is attached to said print media supply means and is detachable from said camera system with said print media supply means.

20 4. A method as claimed in claim 1 wherein said guillotine mechanism is mounted on a platten unit below said print head.

Abstract

A camera system comprising: an image sensor device for sensing an image; a processing means for processing the sensed image; a print media supply means for the supply of print media to a print head; a print head for printing the sensed image on the print media stored internally to the camera system; a portable power supply interconnected to the print head, the sensor and the processing means; and a guillotine mechanism located between the print media supply means and the print head and adapted to cut the print media into sheets of a predetermined size. Further, preferably, the guillotine mechanism is detachable from the camera system. The guillotine mechanism can be attached to the print media supply means and is detachable from the camera system with the print media supply means. The guillotine mechanism can be mounted on a platten unit below the print head.

Fig. 1



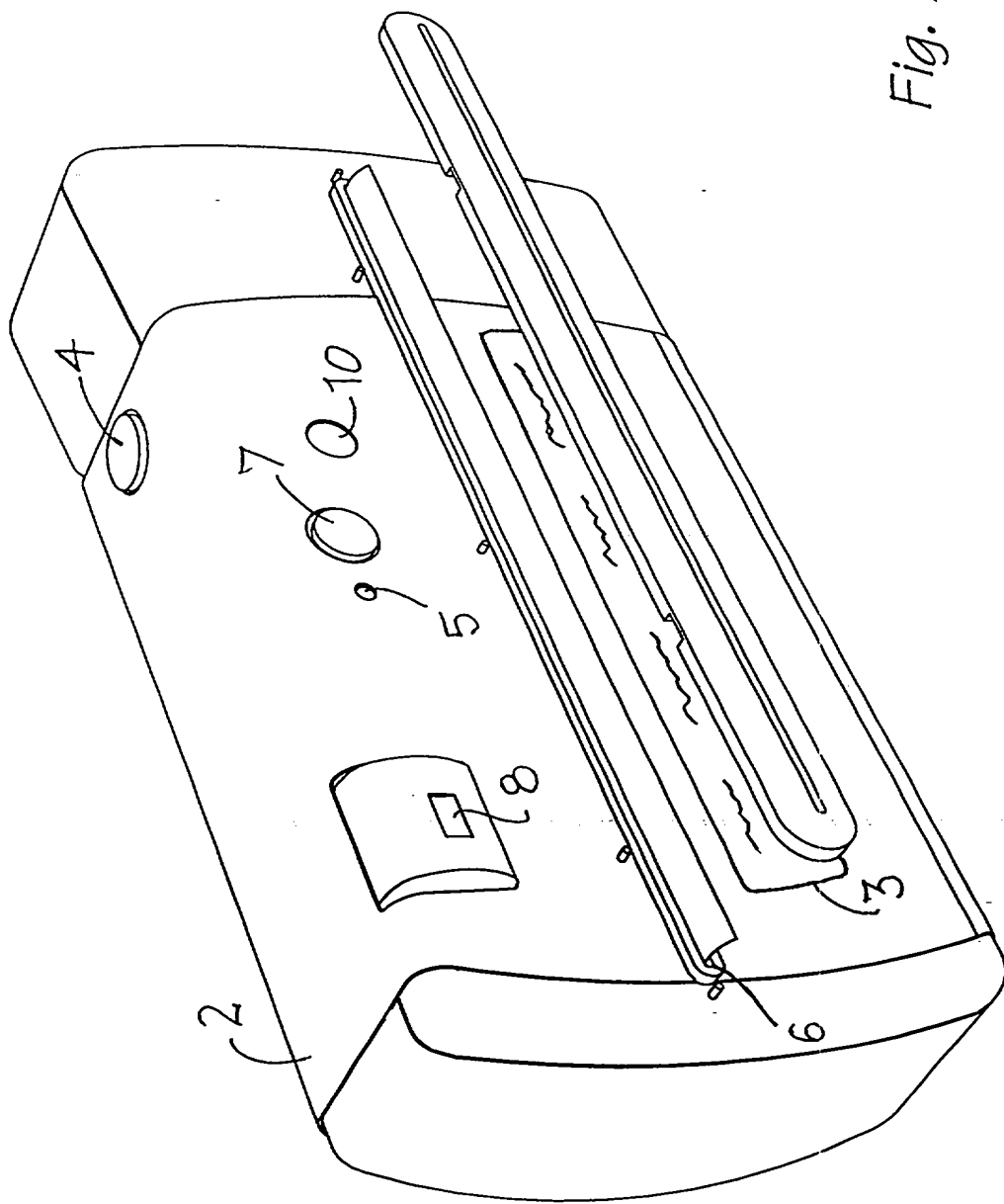


Fig. 2

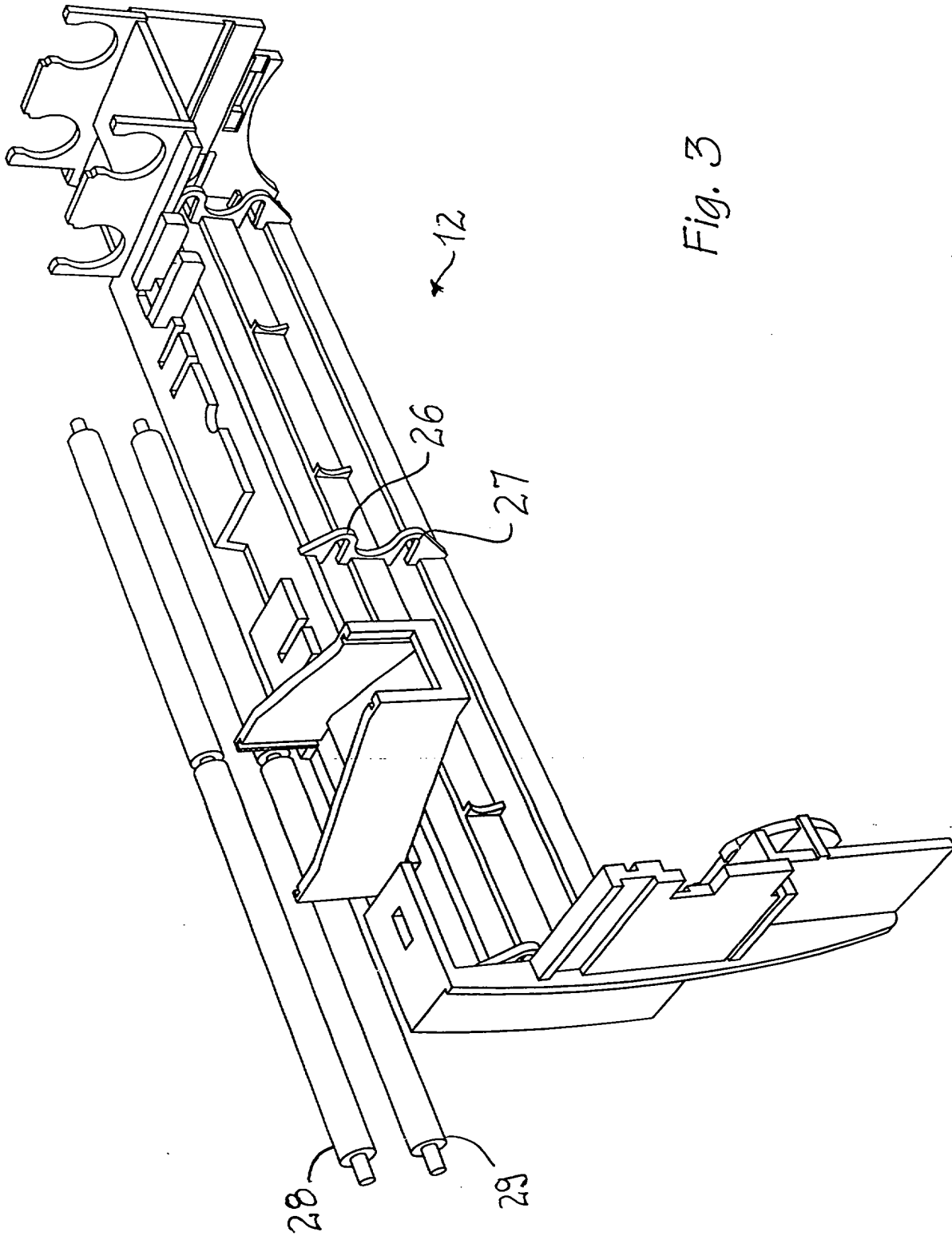


Fig. 3

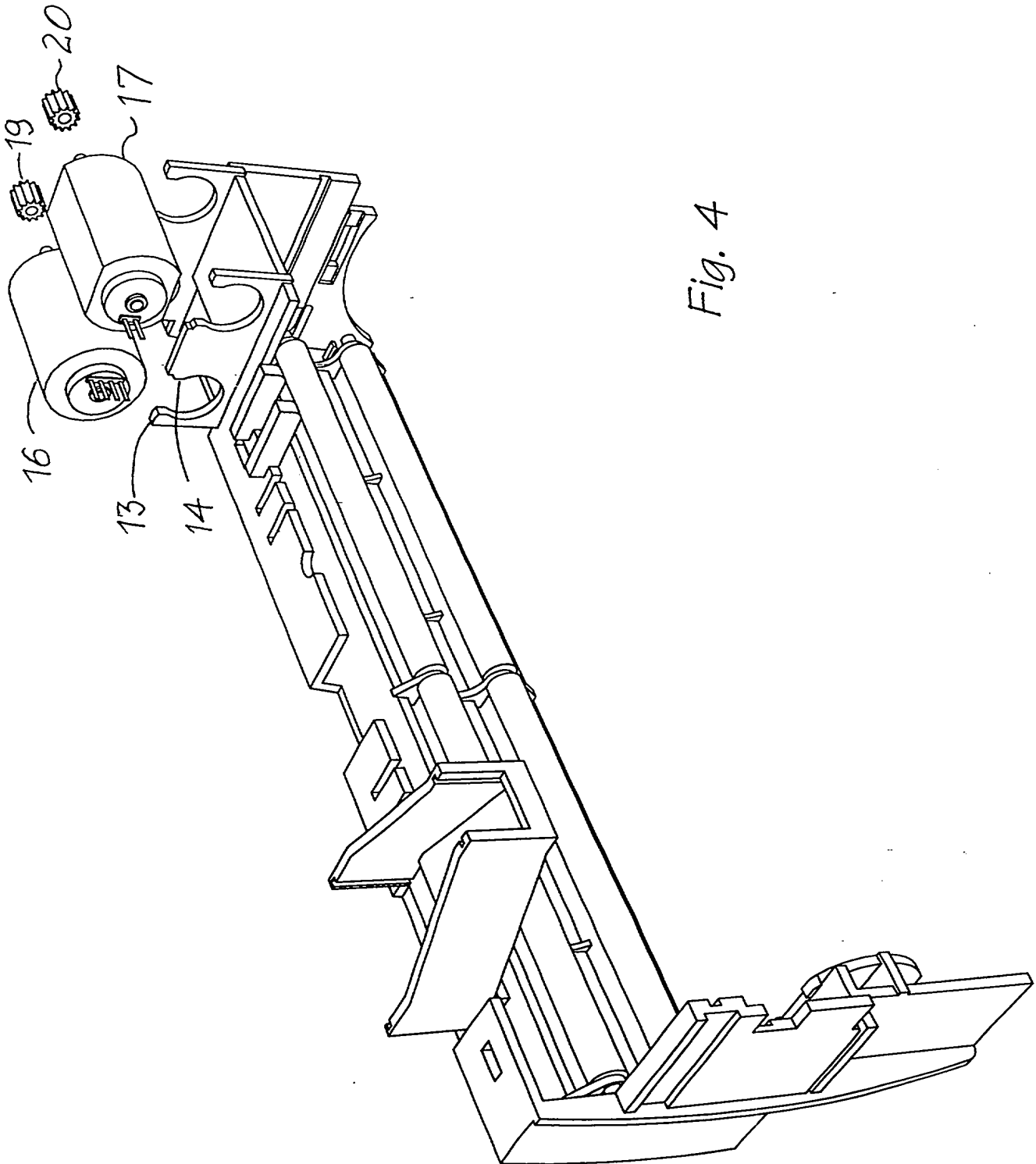


Fig. 4



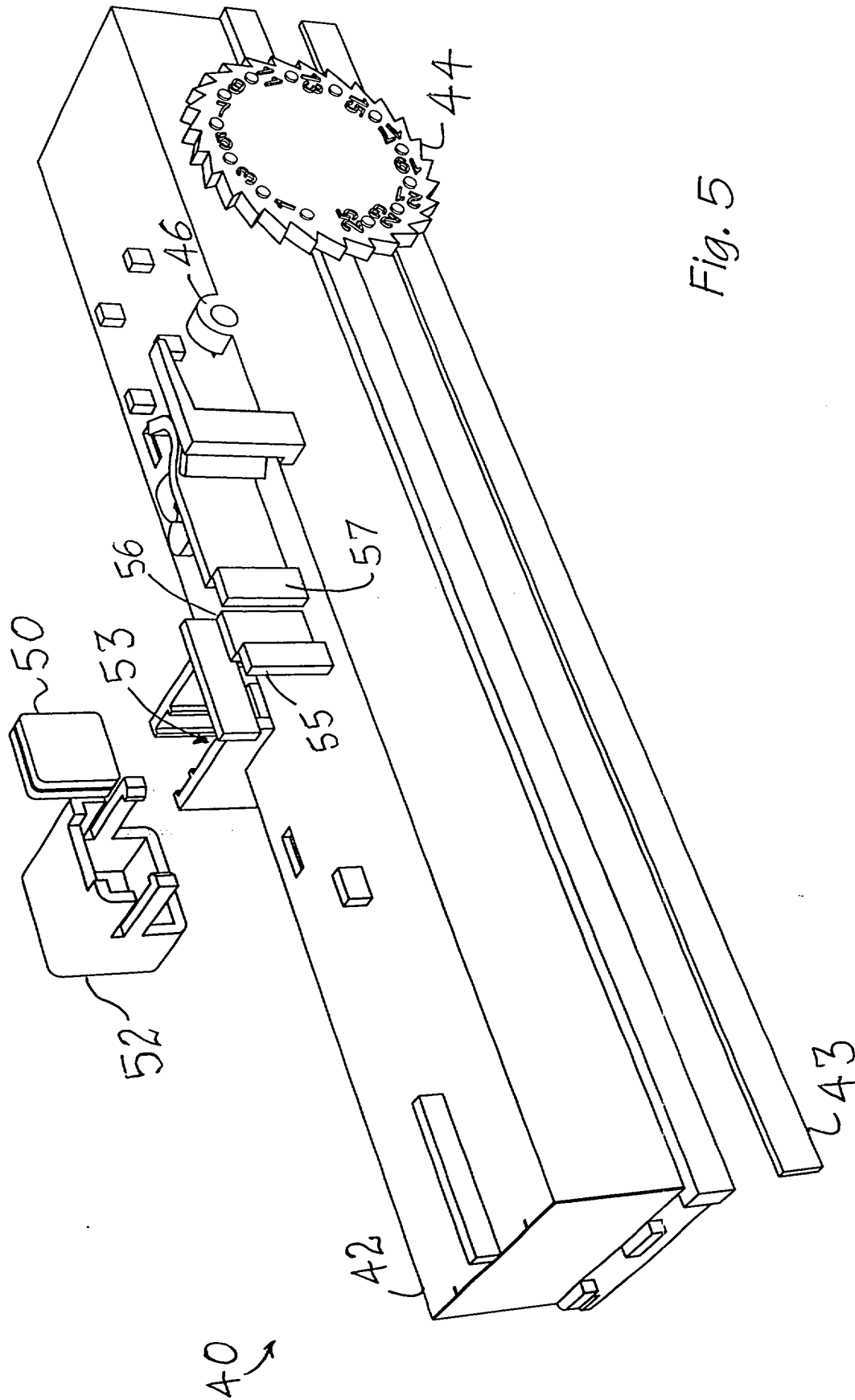


Fig. 5

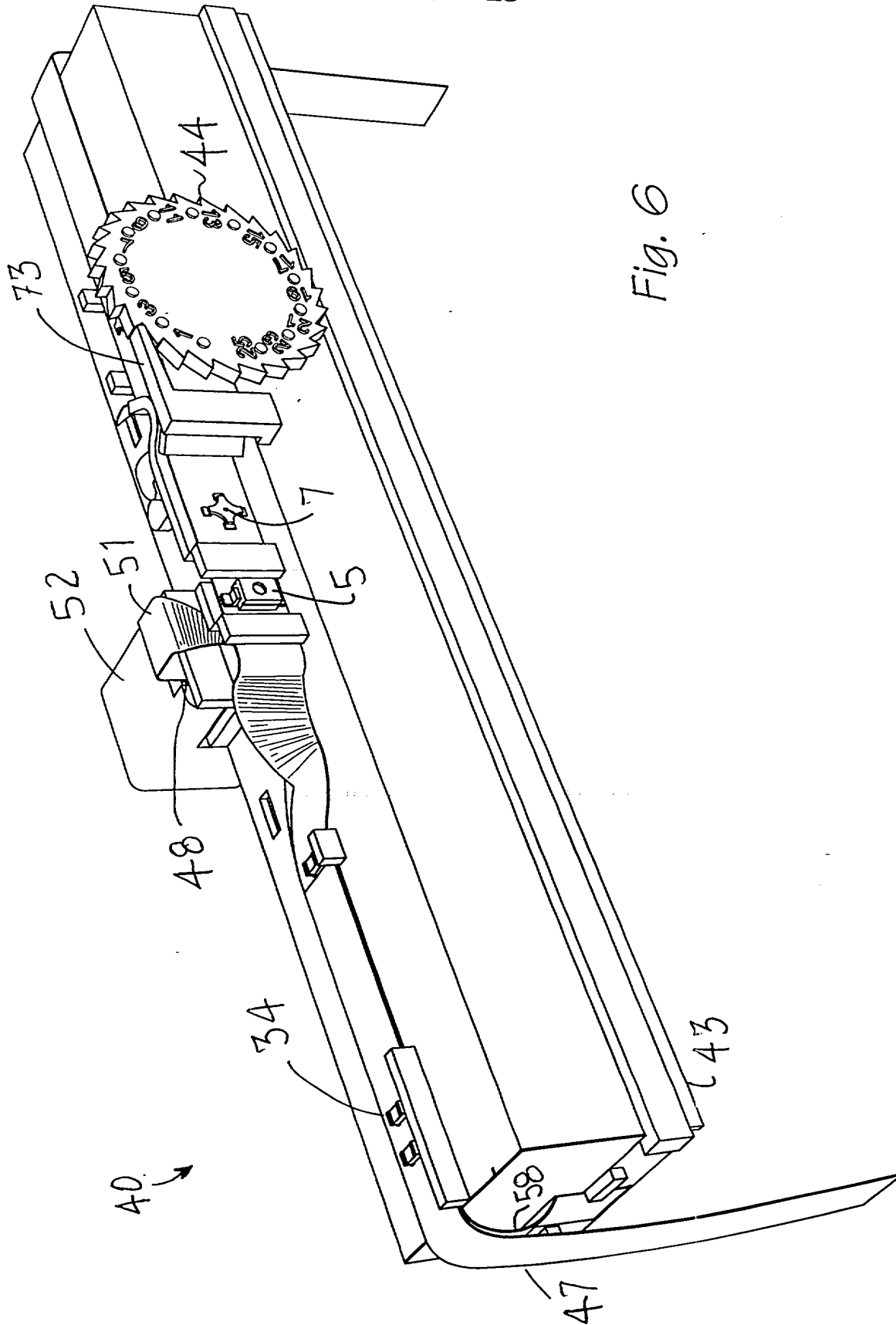


Fig. 6

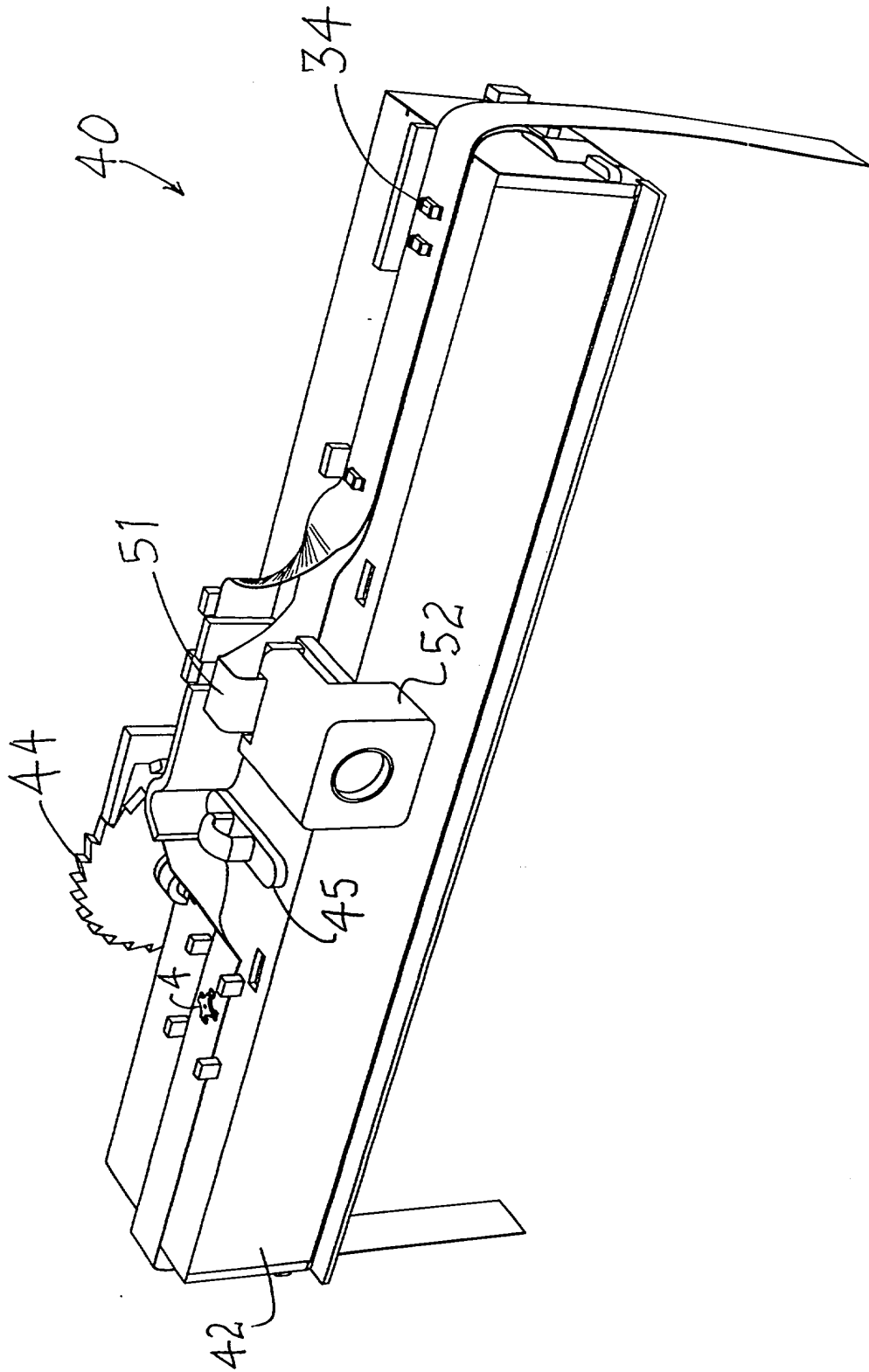


Fig. 7

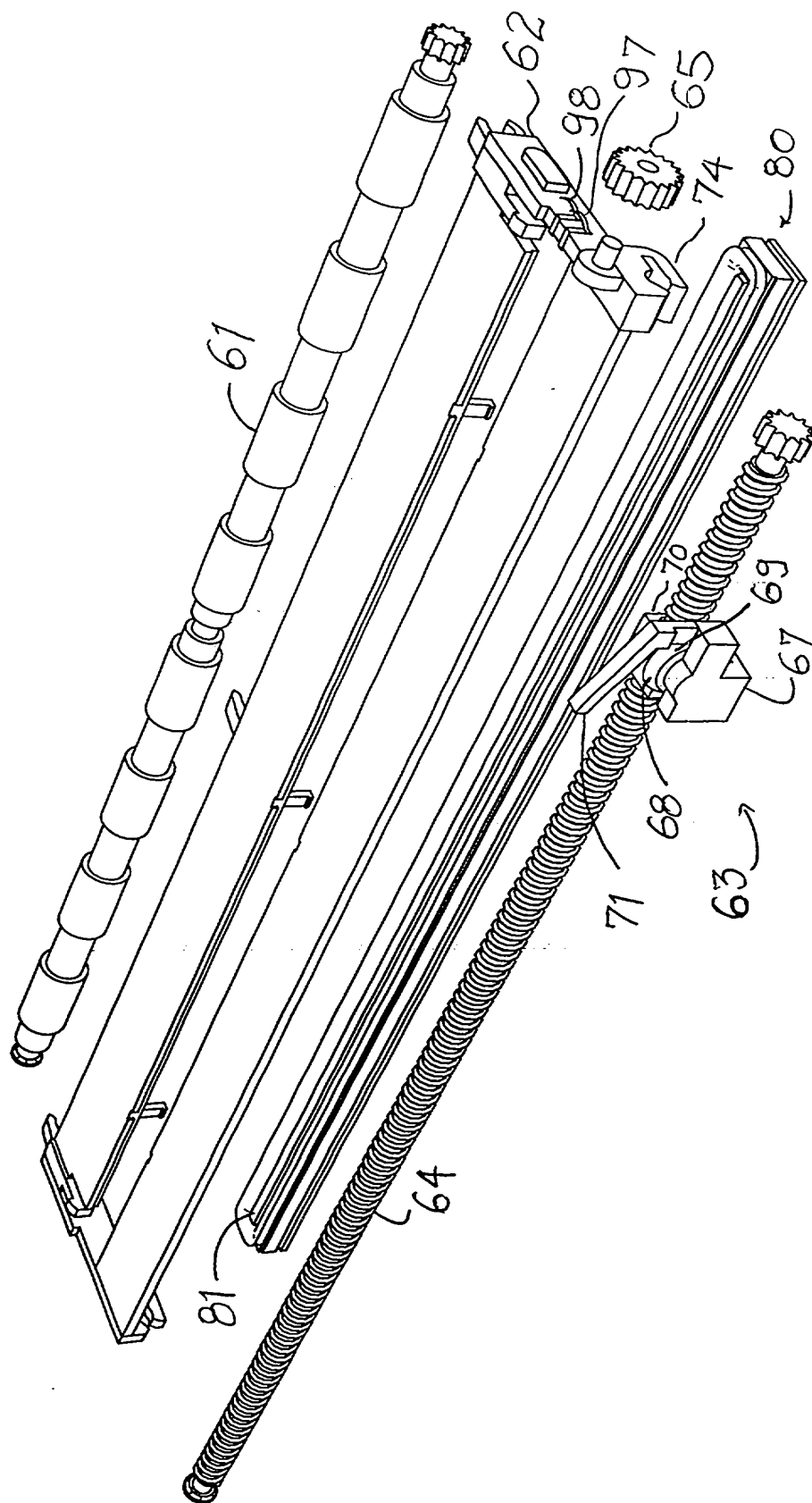


Fig. 8

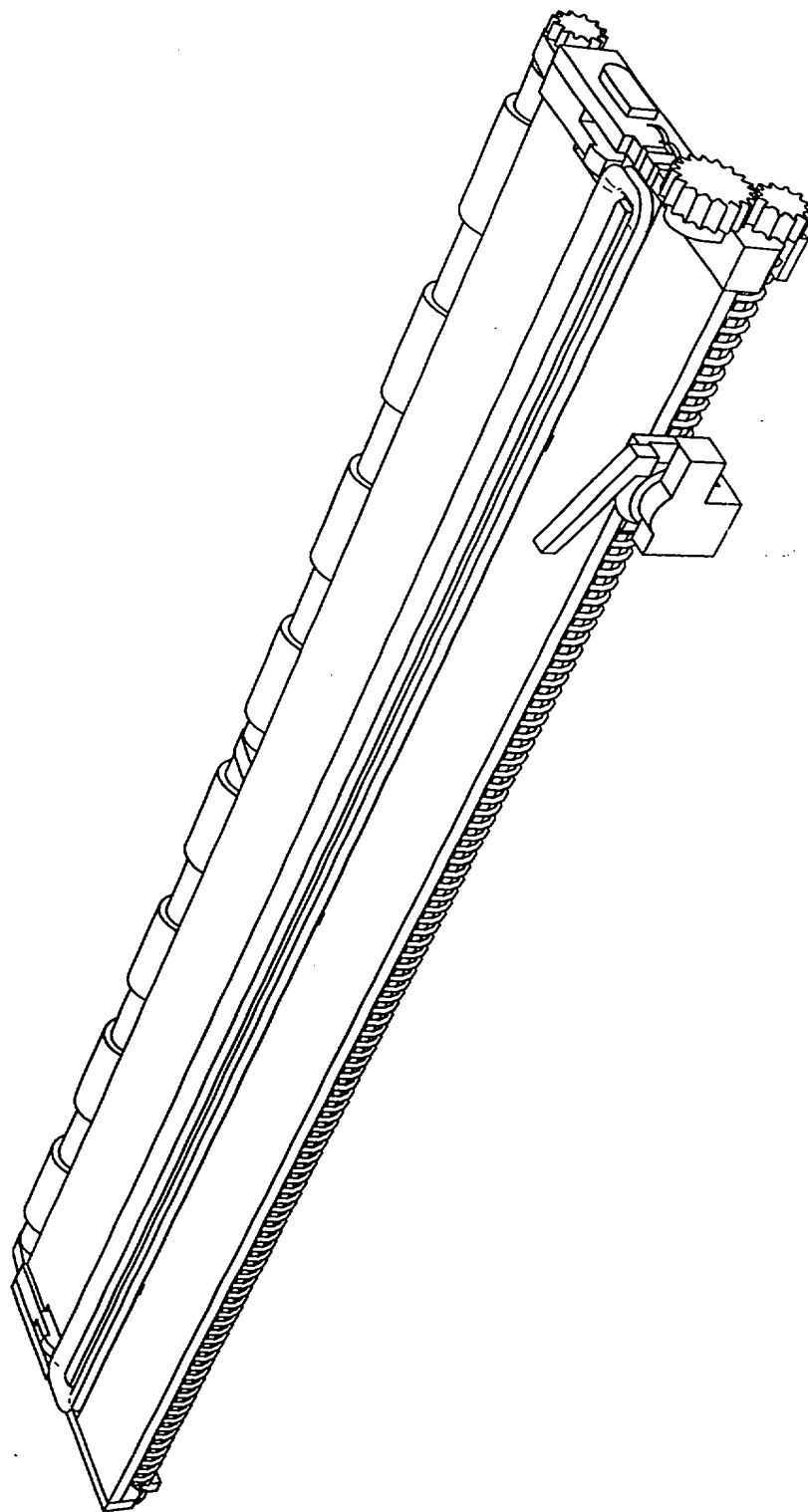


Fig. 9

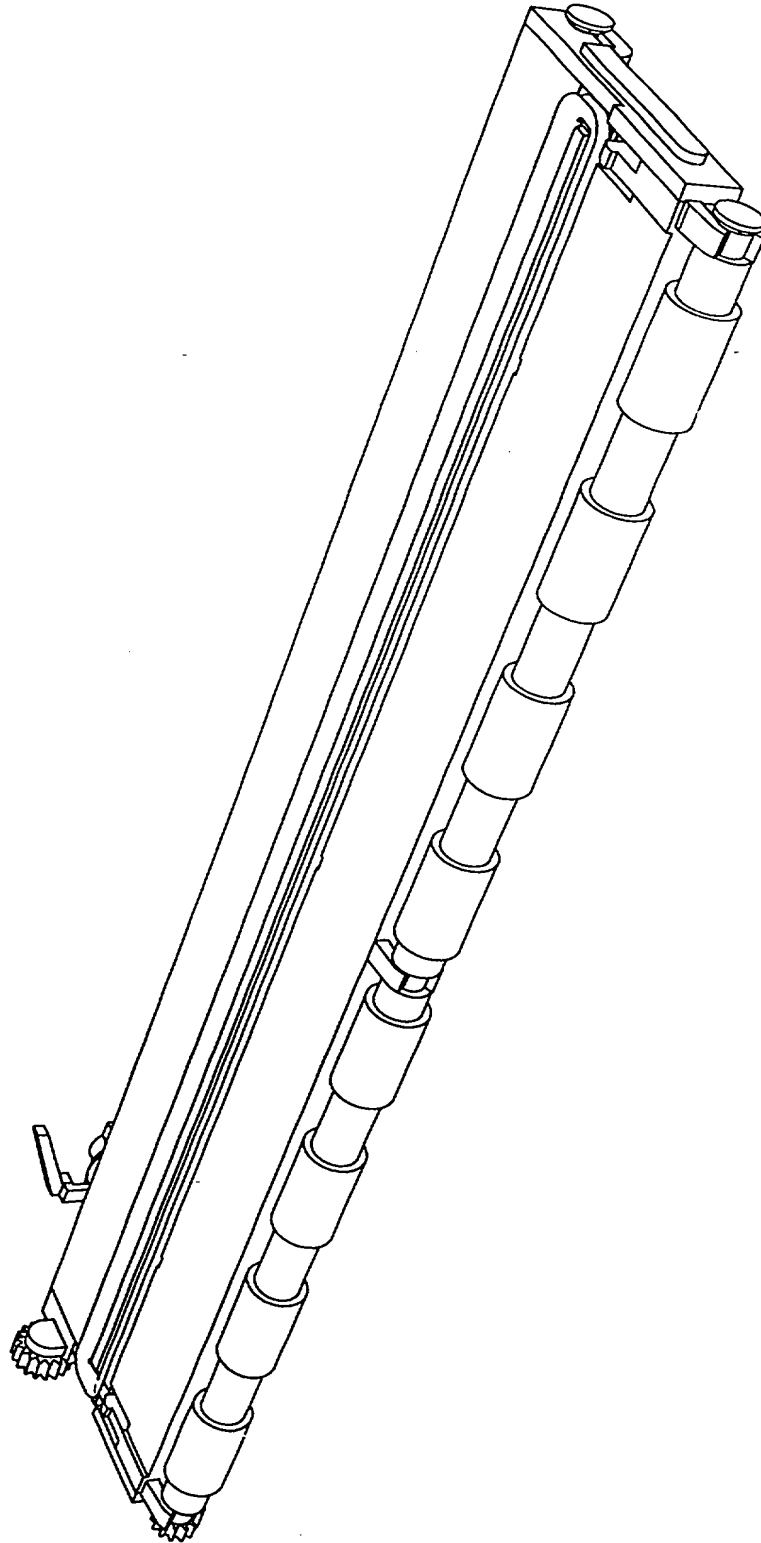
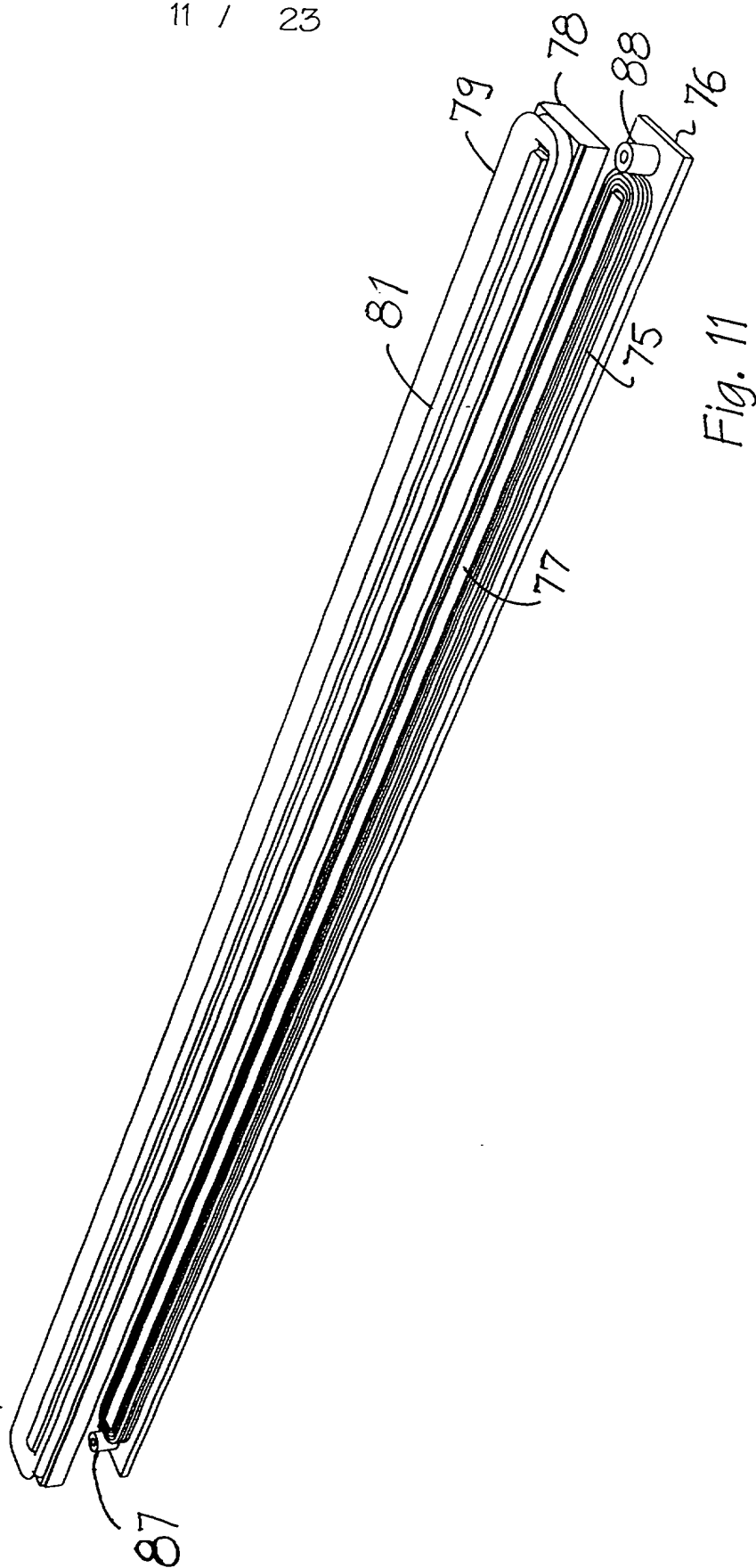


Fig. 10



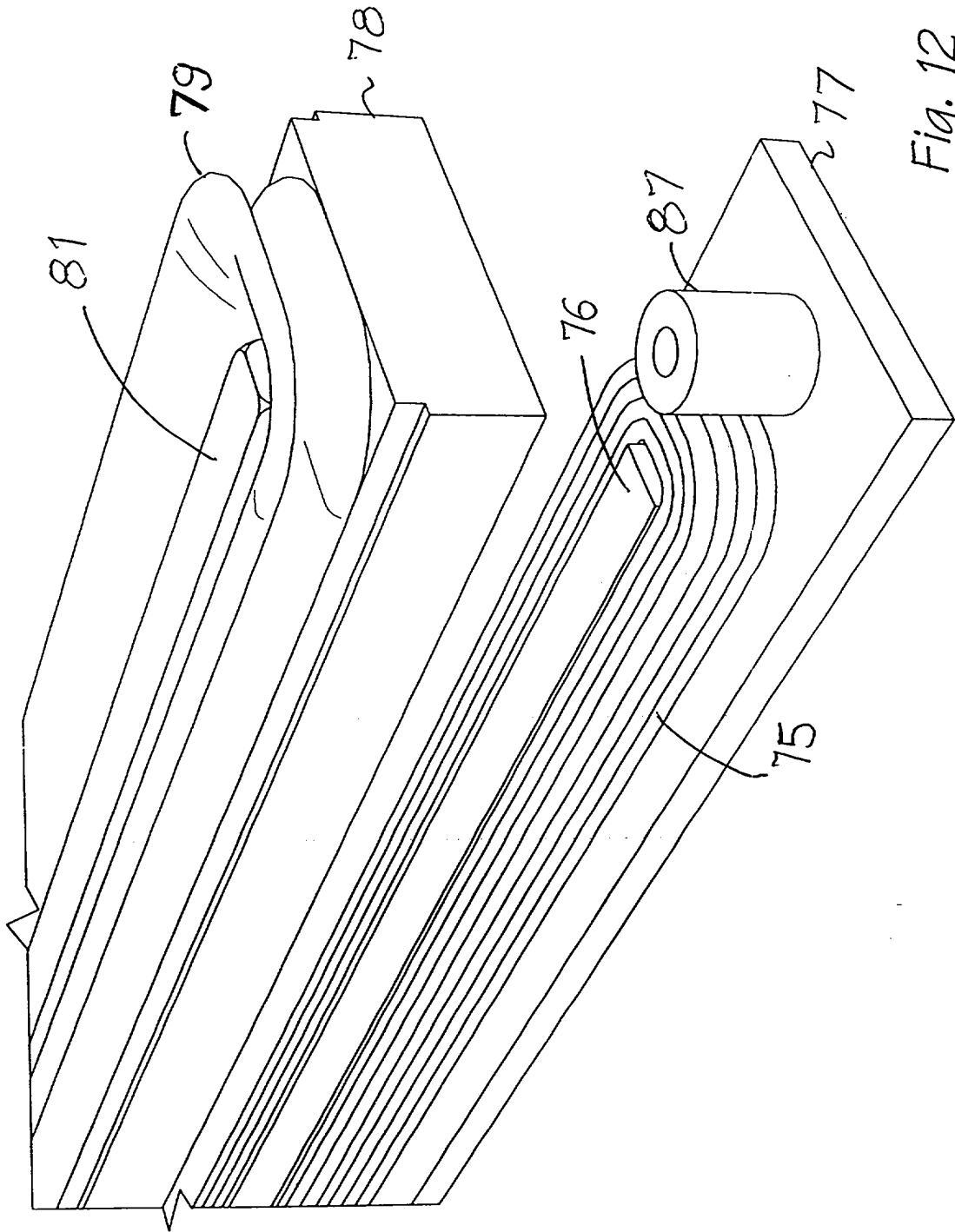


Fig. 12



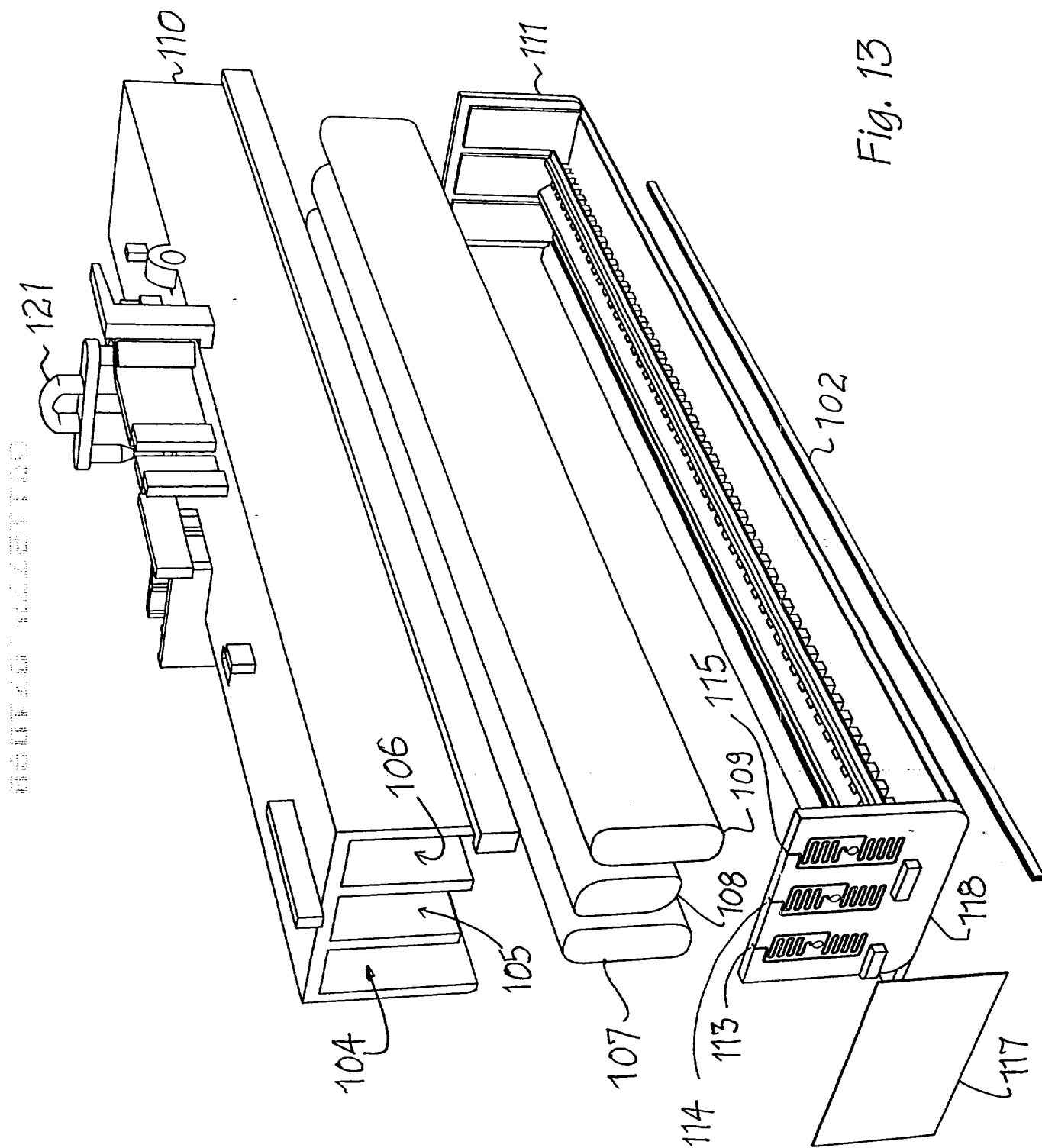


Fig. 13

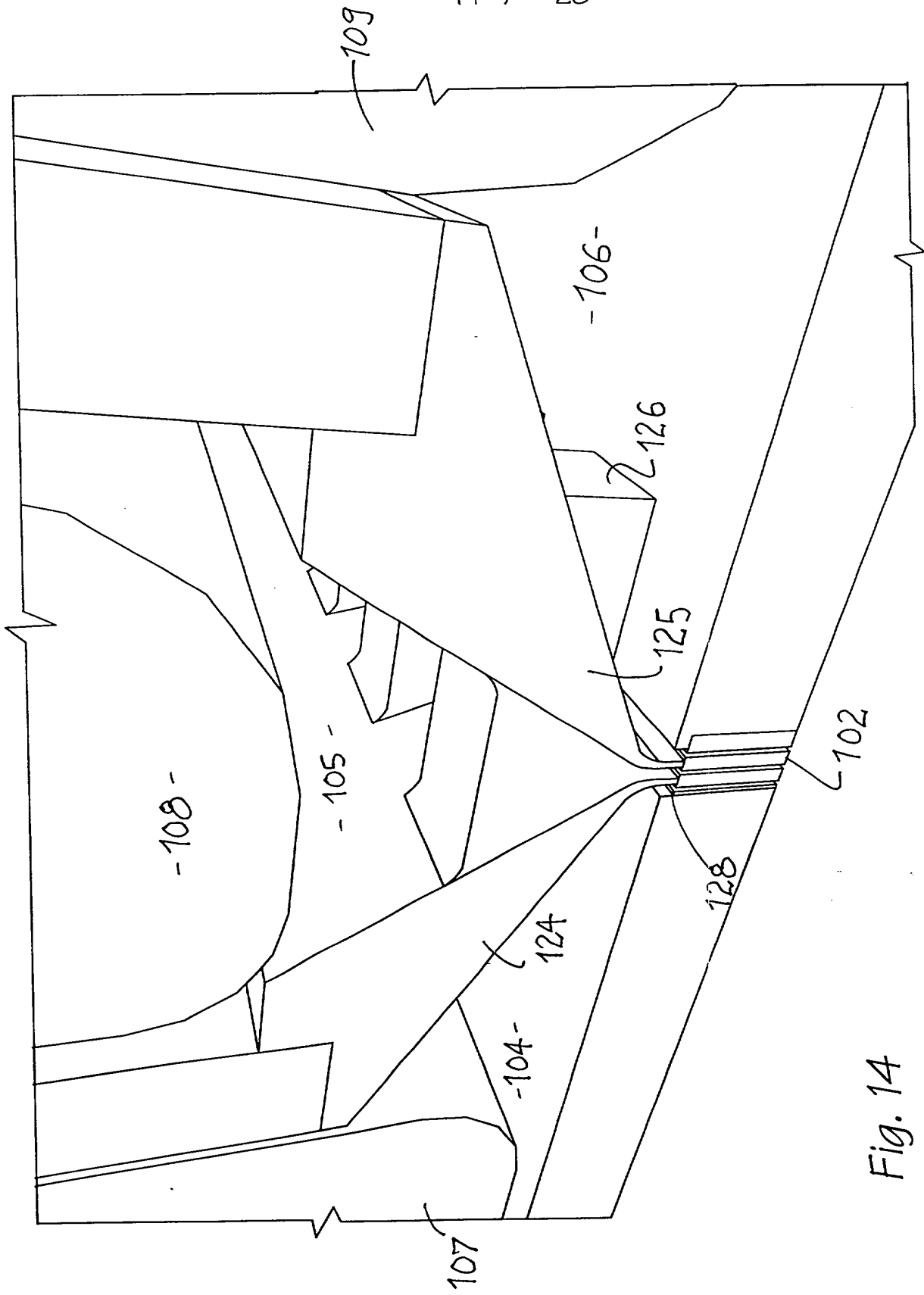


Fig. 14

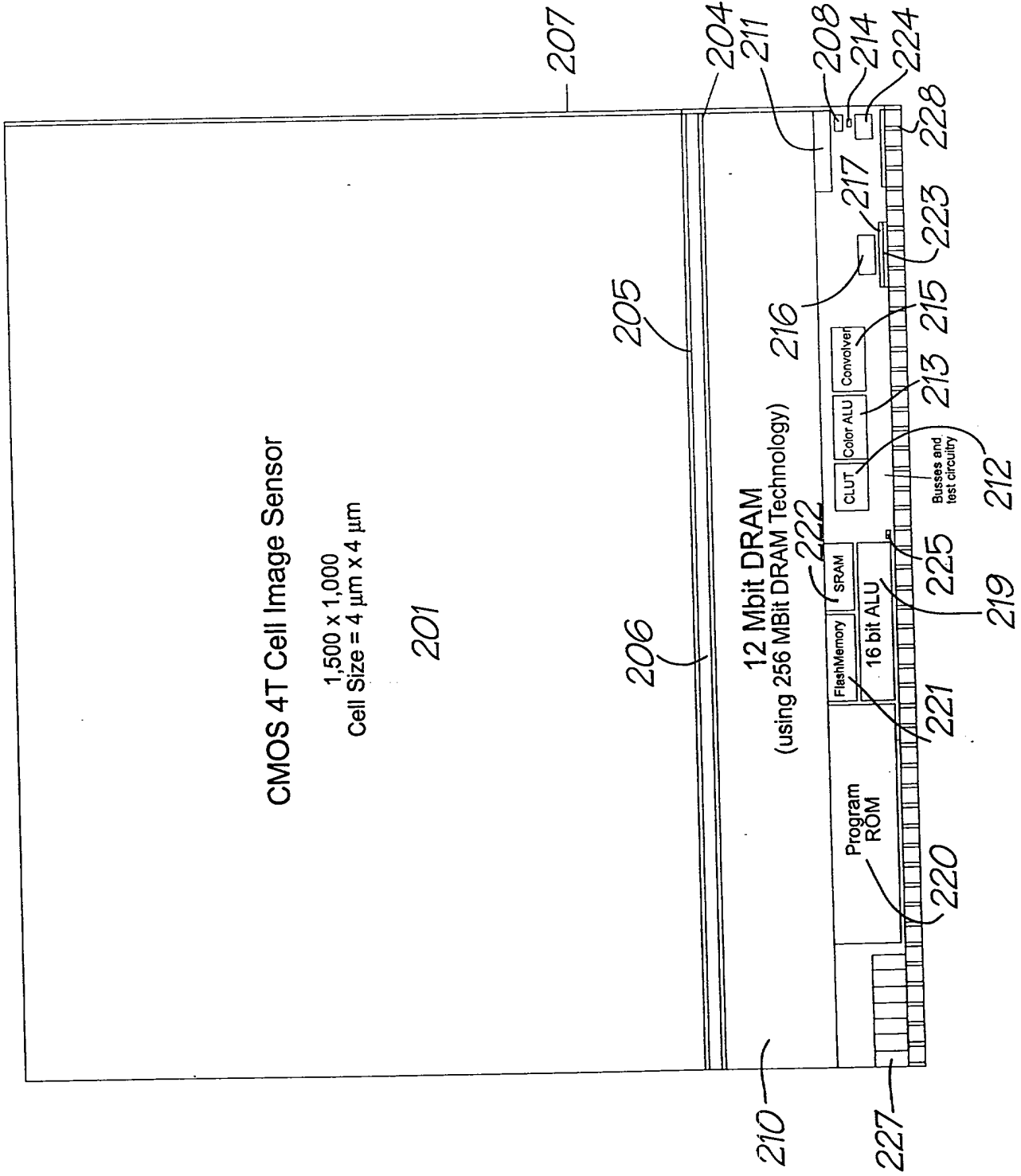


Fig. 15

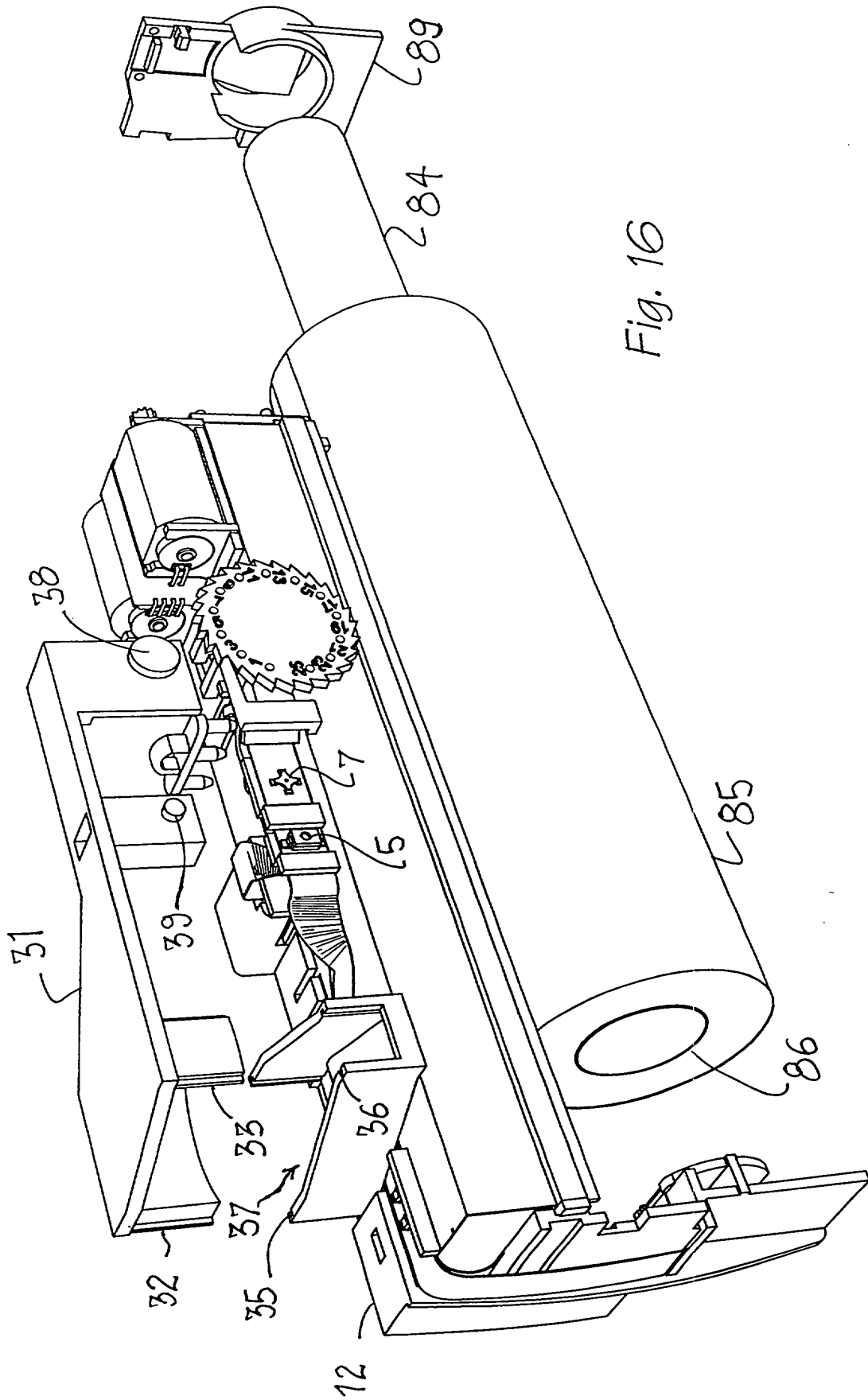
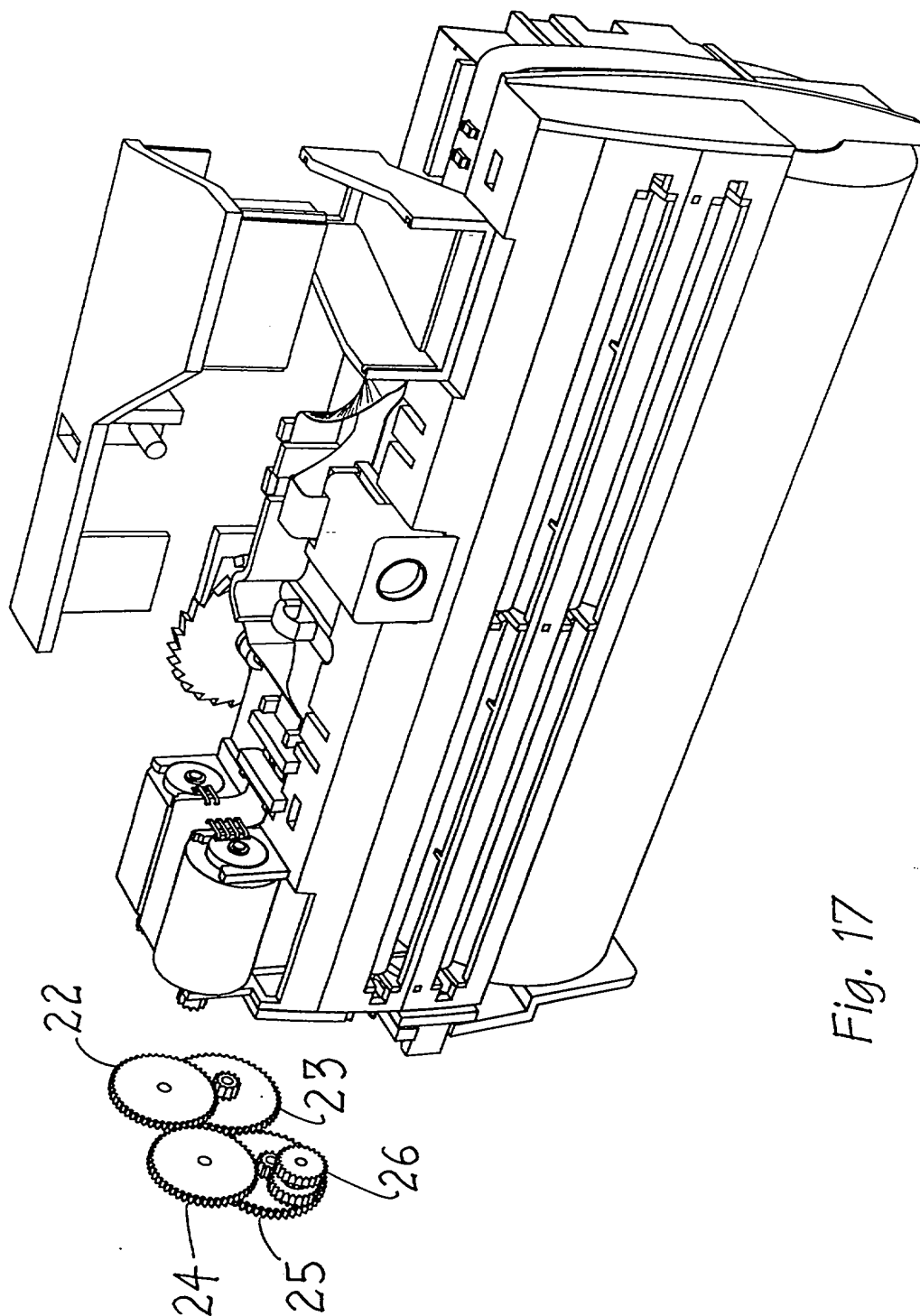


Fig. 16



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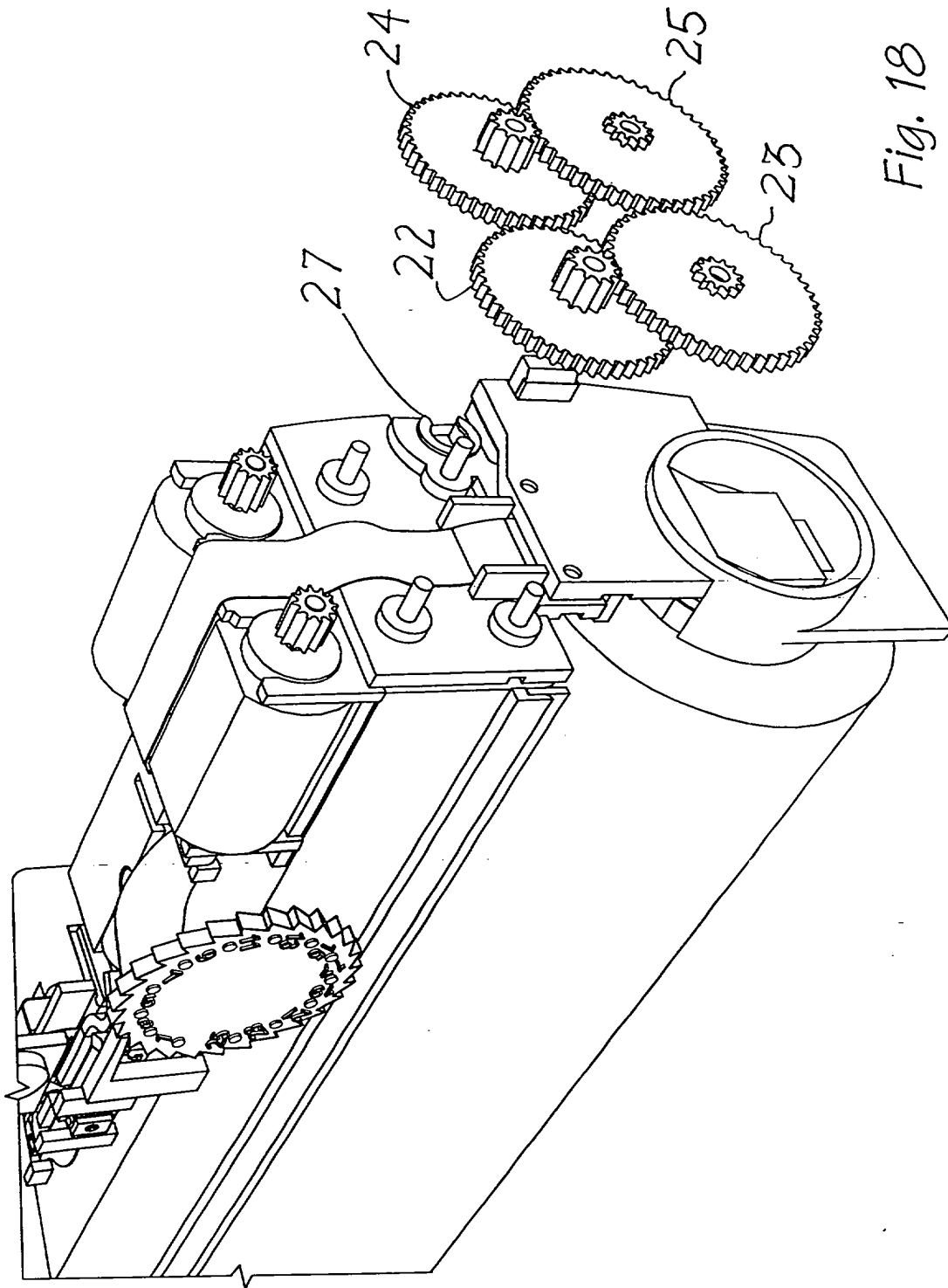


Fig. 18

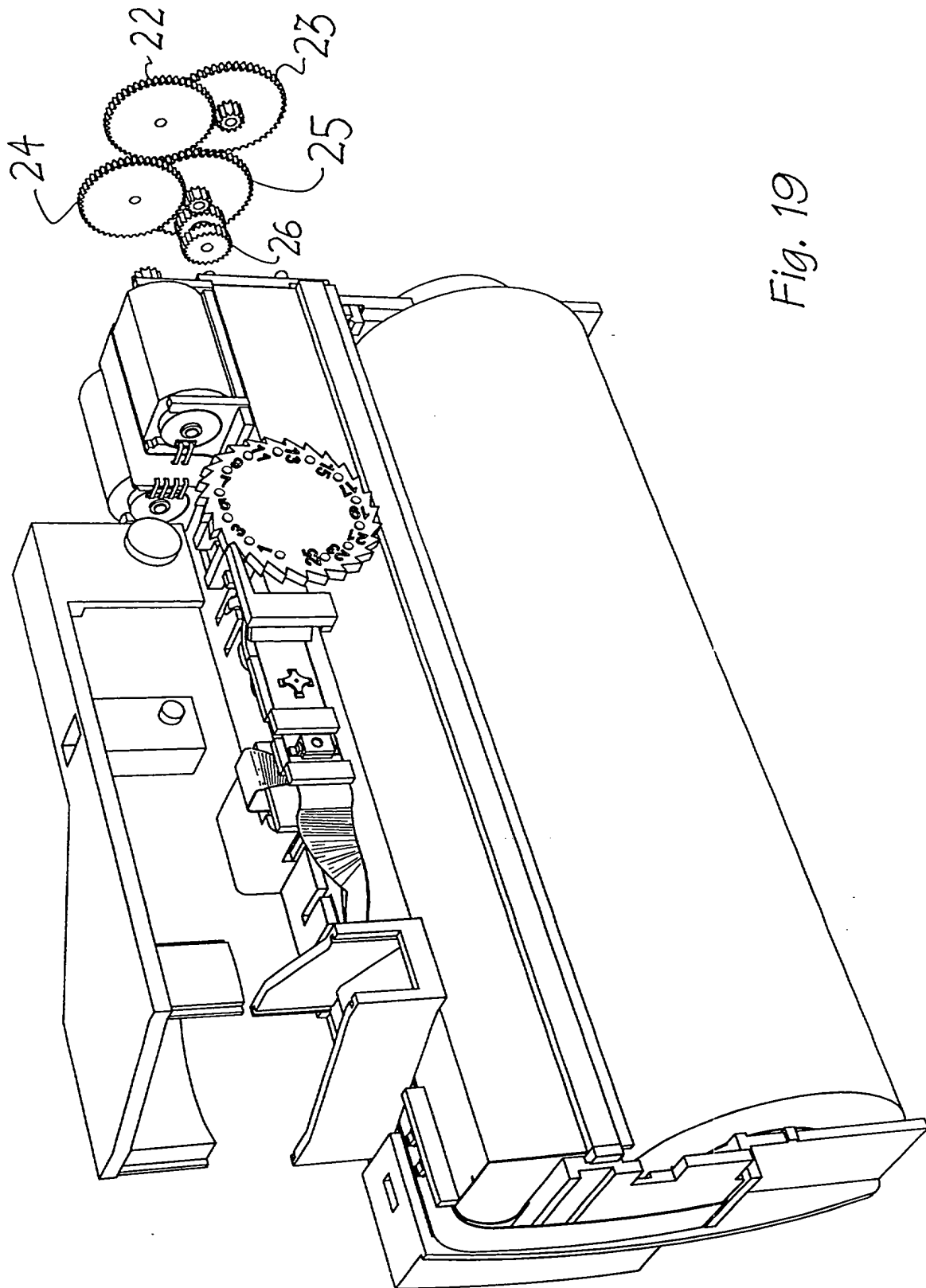


Fig. 19

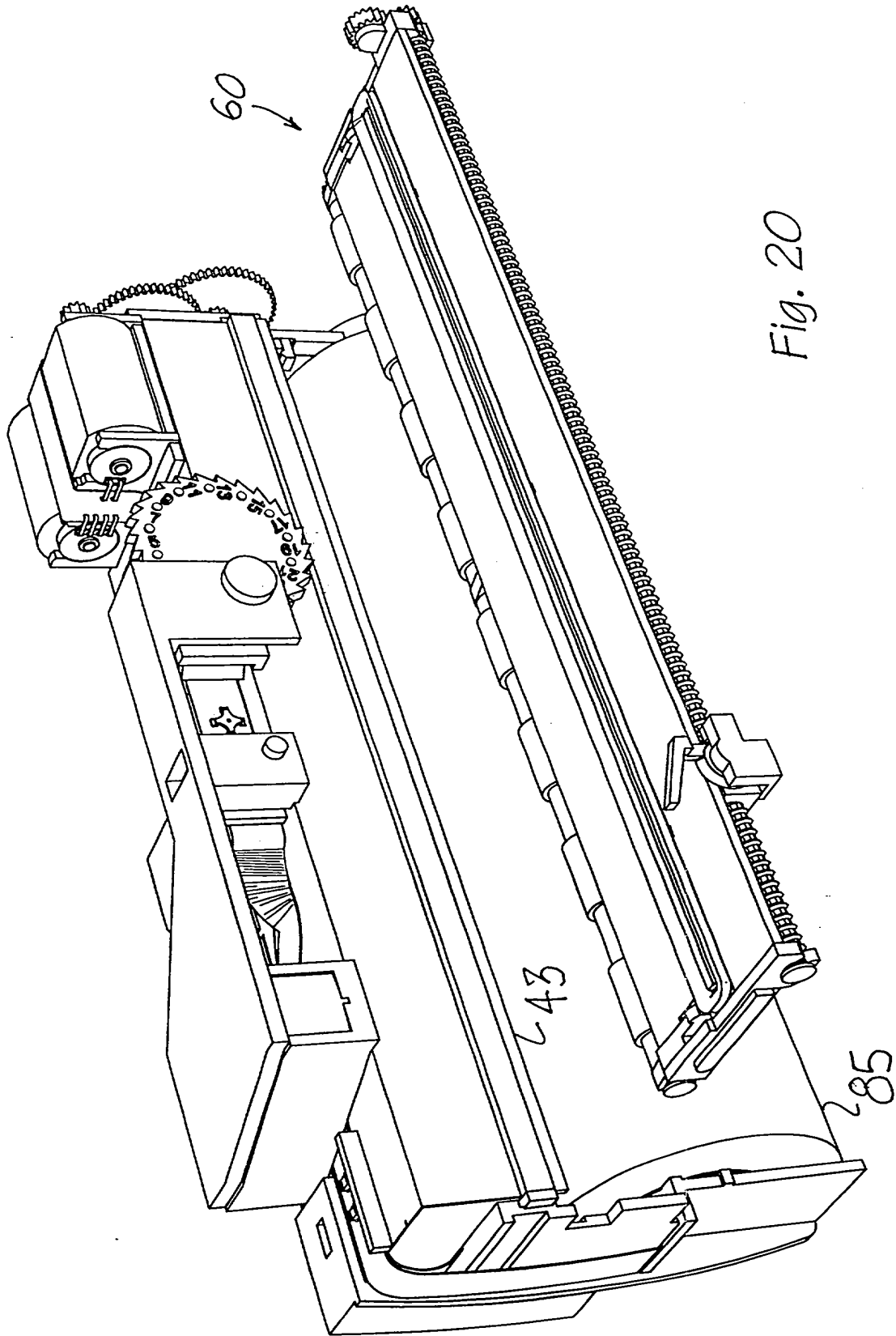


Fig. 20



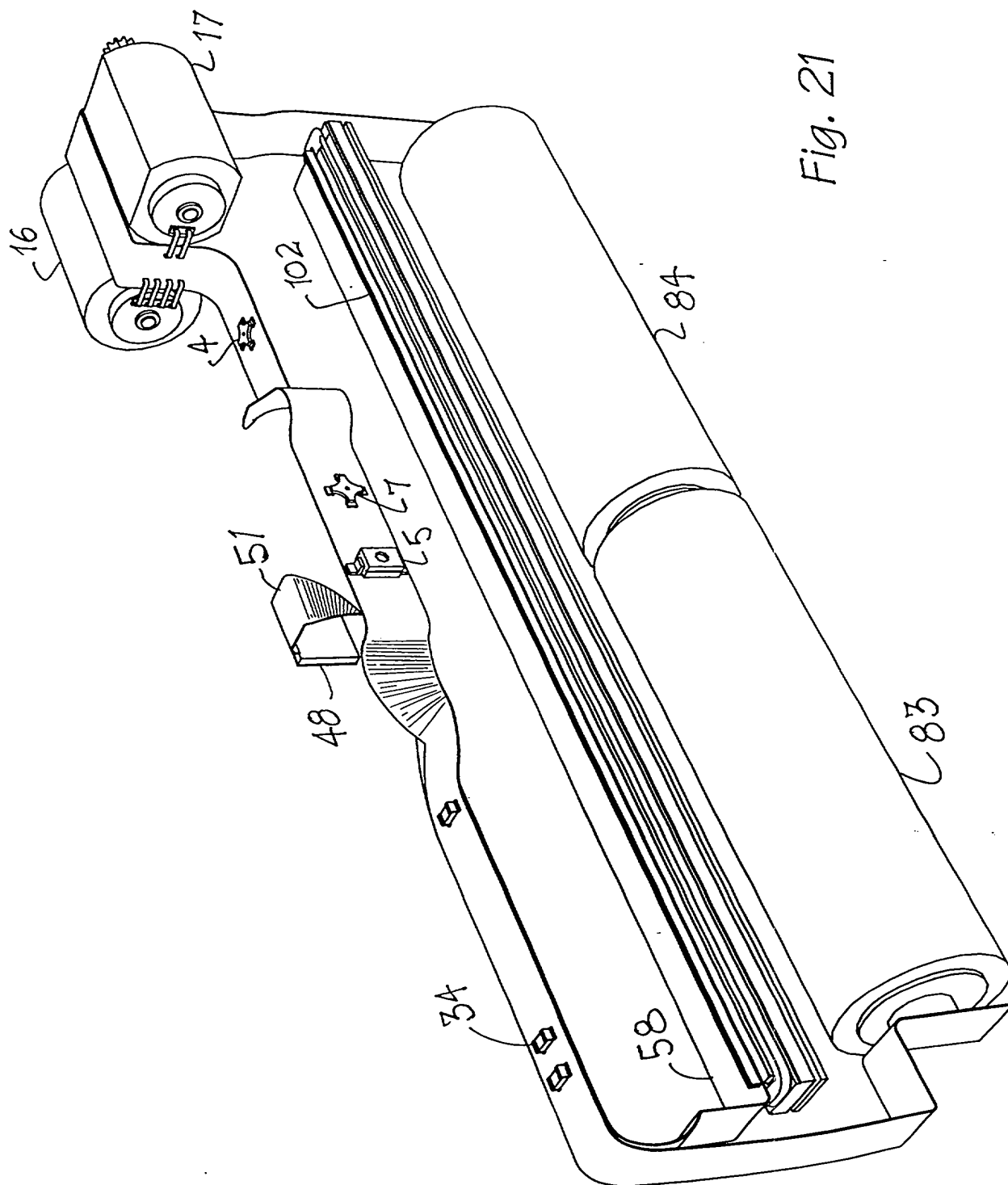


Fig. 21

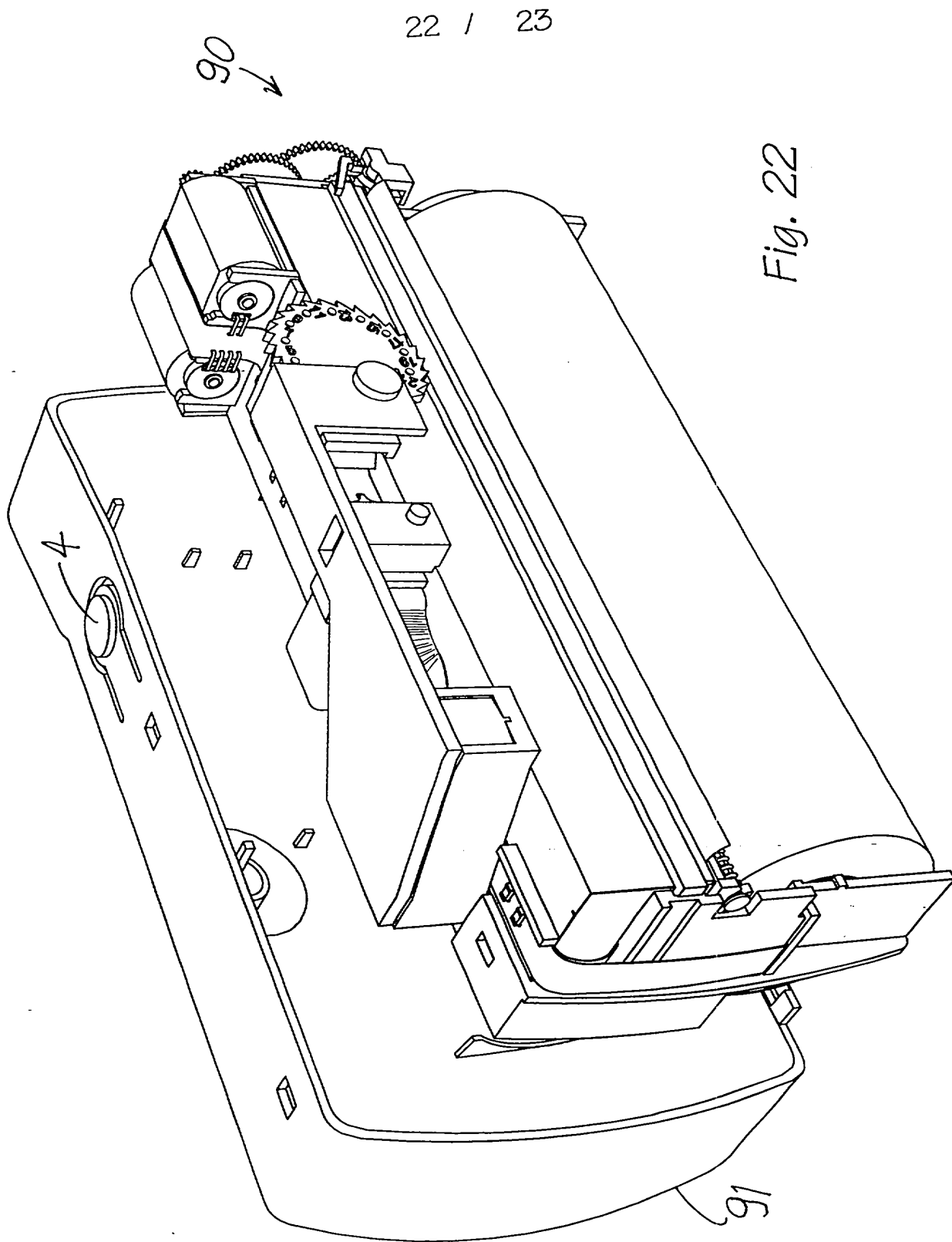


Fig. 22

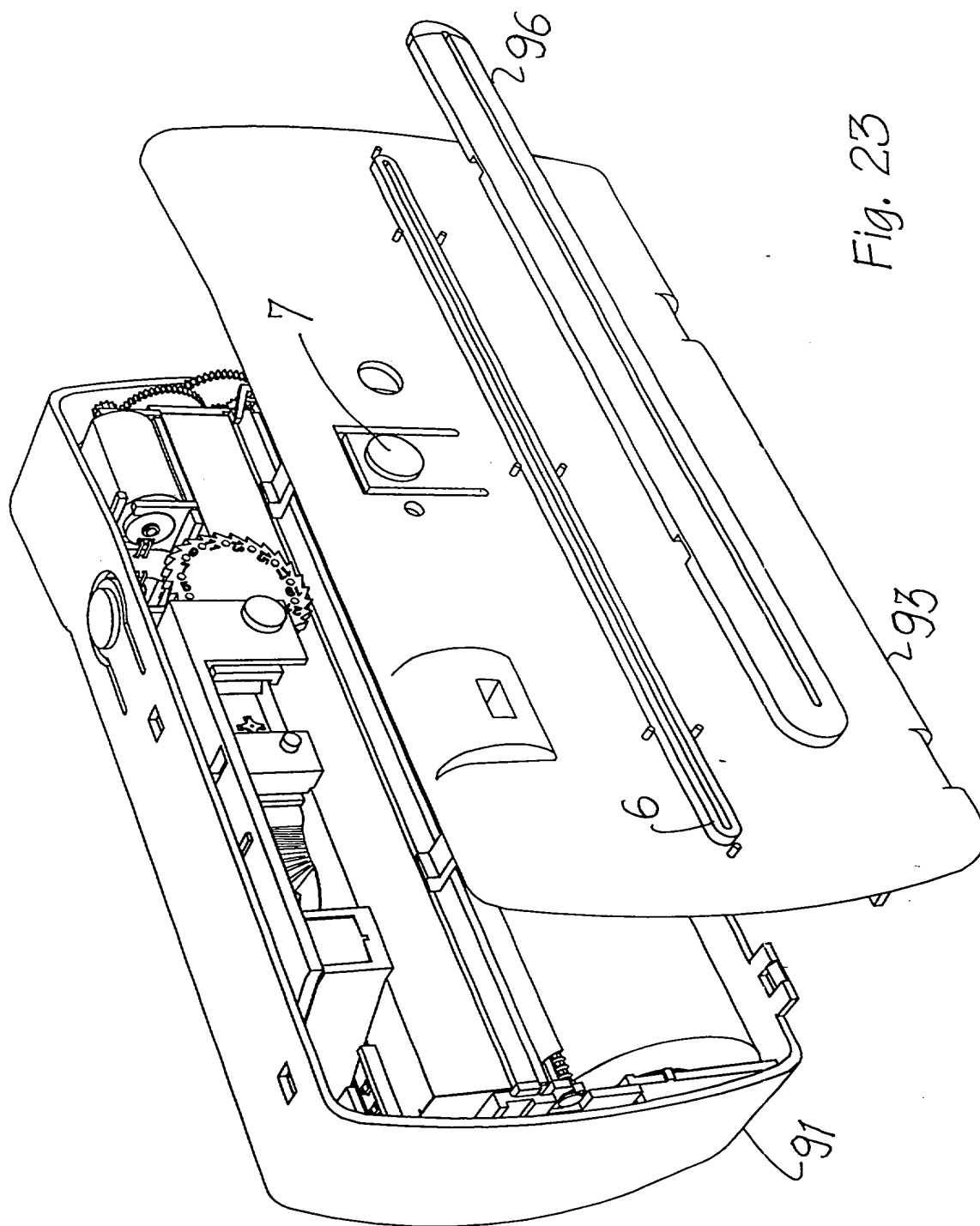


Fig. 23

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	<b>Attorney Docket Number</b> IR18 US	
	<b>First Named Inventor</b> Kia Silverbrook	
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	/
	<b>Filing Date</b>	10 July 1998
	<b>Group Art Unit</b>	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing           OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		<b>Examiner Name</b>

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A Guillotine System in a Print on Demand Digital Camera System

the specification of which (Title of the Invention)

☒ is attached hereto  
OR  
☐ was filed on (MM/DD/YYYY)  as United States Application Number or PCT International Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PP0879	Australia	12/12/1997	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PO7991	Australia	07/15/1997	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

**Burden Hour Statement:** This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
Label here

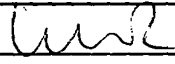
Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label ☐ OR ☒ Correspondence address below

Name	Kia Silverbrook				
Address	Silverbrook Research Pty. Ltd.				
Address	393 Darling St.				
City	Balmain	State	NSW	ZIP	2040
Country	Australia	Telephone	+61 2 9818 6633		Fax +61 2 9818 6711

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Kia		Silverbrook			
Inventor's Signature				Date	2 July 98
Residence: City	Sydney	State	NSW	Country	Australia
Post Office Address	393 Darling St.				
Post Office Address					
City	Balmain	State	NSW	ZIP	2040
		Country	Australia		

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

**STATEMENT CLAIMING SMALL ENTITY STATUS  
 (37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)  
 IR18 US

Applicant, Patentee, or Identifier: Silverbrook Research Pty. Ltd.

Application or Patent No.: \_\_\_\_\_

Filed or Issued: 10 July 1998

Title: A Guillotine System in a Print on Demand Digital Camera System

I hereby state that I am

- ☒ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Silverbrook Research Pty. Ltd.

ADDRESS OF SMALL BUSINESS CONCERN 393 Darling St. Balmain NSW 2040 Australia

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- ☒ Each person, concern, or organization having any rights in the invention is listed below:  
☐ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Kia Silverbrook

TITLE OF PERSON IF OTHER THAN OWNER \_\_\_\_\_

ADDRESS OF PERSON SIGNING 393 Darling St. Balmain NSW 2040 Australia

SIGNATURE  DATE 2 July 1998